

SPP DOCKETS AT FERC/STATE COMMISSIONS

January 11, 2007

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
1.	RT04-1 ER04-48	<u>Southwest Power Pool, Inc.</u>	SPP RTO Approval Dockets	<p>New Mexico's Attorney General and the Louisiana Public Service Commission petitioned the D.C. Circuit Court of Appeals in Docket No. 04-1398 for review of FERC's February 10, 2004 Order Granting RTO Status RTO Subject to Fulfillment of Requirements and the October 1, 2004 Order on Rehearing, both issued in Docket No. RT04-1-000.</p> <p>On October 13, 2006, the D.C. Circuit dismissed the petitions for review of the SPP RTO orders.</p> <p>FERC's acceptance of SPP's RTO proposal is now final.</p> <p>Pursuant to the Commission's October 1, 2004 Order, SPP filed its 2007 annual operating budget in Docket Nos. RT04-1-000, ER04-48-000, ER04-48-001 and RT04-1-001 on December 7, 2006.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
2.	ER05-925	<u>Westar Energy, Inc.</u>	Effective Date for Westar Transmission Rate Increase Subject to Refund and Subject to the Outcome of This Docket	<p>Westar filed a Settlement Agreement in this docket on July 7, 2006. The settlement resolves the formula rate Westar will use to determine charges for transmission service and also resolves the rates Westar will charge for ancillary services.</p> <p>On August 1, 2006, the ALJ certified the settlement to the Commission as uncontested, and on November 7, 2006, FERC issued an order approving the settlement.</p> <p>SPP is required to file OATT revisions implementing the settlement rates, effective as of December 1, 2005. SPP must also issue refunds, with interest, for the difference between the total payments made to SPP for service in the Westar Pricing Zone after Westar's proposed rates went into effect on December 1, 2005, and the payments that would have been made under the settlement rates.</p> <p>Westar must file a report of refunds within thirty days of the date the refunds are made.</p> <p>The order terminates Docket No. ER05-925-00. A new subdocket will be assigned upon receipt of the required refund report.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
3.	ER05-526 ER05-799 ER06-448 ER06-767	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of a Partially Executed Service Agreement for Network Integration Transmission Service (“NITS”) between SPP and Oklahoma Municipal Power Authority (“OMPA”), as well as an Executed Network Operating Agreement (“NOA”) between SPP, OMPA and Oklahoma Gas and Electric Company (“OG&E”)</p> <p>SPP Filing of an Unexecuted Service Agreement for NITS between SPP and OMPA, as well as an Executed NOA between SPP, OMPA and AEP; and an Unexecuted NOA between SPP, OMPA and Western Farmers Electric Cooperative</p>	<p>On October 2, 2006, the Track II procedural time standards and procedural schedule were suspended in Docket Nos. ER06-448 and ER06-767.</p> <p>On October 19, 2006, FERC Trial Staff issued Initial Comments in support of the joint offer of settlement filed in this proceeding by SPP, Western Farmers Electric Cooperative & OMPA on September 29, 2006.</p> <p>On November 7, 2006, the settlement was certified to the Commission as uncontested in Docket Nos. ER06-448-001 and ER06-767-001.</p> <p>Settlement procedures were terminated November 16, 2006.</p> <p>The hearing ordered per the Commission’s May 18, 2005 Order, 111 FERC ¶ 61,227, is no longer necessary.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
4.	ER05-1065	<u>Entergy Services, Inc.</u>	<p>Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term</p> <p>Docket No. ER05-1065 replaces Entergy's Initial ICT Proposal in Docket No. ER04-699, which closed June 30, 2005.</p>	<p>On October 18, 2006, the Commission accepted Entergy's May 24, 2006 compliance filing, directing that SPP be installed as the ICT within 30 days.</p> <p>The executed ICT Agreement; Attachments S, T, U, & V to Entergy's OATT; Transmission Service Protocol; Interconnection Service Protocol; Transmission Planning Protocol; and Reliability Coordinator Protocol were made effective upon SPP's installation as the ICT.</p> <p>Entergy was required to submit a compliance filing within sixty days of SPP's installation as the ICT, to be a part of the filing describing the financial compensation associated with short-term point-to-point transmission service.</p> <p>On October 23, 2006, Entergy filed a Request for Clarification of the Commission's September 22, 2006 Order.</p> <p>Pursuant to the Commission's April</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>ER05-1065</p>	<p><u>Entergy Services, Inc.</u></p>	<p>Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term</p> <p>Docket No. ER05-1065 replaces Entergy's Initial ICT Proposal in Docket No. ER04-699, which closed June 30, 2005.</p>	<p>24, 2006 Order, SPP filed the "Charter of the Entergy ICT Stakeholder Policy Committee" in Docket No. ER05-1065-000 on October 30, 2006.</p> <p>The NRG Companies and Cottonwood each filed answers requesting Commission rejection of Entergy's October 23 Request for Clarification.</p> <p>East Texas Cooperatives filed a protest on November 9, 2006.</p> <p>Several requests for rehearing of the Commission's October 18 "Order on Compliance Filing" have been filed in this docket.</p> <p>On November 17, 2006, SPP filed an answer in support of Entergy's request for clarification.</p> <p>Rehearing of the Commission's September 22 and October 18, 2006 Orders were granted November 22 and December 14, 2006, respectively.</p>

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5.	ER03-765	<u>Calpine Oneta Power, L.P.</u>	Calpine Oneta Rate Schedule No. 2 for Reactive Power	<p>On October 25, 2006, Calpine submitted a compliance filing of its revised Reactive Power from the Oneta Energy Center Rate Schedule, FERC Rate Schedule 2.</p> <p>On October 26, 2006, SPP submitted an informational filing containing a detailed worksheet of the resulting rates for reactive power in the AEP zone.</p> <p>Also on October 26, 2006, requests for rehearing of the September 26 Order were filed by SPP and AEP.</p> <p>On November 14, 2006, SPP's deadline for submitting a Section 205 Filing was extended to December 26, 2006.</p> <p>On October 27, 2006, Calpine submitted an Amended Compliance Filing for Rate Schedule FERC No. 2. An effective date of June 21, 2003, is requested.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>ER03-765</p>	<p><u>Calpine Oneta Power, L.P.</u></p>	<p>Calpine Oneta Rate Schedule No. 2 for Reactive Power</p>	<p>On November 16, 2006, the East Texas Cooperatives (“ETC”) filed a motion to intervene out-of-time, respond to AEP’s October 26 Request for Rehearing and protest SPP’s October 26 informational filing.</p> <p>On November 17, 2006, AEP filed a protest of Calpine’s October 24 Amended Compliance Filing.</p> <p>Several motions to intervene have been filed in this docket.</p> <p>The Commission granted rehearing of its September 26 Order on November 27, 2006.</p> <p>On December 4, 2006, Calpine filed a response to protests, requesting that the Commission reject ETC’s late intervention and protest, deny AEP’s protest and accept Calpine’s compliance filing without modification.</p> <p>On December 19, 2006, AEP responded, requesting that the Commission reject Calpine’s revised</p>

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<p><i>Continued from Previous Page</i></p>	<p>ER03-765</p>	<p><u>Calpine Oneta Power, L.P.</u></p>	<p>Calpine Oneta Rate Schedule No. 2 for Reactive Power</p>	<p>rate schedule as inconsistent with the Commission's September 26, 2006 Order and that the Commission stay any payment obligation pending the outcome of the bankruptcy court's approval of the stipulation or the conclusion of any appeals of the Order.</p> <p>On December 26, 2006, SPP filed a Revised Schedule 2 in Docket Nos. ER03-765-000 and ER07-371-000.</p> <p>SPP's OATT Revisions were filed in Docket No. ER03-765-000 to comply with FERC's September 26, 2006 Order requiring SPP revision of Schedule 2 to provide compensation for all generators, including Independent Power Producers. An effective date of March 1, 2007 is requested for Revised Schedule 2.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
6.	ER07-371	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of OATT Revisions to Allow All Generators to be Compensated for Reactive Power on a Non-Discriminatory and Comparable Basis</p> <p>This docket was created December 26, 2006.</p>	<p>As mentioned, SPP filed OATT revisions in Docket Nos. ER03-765-000 and ER07-371-000 on December 26, 2006. The revisions were filed Docket No. ER07-371-000 to establish a means to compensate generators for the provision of Reactive Supply and Voltage Control from Generation Sources Service and to recover these costs from transmission customers while taking service under the SPP OATT.</p> <p>An effective date of March 1, 2007 is requested.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
7.	EC03-131	<u>Oklahoma Gas & Electric Company and NRG McClain LLC</u>	Joint Application of OG&E and NRG McClain LLC for Authorization to Acquire 77% Interest in the 520 MW McClain Energy Generating Facility and Associated Transmission Equipment Located Near Oklahoma City, Oklahoma	<p>Pursuant to the Commission's July 2, 2004 Order, OG&E's Quarterly Market Monitoring Report for the third quarter of 2006 was filed in this docket on October 26, 2006.</p> <p>On December 1, 2006, OG&E notified the Commission that OG&E's market monitor has been advised that its monitoring role will cease as of November 30, 2006. OG&E also requested that the market monitor submit to the Commission its final report for the period ending November 30, 2006.</p>
8.	ER05-1051 ER05-1052	<u>Westar Energy, Inc.</u>	Submission by Westar and SPP of an Unexecuted Agreement between Westar Energy, Inc., Kansas Power Pool and SPP	<p>A settlement conference was held September 25, 2006, and agreements were reached on several issues regarding the self supply of ancillary services by Kansas Power Pool and the re-billing of ancillary services for the period beginning May 2005.</p> <p>Settlement procedures are ongoing.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
9.	RM05-30	<p align="center">Rules Concerning Certification of the Electric Reliability Organization; and Procedures for the Establishment, Approval, and Enforcement of Electric Reliability Standards</p>	<p>Notice of Proposed Rulemaking: Rules on ERO Certification and Procedures for the Establishment, Approval and Enforcement of Mandatory Electric Reliability Standards</p>	<p>Pursuant to Section 39.11(b) of the Commission’s regulations, the North American Electric Reliability Council (“NERC”) filed a copy of its 2006 Long-Term Reliability Assessment report with FERC on October 20, 2006.</p> <p>In addition, NERC’s 2006/2007 winter assessment report was filed with the Commission November 21, 2006.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
10.	EC06-4 ER06-20	<u>E.ON U.S. LLC</u>	<p>Commission Approval to Change Applicants Method of Complying with Order Nos. 888 and 889 and Certain Conditions Imposed by the Commission in the Context of Applicants' Prior Mergers, and Achieving the Goals of Order No. 2000</p> <p>Docket Nos. EC06-4 and ER06-20 closed March 17, 2006.</p>	<p>On October 12, 2006, E. ON U.S. filed, on behalf of LG&E and KU, the final withdrawal fee calculation and supporting documentation provided by MISO.</p> <p>However, on October 25, E. ON U.S. moved that the Commission grant confidential treatment to Exhibit A of its October 12 filing, also requesting that the Commission remove such information from its website. Consequently, E. ON U.S. provided the Commission a revised version of Exhibit A.</p> <p>On November 8, 2006, E. ON U.S.' September 28 filing of a revised joint OATT, was accepted by the Commission with an effective date of September 1, 2006, as requested.</p> <p>In addition, on November 16, 2006, the Commission accepted E. ON U.S.' October 12 Filing, as amended October 25.</p> <p>Both the November 8 and November 16 Orders constitute final agency action.</p>

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<i>Continued from Previous Page</i>	EC06-4 ER06-20	<u>E.ON U.S. LLC</u>	<p>Commission Approval to Change Applicants Method of Complying with Order Nos. 888 and 889 and Certain Conditions Imposed by the Commission in the Context of Applicants' Prior Mergers, and Achieving the Goals of Order No. 2000</p> <p>Docket Nos. EC06-4 and ER06-20 closed March 17, 2006.</p>	<p>On December 12, 2006, E.ON U.S. LLC requested rehearing and clarification, in Docket No. ER06-20-007, of the Commission's November 16, 2006 Letter order Accepting Compliance Filing in this proceeding.</p> <p>Specifically, E.ON U.S. alleges error in that the "Commission failed to acknowledge or accept Applicants' statements regarding its right to contest issues later identified with the Withdrawal Fee Calculation" and the "Commission accepted for filing the Withdrawal Fee Calculation that was later discovered to be incorrect."</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
11.	RM02-12	<p align="center">Standardization of Small Generator Interconnection Agreements and Procedures</p>	<p>Rulemaking Proceeding Regarding Remediating Undue Discrimination through Open Access Transmission Service and Standard Electricity Market Design, etc.</p> <p>This docket closed May 12, 2005.</p>	<p>On October 25, 2006, CAISO filed, in Docket Nos. RM02-12 and ER06-629, amendments to its <i>pro forma</i> Small Generator Interconnection Procedures study agreements for Commission approval and inclusion in the CAISO Tariff pursuant to Order 2006-B.</p> <p>Likewise, on October 27, 2006, ISO-NE submitted, in Docket Nos. RM02-12 and ER07-87, proposed amendments to the ISO-NE Tariff.</p> <p>SPP has not acted in this rulemaking proceeding.</p>
12.	AD05-13	<p align="center">Joint Boards on Security Constrained Economic Dispatch</p>	<p>Administrative Proceeding Involving FERC's Establishment of Federal-State Joint Boards to Address Security-constrained Economic Dispatch</p> <p>This docket closed July 31, 2006.</p>	<p>No activity has been recorded in this docket since FERC's July 31, 2006 submission to Congress of a report concerning the recommendations of the Regional Joint Boards for the Study of Economic Dispatch.</p> <p>SPP last acted in this docket on December 2, 2005 when it filed Comments on the November 13, 2005 meeting of the South Joint Board for Economic Dispatch.</p>

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13.	RM05-17 RM05-25	<p align="center">Preventing Undue Discrimination and Preference in Transmission Service</p>	<p>Proposed Rulemaking regarding Preventing Undue Discrimination and Preference in Transmission Service (Order 888 Revision)</p> <p>Docket No. RM05-25 closed September 16, 2005.</p>	<p>Pursuant to the September 28, 2006 Notice of Agenda and Procedures for Technical Conference in this rulemaking proceeding, several statements have been filed with the Commission.</p> <p>A technical conference was held October 12, 2006, and the transcript has been posted in these dockets.</p> <p>On November 15, 2006, the Commission issued a Notice of Request for Supplemental Comments on the following topics: (1) the proposal of the Transparent Dispatch Advocates for transmission providers to post redispatch cost information and provide real-time redispatch and (2) specific questions related to the provision of conditional firm service.</p> <p>December 15, 2006 was the deadline for filing supplemental comments, and numerous comments were filed.</p> <p>MISO was the only ISO/RTO to file supplemental comments in these dockets.</p>

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14.	ER06-257	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of an Executed Network Integration Transmission Service Agreement (“NITSA”) between SPP and Associated Electric Cooperative (“Associated”); a Network Operating Agreement (“NOA”) between SPP, Associated and Oklahoma Gas and Electric Co. (“OG&E”); and revisions to the designation of Service Agreement No. 1154</p> <p>This docket closed August 18, 2006.</p>	<p>On October 13, 2006, FERC issued a letter order accepting SPP’s September 14, 2006 filing of a revised executed NITSA with Associated Electric; a NOA between SPP, Associated and OG&E; and a Direct Assignment Facilities Charges Agreement between Associated and OG&E in compliance with Order No. 614. An effective date of September 1, 2006 was granted, as requested.</p> <p>The letter order constitutes final agency action.</p>

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15.	<p>ER06-451 ER06-641 ER06-1047 ER06-1467 ER07-345</p>	<p><u>Southwest Power Pool, Inc.</u></p>	<p>SPP Submission of Proposed Changes to its Open Access Transmission Tariff to Implement a Real-Time Energy Imbalance (“EIS”) Market</p> <p>Docket Nos. ER06-641, ER06-1047 and ER06-1647 closed April 14, 2006; July 20, 2006; and October 31, 2006, respectively.</p> <p>Docket No. ER07-345 was created December 20, 2006.</p>	<p>On October 11, 2006, SPP moved to answer one day out of time the comments and limited protest filed September 22, 2006 by the TDU Intervenors and Xcel Energy Services, Inc. on behalf of Southwestern Public Service Co. (“SPS”). SPP requested that the Commission reject the limited protest of the TDU Intervenors and the comments of SPS and accept SPP’s September 1 filing.</p> <p>On October 13, 2006, the Commission accepted SPP’s August 25 filing of OATT revisions in compliance with the Commission’s July 26 Order, to be effective January 1, 2006.</p> <p>On October 25, SPP filed notice with the Commission of a further delay in the scheduled implementation of SPP’s EIS market. SPP’s Board of Directors will consider certification for a February 1, 2007 EIS Market start date at the Board’s December 12, 2006 meeting. SPP will file its</p>

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<p><i>Continued from Previous Page</i></p>	<p>ER06-451 ER06-641 ER06-1047 ER06-1467 ER07-345</p>	<p><u>Southwest Power Pool, Inc.</u></p>	<p>SPP Submission of Proposed Changes to its Open Access Transmission Tariff to Implement a Real-Time Energy Imbalance (“EIS”) Market</p> <p>Docket Nos. ER06-641, ER06-1047 and ER06-1647 closed April 14, 2006; July 20, 2006; and October 31, 2006, respectively.</p> <p>Docket No. ER07-345 was created December 20, 2006.</p>	<p>market readiness certification with the Commission 30 days prior to market implementation.</p> <p>On October 26, 2006, SPP submitted a compliance filing providing for revisions to its OATT, specifically aspects of its real-time EIS Market. An effective date of February 1, 2007 is requested</p> <p>On October 26, 2006, the Commission denied in part and accepted in part the requests for rehearing. The Commission also accepted SPP’s revised tariff sheets, as modified, to be effective December 1, 2006 or such later date as SPP’s EIS Market becomes effective. SPP must submit a compliance filing within 30 days.</p> <p>On October 31, 2006, the Commission issued an Order on Tariff Filing and Compliance Filing: (1) SPP’s tariff revisions consisting of Attachment AM, Meter Agent Services Agreement and conforming changes to section 1.2.8, Energy Imbalance Services Settlement, of</p>

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<p><i>Continued from Previous Page</i></p>	<p>ER06-451 ER06-641 ER06-1047 ER06-1467 ER07-345</p>	<p><u>Southwest Power Pool, Inc.</u></p>	<p>SPP Submission of Proposed Changes to its Open Access Transmission Tariff to Implement a Real-Time Energy Imbalance (“EIS”) Market</p> <p>Docket Nos. ER06-641, ER06-1047 and ER06-1647 closed April 14, 2006; July 20, 2006; and October 31, 2006, respectively.</p> <p>Docket No. ER07-345 was created December 20, 2006.</p>	<p>Attachment AE are accepted as in compliance with the <i>SPP Market Order</i>, subject to SPP filing a compliance filing within 30 days of the date of the order to provide the clarification required in this order; (2) SPP is directed to update the Table of Contents to include Attachments AH, AK, AL, and AM within 30 days of the date of this order; (3) SPP’s informational filing consisting of its Market Readiness Metrics—Release 3, Revised 8/15/2006 and a letter from Mr. John R. Roddy, Accenture LLP (Exhibit III) and SPP’s Market Testing Plan (Exhibit IV) are accepted as in compliance with the <i>SPP Market Order</i>; (4) SPP is required to file Gestalt, LLC’s assessment of SPP’s Market Readiness Metrics with the Commission for informational purposes at least 30 days before market start; (5) SPP’s tariff revisions proposed in Docket No. ER06-1467 as shown in the Appendix are accepted to be effective February 1, 2007, or such later date as SPP’s EIS Market is effective; (6) SPP is directed to</p>

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<p><i>Continued from Previous Page</i></p>	<p>ER06-451 ER06-641 ER06-1047 ER06-1467 ER07-345</p>	<p><u>Southwest Power Pool, Inc.</u></p>	<p>SPP Submission of Proposed Changes to its Open Access Transmission Tariff to Implement a Real-Time Energy Imbalance (“EIS”) Market</p> <p>Docket Nos. ER06-641, ER06-1047 and ER06-1647 closed April 14, 2006; July 20, 2006; and October 31, 2006, respectively.</p> <p>Docket No. ER07-345 was created December 20, 2006.</p>	<p>re-assess the feasibility, cost, and benefits of any control area consolidation following its first year of imbalance market operation and to file its re-assessment with the Commission within 15 months following the start of market operations; (7) SPP’s proposed Revised Effective Date tariff sheets in Docket No. ER06-1467 are conditionally accepted, subject to SPP’s filing revised tariff sheets 7 days prior to market start, reflecting the actual market start date as the effective date and excluding any pending changes; and (8) SPP is required to re-file its entire tariff within 60 days after the startup of the imbalance market with tariff sheets reflecting the correct effective date and excluding any pending changes.</p> <p>On November 2, 2006, SPP filed revisions to its OATT, effective February 1, 2007.</p> <p>On November 17, 2006, Redbud Energy, LP moved for clarification of the Commission’s October 26</p>

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<p><i>Continued from Previous Page</i></p>	<p>ER06-451 ER06-641 ER06-1047 ER06-1467 ER07-345</p>	<p><u>Southwest Power Pool, Inc.</u></p>	<p>SPP Submission of Proposed Changes to its Open Access Transmission Tariff to Implement a Real-Time Energy Imbalance (“EIS”) Market</p> <p>Docket Nos. ER06-641, ER06-1047 and ER06-1647 closed April 14, 2006; July 20, 2006; and October 31, 2006, respectively.</p> <p>Docket No. ER07-345 was created December 20, 2006.</p>	<p>Order or, in the alternative, requested that the Commission grant rehearing.</p> <p>Also on November 17, the Commission conditionally approved the partial contested settlement filed by SPP May 22, 2006. SPP must inform the Commission on whether the Signatories accept the modifications directed herein, and if the Signatories accept the Commission’s modifications, SPP must submit compliance filings as described in the body of this order.</p> <p>On November 22, SPP notified FERC that SPP will file the Balancing Function Agreement by December 18, 2006.</p> <p>In addition, on November 27, SPP filed a request for clarification or, in the alternative, rehearing of FERC’s October 26, 2006 Order.</p> <p>Other rehearing requests have also been filed in these dockets.</p>

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<p><i>Continued from Previous Page</i></p>	<p>ER06-451 ER06-641 ER06-1047 ER06-1467 ER07-345</p>	<p><u>Southwest Power Pool, Inc.</u></p>	<p>SPP Submission of Proposed Changes to its Open Access Transmission Tariff to Implement a Real-Time Energy Imbalance (“EIS”) Market</p> <p>Docket Nos. ER06-641, ER06-1047 and ER06-1647 closed April 14, 2006; July 20, 2006; and October 31, 2006, respectively.</p> <p>Docket No. ER07-345 was created December 20, 2006.</p>	<p>On November 30, 2006, SPP submitted EIS Market compliance filings pursuant to the Commission’s October 31, 2006 Order. An effective date of February 1, 2007 is requested.</p> <p>On December 14, 2006, the Commission announced in Docket Nos. ER06-451-011 and ER06-1047-004 that it was granting rehearing of its October 26, 2006 Order.</p> <p>Pursuant to FERC’s November 17, 2006 “Order Conditionally Approving Partial Contested Settlement,” on December 15, 2006, SPP filed, in Docket No. ER06-451-015, (1) new Attachment AN, providing the terms and conditions under which SPP and SPP’s participating balancing authorities will share functional responsibility in SPP’s EIS Market, and (2) revisions to Section 8 of Attachment AE, establishing the liabilities</p>

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<p><i>Continued from Previous Page</i></p>	<p>ER06-451 ER06-641 ER06-1047 ER06-1467 ER07-345</p>	<p><u>Southwest Power Pool, Inc.</u></p>	<p>SPP Submission of Proposed Changes to its Open Access Transmission Tariff to Implement a Real-Time Energy Imbalance (“EIS”) Market</p> <p>Docket Nos. ER06-641, ER06-1047 and ER06-1647 closed April 14, 2006; July 20, 2006; and October 31, 2006, respectively.</p> <p>Docket No. ER07-345 was created December 20, 2006.</p>	<p>relating to the balancing functions described in Attachment AN. An effective date of February 1, 2007 is requested.</p> <p>On December 20, 2006, SPP filed, in Docket Nos. ER06-451-017 and ER07-345-000, revised proposed revisions to the SPP OATT relating to its EIS Market. Specifically, SPP proposes to proactively monitor system conditions and to coordinate with Balancing Authorities to determine appropriate plans. An effective date of February 1, 2007 is requested.</p> <p>On December 22, 2006, SPP submitted, in Docket No. ER06-451-017 materials demonstrating its EIS Market readiness.</p> <p>On January 3, 2006, the Commission issued a letter order in Docket Nos. ER06-451-013 and ER06-1467-00 accepting SPP’s November 30, 2006 filing of revisions to its OATT in compliance with FERC’s October 31, 2006</p>

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<i>Continued from Previous Page</i>	ER06-451 ER06-641 ER06-1047 ER06-1467 ER07-345	<u>Southwest Power Pool, Inc.</u>	<p>SPP Submission of Proposed Changes to its Open Access Transmission Tariff to Implement a Real-Time Energy Imbalance (“EIS”) Market</p> <p>Docket Nos. ER06-641, ER06-1047 and ER06-1647 closed April 14, 2006; July 20, 2006; and October 31, 2006, respectively.</p> <p>Docket No. ER07-345 was created December 20, 2006.</p>	<p>Order, to become effective February 1, 2007, or such later date as SPP’s EIS Market becomes effective.</p> <p>The order constitutes final agency action.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
16.	ER06-432	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of Revisions to its Open Access Transmission Tariff Incorporating the SPP Credit Policy as Attachment X</p> <p>This docket closed August 22, 2006.</p>	<p>On October 23, 2006, FERC issued an order granting SPP's September 21 request for rehearing of the Commission's August 22, 2006 Order on Technical Conference, Rehearing and Compliance filing.</p> <p>At P 30 of the August 22 Order the Commission directed SPP to revise the SPP Tariff to shorten the transmission exposure window from 125 days to 50 days, effective 250 days after March 1, 2006.</p> <p>Accordingly, on November 6, 2006, SPP submitted revisions to sections 5.2.2 and 2.2.3 of the credit policy set forth in Attachment X of the SPP Tariff. An effective date of November 6, 2006 is requested.</p>

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17.	EC06-46	<u>Aquila, Inc. and Mid-Kansas Electric Company, LLC</u>	Sunflower Electric Cooperative Section 203 Filing for FERC Approval of their Acquisition of West Plains	<p>On October 16, 2006, Kansas Municipal Utilities (“KMU”) filed a Protest, requesting that the Commission reject the application as patently deficient or, alternatively, set the matter for evidentiary hearing so that a full record can be developed to aid the Commission in the decision-making process and to consider the issues raised by KMU in this proceeding.</p> <p>Aquila and Mid-Kansas Electric Company, LLC responded on October 31, 2006 by moving for leave to submit answer and answer, requesting that the Commission accept the motion and approve the application without condition or hearing as requested in the August 31, 2006 supplement to the application.</p> <p>On December 7, 2006, the Commission issued an order conditionally authorizing disposition of jurisdictional facilities and accepting rate schedule for filing.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>EC06-46</p>	<p><u>Aquila, Inc. and Mid-Kansas Electric Company, LLC</u></p>	<p>Sunflower Electric Cooperative Section 203 Filing for FERC Approval of their Acquisition of West Plains</p>	<p>Aquila and Mid-Kansas Electric Company must submit their proposed final accounting within six months of the consummation of the transaction and shall notify the Commission within 10 days of the date that the transaction has consummated. In addition, Aquila must resubmit all tariff sheets to reflect the correct effective date within 15 days of the date of transfer of assets.</p> <p>An Open Commission Meeting was held December 21, 2006, at which Commissioner Moeller issued a statement regarding rate freezes and the recently held Kansas Transmission Summit.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
18.	NJ06-4	<u>Southwestern Power Administration</u>	<p>Southwestern Power Administration Filing of Revisions to its Non-Jurisdictional Open Access Transmission Tariff filed with the Commission December 31, 1997 to Conform the OATT to the Terms and Conditions of an Agreement Dated March 31, 2005, between Southwestern Power Administration and the SPP RTO</p> <p>This docket closed March 21, 2006.</p>	<p>No activity has taken place in this docket since the August 29, 2006 Commission denial of Southwestern Power Administration's request for waiver of the requirements of Order Nos. 2003 and 2006 and its request for rehearing of the Commission's March 21, 2006 Order.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
19.	RM06-8 AD05-7	<p style="text-align: center;"><u>Long-Term Firm Transmission Rights in Organized Electricity Markets</u></p> <p style="text-align: center;"><u>Long-Term Transmission Rights in Markets Operated by Regional Transmission Organizations and Independent System Operators</u></p>	<p>Notice of Proposed Rulemaking regarding Long-term Firm Transmission Rights in Organized Electricity Markets and Long-term Transmission Rights in Markets Operated by Regional Transmission Organizations</p> <p>Docket Nos. AD05-7-000 and RM06-8-000 closed February 2, 2006 and July 20, 2006, respectively.</p>	<p>On October 6, 2006, the Modesto Irrigation District (“MID”) answered in support of the Sacramento Municipal Utility District’s (“SMUD”) September 28 motion to strike PJM’s September 13 Motion for Leave to File Answer and Answer.</p> <p>On November 16, 2006, the Commission issued Order No. 681-A, denying rehearing and upholding Order No. 681, granting certain limited clarifications.</p> <p>On December 18, 2006, the following filings were submitted in this docket: a motion for clarification by the Long Island Power Authority and LIPA; a request for rehearing or appeal of Order No. 681-A by SMUD; and a request for clarification, or in the alternative, rehearing, by MID.</p> <p>Transmission organizations have until January 29, 2007 to file compliance proposals in Docket No. RM06-8.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
20.	ER04-1232	<u>Southwest Power Pool, Inc.</u>	<p>Xcel Submission on Behalf of Public Service Co. of Colorado and Southwestern Public Service Co. ("SPS") of the Joint Open Access Transmission Tariff, First Revised Volume 1 Including Formula Rates</p> <p>The Commission's April 5, 2006 Order Approving Uncontested Settlement terminated Docket Nos. ER04-1174, EL05-41 and ER04-1232. Docket No. ER04-1232 remains open for compliance filings.</p>	<p>On October 2, 2006, SPP submitted a compliance filing in Docket No. ER04-1232 to implement a rate change for Southwestern Public Service Company ("SPS")</p> <p>On October 23, 2006, SPS filed a protest to SPP's October 2 compliance filing. SPS supplemented its protest on November 15, 2006.</p> <p>The Commission granted rehearing of the September 1 Order on October 30, 2006.</p> <p>On December 4, 2006, SPP submitted corrections to the Attachment H tariff sheets that were filed in Docket No. ER04-1232-005.</p> <p>Comments were due December 26, 2006.</p> <p>SPS filed Comments December 21, 2006, renewing its October 23, 2006 protest, requesting that the Commission reject SPP's October 2, 2006 compliance filing and order</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<i>Continued from Previous Page</i>	ER04-1232	<u>Southwest Power Pool, Inc.</u>	<p>Xcel Submission on Behalf of Public Service Co. of Colorado and Southwestern Public Service Co. (“SPS”) of the Joint Open Access Transmission Tariff, First Revised Volume 1 Including Formula Rates</p> <p>The Commission’s April 5, 2006 Order Approving Uncontested Settlement terminated Docket Nos. ER04-1174, EL05-41 and ER04-1232. Docket No. ER04-1232 remains open for compliance filings.</p>	<p>SPP to submit a revised compliance filing including revisions to the SPP OATT similar to those suggested in Attachment 1 to SPS’ October 23, 2006 protest. Alternatively, SPS requests that the Commission accept SPP’s revisions to Sheet 161, provided that SPP submit the further compliance tariff revisions to Sheet 161A contained in Attachment 1 to SPS’s October 23 protest, effective retroactive to June 1, 2005.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
21.	ER06-729	<u>Southwest Power Pool, Inc.</u>	<p>SPP Submission of Revisions to Attachment M of its OATT in Modification of SPP's Compensation Procedure for Transmission Loss Energy that Transmission Customers are Required to Replace to Transmission Owners under SPP's Tariff</p> <p>This docket closed May 11, 2006.</p>	<p>On October 19, 2006, the Commission issued an order conditionally accepting SPP's August 1, 2006 filing, effective December 1 or on such later date as SPP's EIS Market becomes effective, subject to the conditions of this order and to further Commission orders addressing SPP's EIS market and Attachments AE and M.</p> <p>SPP was to file the explanations and OATT revisions, as required by the order, by November 18, 2006.</p> <p>Moreover, SPP will have to make a filing one year after commencement of its EIS market detailing the monthly amount of the neutrality uplift payments associated with losses for into and within transactions and through and out transactions.</p> <p>On November 20, 2006, SPP filed a limited request for rehearing of the Commission's October 19, 2006 "Order Conditionally Approving Tariff Revisions." Specifically, SPP</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<i>Continued from Previous Page</i>	ER06-729	<u>Southwest Power Pool, Inc.</u>	SPP Submission of Revisions to Attachment M of its OATT in Modification of SPP's Compensation Procedure for Transmission Loss Energy that Transmission Customers are Required to Replace to Transmission Owners under SPP's Tariff	<p>contends that on rehearing the Commission should (1) confirm that SPP may settle "into and within" transactional losses based on the locational imbalance price at the settlement location and (2) recognize that under SPP's EIS market design for though-and-out transactions, transmission owners incur loss impacts which are indistinguishable from their other energy obligations.</p> <p>On December 20, 2006, the Commission granted rehearing in Docket No. ER06-729-002 of its October 19, 2006 Order.</p>
22.	EL06-61 EL06-71	<u>Associated Electric Cooperative, Inc. v. Southwest Power Pool, Inc.</u>	<p>Associated Electric Filing of a Complaint Against SPP for Granting a Request by American Electric Power Service Corporation for 250 MW of Long-term Firm Point-to-Point Transmission Service from the Union Electric Company to the Central and South West Services, Inc.</p> <p>Docket No. EL06-61 closed May 19, 2006.</p>	<p>On October 26, 2006, the Commission issued an order in Docket No. EL06-61 denying Associated Electric's June 15 request for rehearing of the Commission's May 19, 2006 Order, wherein the Commission had denied Associated Electric's complaint against SPP.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
23.	RM06-16 RM06-22	Mandatory Reliability Standards for the Bulk-Power System	<p>FERC Notice of Rulemaking on Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006</p> <p>Docket No. RM06-16 closed April 18, 2006.</p>	<p>On October 20, 2006, the Commission issued in Docket No. RM06-16 a Notice of Proposed Rulemaking concerning mandatory reliability standards for the bulk-power system.</p> <p>In sum, the Commission proposes to: (1) approve 83 of 107 proposed Reliability Standards, including six of the eight regional differences, and the glossary of terms used in reliability standards developed by the North American Electric Reliability Council (“NERC”), which was certified as the nation’s Electric Reliability Organization (“ERO”) on July 20, 2006; (2) require that the ERO make significant improvements to many of the 83 Reliability Standards that are being approved as mandatory and enforceable (the remaining 24 Reliability Standards may remain pending at the Commission until further information is provided.); and (3) amend the text of its regulation to require that each Reliability Standard (a) identify the</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>RM06-16 RM06-22</p>	<p>Mandatory Reliability Standards for the Bulk Power System</p>	<p>FERC Notice of Rulemaking on Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006</p> <p>Docket No. RM06-16 closed April 18, 2006.</p>	<p>subset of users, owners and operators to which that particular Reliability Standard applies and (b) be maintained in the Commission's Public Reference Room and on the ERO's Internet website for public inspection.</p> <p>Comments were originally due January 2, 2007.</p> <p>On November 17, 2006, the Edison Electric Institute ("EEI") moved to extend the period for filing comments until January 9, 2007.</p> <p>On November 27, the Commission issued a notice granting in part motions for extensions of time to file comments and announcing a rulemaking proceeding.</p> <p>Specifically, the Commission identified 20 reliability standards to be addressed in this proceeding. Comments on the 20 revised proposed reliability standards are due January 3, 2007 in Docket No. RM06-16.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>RM06-16 RM06-22</p>	<p>Mandatory Reliability Standards for the Bulk Power System</p> <p>Mandatory Reliability Standards for Critical Infrastructure Protection</p>	<p>FERC Notice of Rulemaking on Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006</p> <p>Docket No. RM06-16 closed April 18, 2006.</p>	<p>The Commission also granted the requests for extension of time filed by EEI and the IRC to the limited extent that NOPR comments were due January 3, 2007. Accordingly, the IRC filed joint comments January 3, 2007.</p> <p>FERC also opened Docket No. RM07-3-000 to process the following proposed reliability standards: (1) <u>FAC-010-1</u> System Operating Limits Methodology for the Planning Horizon, (2) <u>FAC-011-1</u> System Operating Limits Methodology for the Operations Horizon and (3) <u>FAC-014-1</u> Establish and Communicate System Operating Limits.</p> <p>FERC staff issued a preliminary assessment of the eight proposed Critical Infrastructure Protection (“CIP”) Reliability Standards in Docket No. RM06-22-000 on December 11, 2006.</p> <p>Comments on the Staff Assessment are due February 12, 2007.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
24.	RM07-3	<p align="center">Facilities Design, Connections and Maintenance Reliability Standards</p>	<p>Rulemaking for Processing Three New Proposed Reliability Standards.</p> <p>The three proposed new Reliability Standards included in this docket are: (1) <u>FAC-010-1</u> System Operating Limits Methodology for the Planning, (2) <u>FAC-011-1</u> System Operating Limits Methodology for the Operations Horizon and (3) <u>FAC-014-1</u> Establish and Communicate System Operating Limits.</p>	<p>This docket was opened by the Commission on November 27, 2006, as discussed above in the status report for Docket Nos. RM06-16 and RM06-22.</p>
25.	AC06-126	<p align="center"><u>Southwest Power Pool, Inc.</u></p>	<p>SPP Request for an Extension of Time to File the Annual Charge Information Required by 18 CFR § 382.201</p>	<p>SPP last acted in this docket on May 3, 2006 when it petitioned the Commission for an additional 30 days (or until May 30, 2006) to file the information required by Section 382.201 of the Commission's regulations.</p> <p>No agency action on SPP's request was recorded in this docket.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
26.	RR06-1	<p align="center">North American Electric Reliability Council and North American Electric Reliability Corporation</p>	<p>North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization (“ERO”)</p> <p>This docket closed July 20, 2006.</p>	<p>Several comments, motions/notice of intervention and comment and limited protests have been filed in this docket. Notably, on October 2, 2006, the CAISO moved to intervene and the Electric Reliability Council of Texas, Inc. (“ERCOT”) filed comments.</p> <p>On October 13, 2006, the ISO/RTO Council (“IRC”) filed an answer to the October 2 comments of the Georgia System Operations Corporation (“GSOC”). The IRC requested that that the Commission accept the answer and dismiss GSOC’s comments insofar as acceptance of those comments would result in rejecting NERC’s September 18 compliance filing establishing a stand-alone segment for ISOs and RTOs in the RBB and Standards Committee.</p> <p>On October 18, NERC submitted a compliance filing addressing all items raised in the July 20, 2006 ERO Certification Order other than items related to NERC’s governance</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>RR06-1</p>	<p>North American Electric Reliability Council and North American Electric Reliability Corporation</p>	<p>North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization (“ERO”)</p> <p>This docket closed July 20, 2006.</p>	<p>and certain items related to the pro forma delegation agreement and the NERC-regional entity compliance monitoring and enforcement program.</p> <p>On October 30, 2006, the Commission issued an order (1) denying in part and granting in part requests for rehearing of the July 20 Order and (2) accepting NERC’s September 18 compliance filing.</p> <p>On November 17, 2006, the ISO/RTO Council (“IRC”) filed joint comments concerning the “Compliance Filing of the North American Electric Reliability Council and the North American Electric Reliability Corporation addressing Non-Governance Issues” submitted October 18.</p> <p>In sum, the IRC contended that the Commission should direct NERC to: (1) employ the NERC Standards Development Process to address all issues related to defining and ensuring an adequate level of</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>RR06-1</p>	<p>North American Electric Reliability Council and North American Electric Reliability Corporation</p>	<p>North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization (“ERO”)</p> <p>This docket closed July 20, 2006.</p>	<p>reliability; (2) provide an evaluated entity the ability to provide early input concerning the release of information to be contained in an evaluation report and ensure that evaluation reports do not circumvent or undermine NERC’s compliance process (if the Commission accepts NERC’s proposed elimination of the appeals process for the reliability readiness program); (3) provide more guidance as to the factors it will use in determining which penalty amount, within the range of penalty amounts from \$20,000 to \$1 million, it will apply for violation of NERC Reliability Standards requirements; (4) resolve in a timely manner the issue of what types of behavior will warrant each of the penalty amounts within the range; (5) modify its Revised Rules of Procedure concerning confidential information to provide express protection for information that is accorded confidential treatment by the Commission; and (6) specify that a request for information deemed confidential under other approved rules must comply with those rules.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<i>Continued from Previous Page</i>	RR06-1	North American Electric Reliability Council and North American Electric Reliability Corporation	<p>North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization (“ERO”)</p> <p>This docket closed July 20, 2006.</p>	<p>The IRC also argued that the Commission should find that NERC has complied with the directive to adopt the definitions of terms adopted in Order No. 672 and should recognize the difference between the bulk-power system (as defined in Order No. 672) and the bulk-electric system (as defined by NERC), at least on “Day One” of ERO operations.</p> <p>Several motions to intervene have been filed in this proceeding.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
27.	RR06-3	<p>North American Electric Reliability Council and North American Electric Reliability Corporation</p>	<p>North American Electric Reliability Council and North American Electric Reliability Corporation Filing of the 2007 Business Plan and Budget and the 2007 Business Plan and Budgets of Regional Entities in accordance with Section 39.4 of FERC's Regulations</p> <p>This docket closed October 24, 2006.</p>	<p>On October 16, 2006, NYISO moved for a brief deferral of the Commission's decision on one limited issue in this docket, which is on the Commission's Agenda for its October 19 Meeting: whether NERC should directly invoice ERO costs to load serving entities in the FERC-jurisdictional portion of the Northeast Power Coordinating Council region.</p> <p>On October 24, 2006, the Commission issued an order (1) conditionally accepting NERC's 2007 business plan and budget; (2) conditionally accepting in part and rejecting in part the Regional Entities' 2007 budgets; (3) approving NERC's proposal for billing; and (4) approving for funding the proposed WIRAB budget.</p> <p>The Commission also directed that NERC submit: (1) its proposed system of accounts and record keeping requirements as part of NERC's 2008 budget filing;</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<i>Continued from Previous Page</i>	RR06-3	North American Electric Reliability Council and North American Electric Reliability Corporation	<p>North American Electric Reliability Council and North American Electric Reliability Corporation Filing of the 2007 Business Plan and Budget and the 2007 Business Plan and Budgets of Regional Entities in accordance with Section 39.4 of FERC's Regulations</p> <p>This docket closed October 24, 2006.</p>	<p>(2) (within 60 days) a compliance filing to explain the organization and structure of its accounting and record keeping systems and indicating whether its current accounting practices and procedures comply with generally accepted accounting practices; and (6) compliance filings to address budget information for each Regional Entity.</p> <p>On November 24, 2006 a joint request for rehearing of the Commission's October 24, 2006 Order was filed by the Western Electricity Coordinating Council, PG&E and Southern California Edison.</p> <p>On December 22, 2006, NERC submitted a filing in compliance with the October 24, 2006 Order.</p> <p>Rehearing of the Commission's October 24 Order was granted on December 26, 2006.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
28.	ES06-51	<u>Southwest Power Pool, Inc.</u>	<p>SPP Section 204 Filing Seeking Authorization for the Issuance of \$5.4 Million in Senior Secured Notes</p> <p>This docket closed July 20, 2006.</p>	<p>On July 20, 2006, FERC issued a letter order authorizing SPP to issue senior secured notes in an amount not to exceed \$5,400,000 at any one time.</p> <p>The authorization expires July 20, 2008.</p> <p>SPP must file a Report of Securities Issued, under 18 C.F.R. §§ 34.10 and 131.43, no later than 30 days after the sale or placement of long-term debt or equity securities.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
29.	ER05-1416 EL06-83	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of a Request for Rehearing and Clarification of FERC's October 27, 2005 Order Denying SPP's Limitation of Roll-over Rights in a Service Agreement with Xcel</p> <p>Docket No. ER05-1416 closed October 27, 2005.</p>	<p>On October 5, 2006, Cargill Power Markets, LLC ("CPM") moved in Docket No. EL06-83 for leave to take interlocutory appeal of the Commission's August 29, 2006 Order denying CPM's timely-filed Motion to Intervene.</p> <p>On October 10, 2006, Occidental filed an answer opposing the October 5, 2006 "Appeal to the Chief Judge's Denial of Cargill Power Markets, LLC's Motion for Leave to Take Interlocutory Appeal."</p> <p>On October 12, 2006, the Commission issued in Docket No. EL06-83 a notice of determination by the Chairman that CPM had failed to demonstrate extraordinary circumstances that would prompt Commission review of the contested ruling necessary to prevent detriment to the public interest or irreparable harm to any person. Therefore, the Chairman declined to refer the interlocutory appeal filed by CPM to the full commission.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>ER05-1416 EL06-83</p>	<p><u>Southwest Power Pool, Inc.</u></p>	<p>SPP Filing of a Request for Rehearing and Clarification of FERC's October 27, 2005 Order Denying SPP's Limitation of Roll-over Rights in a Service Agreement with Xcel</p> <p>Docket No. ER05-1416 closed October 27, 2005.</p>	<p>Reconsideration of CPM's Motion for Reconsideration and Interlocutory Appeal of the August 29 Order was also denied.</p> <p>On November 3, 2006, FERC accepted by letter order in Docket No. ER05-1416-002 SPP's November 28, 2005 filing of a revised service agreement for long-term firm point-to-point transmission service with Southwestern Public Service Company (Rollover limitations were removed in compliance with FERC's October 27, 2005 Order).</p> <p>SPP filed a formal offer of settlement December 14, 2006.</p> <p>Initial and reply comments are due January 3, 2007 and January 16, 2007, respectively.</p> <p>On January 3, 2007, the FERC staff recommended certification of the settlement.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
30.	ER06-1349	<u>Southwest Power Pool, Inc.</u>	SPP August 9, 2006 Filing of Seven Executed Service Agreements for Firm Point-to-Point Service with Kansas Municipal Energy Agency {"KMEA"}	<p>Motions to Defer Action in this proceeding were filed by SPP on October 2, November 2, December 6, 2006 and January 3, 2007 to allow SPP opportunity to amend its initial filing. Per the latest request, SPP seeks deference for a period up to and including February 5, 2007.</p> <p>The Commission has not posted a response in this docket.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
31.	ER06-1309	<u>Southwest Power Pool, Inc.</u>	SPP July 31, 2006 Filing of an Executed Agreement for the Provision of Transmission Service to Missouri Bundled Retail Load between SPP and the Empire District Electric Co.	<p>On October 25, 2006, SPP submitted a Motion to Defer Action, requesting that the Commission defer action in this proceeding for a period of thirty days, up to and including November 27, 2006, to allow SPP an opportunity to revise the NITSA and NOA to accommodate the Service Agreement and to receive Empire's approval of such revisions.</p> <p>On November 22, 2006, SPP filed revisions to the NITSA and NOA approved November 19, 2002 in Docket No. ER02-2649-000 to accommodate the Service Agreement filed in this docket July 31, 2006.</p> <p>An effective date of October 1, 2006 is requested.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
32.	ER06-1318	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of an Executed Agreement for the Provision of Transmission Service to Missouri Bundled Retail Load, Network Operating Agreement (“NOA”) and Network Integration Transmission Service Agreement (“NITSA”) between SPP and Kansas City Power & Light (“KCPL”)</p> <p>This docket closed September 27, 2006.</p>	<p>Pursuant to the Commission’s September 27, 2006 letter order, SPP submitted a compliance filing October 24, 2006, revising the designation of Service Agreement No. 1267, an executed NITSA and NOA between SPP and KCPL.</p>
33.	RM06-4	Promoting Transmission Investment through Pricing Reform	<p>Providing incentives for transmission infrastructure investment to ensure the reliability of the bulk power transmission system and reduce the cost of delivered power to customers by reducing transmission congestion</p> <p>This docket closed July 20, 2006.</p>	<p>On September 19, 2006, the Commission granted rehearing of its July 20, 2006 Order.</p> <p>On December 22, 2006, FERC issued Order 679-A, Order on Rehearing, in Docket No. RM06-4-001, reaffirming its determinations in part and granting rehearing in part of Order No. 679.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
34.	ER06-1362	<u>Southwest Power Pool, Inc.</u>	<p>SPP Submission of Proposed Tariff Revisions to Modify Transmission Expansion Plan and Credit Policy</p> <p>This docket closed October 13, 2006.</p>	<p>On August 14, 2006, SPP filed proposed revisions to: Attachment O, setting forth the planning process to expressly specify that SPP's Board of Directors must approve the reliability upgrades identified in SPP's transmission expansion plan prior to implementation of the upgrades, and Attachment X, permitting guarantees involving publicly owned entities.</p> <p>On October 13, 2006, the Commission accepted in part and rejected in part SPP's filing.</p> <p>SPP made a compliance filing November 13 and submitted an errata to that filing November 15, 2006.</p> <p>On December 28, 2006, the Commission accepted by Letter order SPP's November 13 and November 15, 2006 filing of revisions to Attachment X (Credit Policy) to become effective August 15, 2006, as requested. The order constitutes final agency action.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
35.	ER06-1373	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of Changes to Pricing Zone Rates</p> <p>This docket closed October 3, 2006.</p>	<p>On October 3, 2006, the Commission accepted via letter order SPP's August 16, 2006 filing of revised tariff sheets reflecting changes to Attachment H and T of the SPP OATT, intended to implement a rate change for Westar. The submittals are to become effective subject to refund and subject to the outcome of Docket No. ER05-925.</p>
36.	ER06-1199 ER06-1204	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of a Partially Executed Service Agreement for Network Integration Transmission Service ("NITS") between SPP and Kansas Power Pool ("KPP") and an Unexecuted Network Operating Agreement ("NOA") between SPP, KPP and Westar Energy</p> <p>These dockets closed August 29, 2006.</p>	<p>On August 29, 2006, the Commission issued a letter order, accepting SPP's June 30, 2006 filing of two unexecuted NITS agreements with KPP and two unexecuted NOAs between SPP, KPP and Westar Energy.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
37.	ER07-211	<u>Southwest Power Pool, Inc.</u>	SPP Filing of a Partially Executed Large Generator Interconnection Agreement (“LGIA”) between SPP, Flying Cloud, LLC and Southwestern Public Service Company (“SPS”)	<p>On November 13, 2006, SPP filed a partially executed LGIA between SPP, Flying Cloud, LLC and SPS. SPP has no executed the LGIA due to a dispute over the satisfaction of an obligation of Flying Cloud under SPP’s LGIP. (The LGIA is intended to provide the terms and conditions for the interconnection of Flying Cloud’s generation facilities to the SPS transmission system.)</p> <p>Flying Cloud moved to intervene and protest on December 4, 2006.</p> <p>Excel Energy Services, Inc. moved to intervene out of time on December 14, 2006.</p> <p>On December 19, 2006, SPP filed its Answer to the Flying Cloud’s December 4, 2006 Protest, requesting that the Commission disregard Flying Cloud’s protest and determine whether the Frame Agreement for purchase of Mitsubishi wind turbines supplied by Flying Cloud to SPP is sufficient to satisfy the requirements of LGIP section 11.3.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
38.	ER07-4	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of an Executed Interconnection Agreement between SPP, SWEPCO and the Empire District Electric Company designated as Service Agreement No. 1285.</p> <p>The agreement is intended to replace and supersede the December 1, 1981 agreement between SWEPCO and Empire that provided for the interconnection of their transmission systems at 161 kV.</p>	<p>On October 2, 2006, SPP filed executed Interconnection Agreement, Service Agreement No. 1285, between SPP, SWEPCO and the Empire District Electric Company. An effective date of September 1, 2006 is requested.</p> <p>SPP amended its October 2 filing on October 10, 2006.</p> <p>SWEPCO and Empire filed notices of cancellation in Docket Nos. ER07-4-002 and ER07-4-003, respectively.</p> <p>On December 8, 2006, the Commission accepted for filing: SPP's October 2 and October 10, 2006 filings; Empire's November 6, 2006 cancellation notice and SWEPCO's November 7, 2006 cancellation notice; effective September 1, 2006.</p> <p>The order constitutes final agency action.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
39.	RM05-5 RM96-1-027	<p align="center">Standards for Business Practices and Communication Protocols for Public Utilities</p> <p align="center">Standards for Business Practices for Interstate Natural Gas Pipelines</p>	<p>Standards Governing Business Practices and Electronic Communications for Public Utilities, which were Developed through Consensus by the Wholesale Electric Quadrant (“WEQ”) of the North American Energy Standards Board (“NAESB”)</p> <p>This docket closed April 20, 2006.</p>	<p>On November 16, 2006, the NAESB filed modifications to its WEQ business practices for coordinate interchange (WEQ-004).</p> <p>Several rulemaking comments have been filed in these dockets.</p> <p>Notably, on December 15, 2006, the ISO/RTO Council (“IRC”) filed comments, recommending that the Commission: (1) direct the NAESB to adopt terminology in the NERC functional Model; (2) require all entities responsible for scheduling transmission service and energy adhere to the NAESB standards; (3) require the NAESB to modify its standards so that all Balancing Authorities are responsible for carrying out its standards (unless NAESB provides some legitimate explanation for the distinction); (4) revise the proposed standards to include clear references to all other NAESB standards that need to be read in connection with the proposed standards; and (5) modify standard S3X to require compliance with the Commission’s OASIS posting</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>RM05-5 RM96-1-027</p>	<p>Standards for Business Practices and Communication Protocols for Public Utilities</p> <p>Standards for Business Practices for Interstate Natural Gas Pipelines</p>	<p>Standards Governing Business Practices and Electronic Communications for Public Utilities, which were Developed through Consensus by the Wholesale Electric Quadrant (“WEQ”) of the North American Energy Standards Board (“NAESB”)</p> <p>This docket closed April 20, 2006.</p>	<p>requirements and the Standards of Conduct.</p> <p>ISO New England filed supplemental comments on December 18, 2006. ISO-NE suggests that the Commission: (1) modify Definition D1; (2) add definitions for (a) “Directly Connected TSP” and (b) “Communications Standard;” (3) specify in: (a) Standards S2X and S3X - the party intended to receive hourly flow rates from the power plant operator, (b) Standard S2X - its reference to the “approved gas schedule” and “gas scheduling processes,” (c) Standard S7X(2) - its reference to “supplier(s)” pertains to “gas supplier(s);” and (4) replace (a) “The Regional Transmission Organizations, Independent System Operators, independent transmission operators” in Standard S13 and S14 with “The Balancing Authorities” and that (b) “and/or” in Standards S13 and S16 with “and.”</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
40.	ER07-24	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of Letter Agreement between Southwestern Public Service Co. (“SPS”) and Lea Power Partners, LLC (“LPP”), Providing for LPP’s Payment to SPS for SPS’ Performance of Certain Engineering and Design Activities Required Prior to the Interconnection of LLP’s Generating Facility to SPS’ Transmission System</p> <p>This docket closed December 1, 2006.</p>	<p>On October 10, 2006, SPP filed a Letter Agreement between SPS and LPP. SPP submitted the Agreement on behalf of the parties as the Transmission Provider.</p> <p>On December 1, 2006, the Commission accepted the Letter Agreement for filing, effective September 15, 2006, as requested.</p> <p>The order constitutes final agency action.</p>
41.	ER07-272	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of Executed Service Agreement for Network Integration Transmission Service (“NITSA”) between SPP and Kansas Power Pool (“KPP”)</p>	<p>On December 1, 2006, SPP filed an executed agreement for NITSA between SPP and KPP.</p> <p>On December 22, 2006, Kansas Power Pool moved to intervene in Docket Nos. ER07-272-000, ER07-273-000, ER07-282-000 and ER07-283-000.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
42.	ER07-273	<u>Southwest Power Pool, Inc.</u>	SPP Filing of Executed Service Agreement for Firm Point-to-Point Transmission Service with Kansas Power Pool (“KPP”)	<p>On December 1, 2006, SPP filed an executed service agreement for firm point-to-point transmission service with KPP. An effective date of November 1, 2006 is requested.</p> <p>On December 22, 2006, Kansas Power Pool moved to intervene in Docket Nos. ER07-272-000, ER07-273-000, ER07-282-000 and ER07-283-000.</p>
43.	ER07-282	<u>Southwest Power Pool, Inc.</u>	SPP Filing of Executed Service Agreement for Firm Point-to-Point Transmission Service with Kansas Power Pool (“KPP”) and a Revised Agreement for Firm Point-to-Point Transmission Service between SPP and Kansas Municipal Energy Agency (“KMEA”)	<p>On December 1, 2006, SPP filed an executed service agreement for firm point-to-point transmission service with KPP and a revised agreement for firm point-to-point transmission service between SPP and KMEA. The proposed term of the KPP service agreement is two years and six months. An effective date of November 1, 2006 is requested.</p> <p>On December 22, 2006, Kansas Power Pool moved to intervene in Docket Nos. ER07-272-000, ER07-273-000, ER07-282-000 and ER07-283-000.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
44.	ER07-283	<u>Southwest Power Pool, Inc.</u>	SPP Filing of Executed Service Agreement for Network Integration Transmission Service (“NITSA”) between SPP and Kansas Power Pool (“KPP”)	<p>On December 1, 2006, SPP filed an executed agreement for NITSA between SPP and KPP. An effective date of November 1, 2006 is requested.</p> <p>On December 22, 2006, Kansas Power Pool moved to intervene in Docket Nos. ER07-272-000, ER07-273-000, ER07-282-000 and ER07-283-000.</p>
45.	ER05-519 ER05-520 ER05-523	<u>Southwest Power Pool, Inc.</u>	SPP Filing of Unexecuted Service Agreements for Network Integration Transmission Service and Unexecuted Network Operating Agreements between SPP, the Cooperatives and American Electric Power Company, the SPP Transmission Owner and Pricing Zone Host in which the Cooperatives’ Loads are Located	<p>On November 28, 2006, the Commission approved the subject settlement SPP filed June 19, 2006 on behalf of SPP, East Texas Electric Cooperative, Inc., Northeast Texas Electric Cooperative, Inc. and Tex-La Electric Cooperative of Texas, Inc.</p> <p>The order terminates Docket Nos. ER05-519-000, ER05-520-000 and ER05-523-000.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
46.	ER07-14	<u>Southwest Power Pool, Inc.</u>	SPP Filing of Notices of Cancellation for Networking Operating Agreements (“NOAs”) Nos. 1169 and 1184 that are to be Superseded by a Revised Network Integration Transmission Service Agreement (“NITSA”) Included in the Offer of Settlement Filed September 29, 2006 in Docket Nos. ER06-448-000 and ER06-767-000	<p>On October 4, 2006, SPP filed notices of cancellation for Service Agreement Nos. 1166, 1169 and 1184, originally filed in Docket Nos. ER06-448-000 and ER06-767-000. The NOAs are to be superseded by a revised NITSA included in the Offer of Settlement filed with the Commission September 29, 2006. A cancellation date of December 1, 2005 is requested.</p> <p>SPP supplemented its October 4 filing on October 10, 2006.</p> <p>On November 22, 2006, SPP filed a request to withdraw notice of cancellation for Original Service Agreement No. 1166 and reiterated its request that the Commission accept the notices of cancellation for Original Service Agreement 1169 and 1184, with an effective date of December 1, 2005.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
47.	ER07-200	<u>Southwest Power Pool, Inc.</u>	SPP Filing of an Executed Interconnection Agreement between SPP, Kansas Gas and Electric (“KG&E”), and Associated Electric Cooperative, Inc. (“Associated”), Designated Service Agreement No. 1298, which Formalizes the Interconnection of the Associated and KG&E Transmission Systems at the Point where the 345 kV Transmission Line that Extends from Associated’s Morgan Substation to KG&E’s Neosho Substation Crosses the Missouri-Kansas State-line and Defines Each Party’s Responsibilities and Obligations	<p>On November 13, 2006, SPP filed an executed interconnection agreement between SPP, KG&E and Associated, designated as Service Agreement No. 1298. (Comments on SPP’s filing were due December 4, 2006.)</p> <p>On January 8, 2007, the Commission issued a letter order accepting SPP’s November 13, 2006 filing, effective October 1, 2006, as requested.</p> <p>The order constitutes final agency action.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
48.	ER07-126	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of an Executed Service Agreement for Firm Point-to-Point Transmission Service with Kansas City Power and Light (“KCPL”) for the Period of One Year</p> <p>This docket closed December 6, 2006.</p>	<p>On November 2, 2006, SPP filed an executed service agreement for firm point-to-point transmission service with KCPL. The proposed term is one year.</p> <p>On December 6, 2006, the Commission issued a letter order accepting SPP’s November 2 filing to become effective October 1, 2006, as requested.</p> <p>The order constitutes final agency action.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
49.	ER07-25	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of Letter Agreement between Southwestern Public Service Company (“SPS”) and Golden Spread Electric Cooperative, Inc. (“Golden Spread”), Providing for the Performance of Certain Engineering and Design Activities by SPS and the Payment for Such Activities by Golden Spread Relating to the Proposed Interconnection of the 170 MW Mustang Unit 5 Project to be Owned and Constructed by Golden Spread in Yoakum County, Texas that will Connect to SPS’ 230 kV Transmission System</p> <p>This docket closed December 5, 2006.</p>	<p>As transmission provider, on October 10, 2006, SPP filed a Letter Agreement between SPS and Golden Spread, providing for the performance of certain engineering and design activities by SPS and the payment for such activities by Golden Spread. An effective date of August 22, 2006 is requested.</p> <p>SPP amended its initial filing October 24, 2006, revising Exhibit 1 and requesting an effective date of September 11, 2006.</p> <p>On October 30, 2006, Xcel Energy Services, Inc. moved to intervene on behalf of SPS, requesting that the Letter Agreement be accepted for filing.</p> <p>On December 5, 2006, the Commission accepted SPP’s October 10 and October 24 filings, granting an effective date of September 11, 2006, as requested.</p> <p>The order constitutes final agency action.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
50.	ER07-44	<u>Southwest Power Pool, Inc.</u>	SPP Filing of Executed Supplemental Service Agreement for Network Integration Transmission Service (“Supplemental NITS Agreement”) between SPP as Transmission Provider and Western Farmers Electric Cooperative (“WFEC”) to Allow WFEC to Designate the Hugo 2 Facility, Located in Choctaw County, Oklahoma, as a New Network Resource	<p>On October 17, 2006, SPP filed an executed Supplemental NITS Agreement between SPP as transmission provider and WFEC as network customer. An effective date of October 10, 2006 is requested.</p> <p>WFEC moved to intervene in this proceeding on October 25, 2006.</p> <p>On December 15, 2006, the Commission accepted by letter order SPP’s October 17, 2006 filing, effective October 10, 2006, as requested.</p> <p>The order constitutes final agency action.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
51.	ER06-1488	<u>Oklahoma Gas & Electric Company</u>	<p>Oklahoma Gas and Electric (“OG&E”) Revisions to Open Access Transmission Tariff to Implement Reserve Sharing Energy Service and to Incorporate North American Energy Standards Board Wholesale Electric Quadrant Standards</p> <p>(Filing of Proposed Reserve Sharing Energy Rate Schedule 4A)</p> <p>This docket closed October 30, 2006.</p>	<p>Pursuant to the Commission’s July 20, 2006 Order issued in Docket Nos. ER06-451-000 and ER06-1047-000, on September 13, 2006, OG&E filed Reserve Sharing Energy Rate Schedule 4A stating OG&E’s proposed terms and conditions of its reserve sharing energy rates. An effective date of July 1, 2006 is requested.</p> <p>Motions to intervene and protest have been filed by Redbud Energy, LP (“Redbud”) and the Oklahoma Municipal Power Authority (“OMPA”).</p> <p>SPP moved for leave to intervene out of time on October 11, 2006.</p> <p>On October 19, 2006, OG&E answered OMPA’s October 4 Motion to Intervene and Protest, requesting that the Commission allow OMPA’s intervention and dismiss OMPA’s objections to the pricing provisions of proposed Schedule 4A and OMPA’s request to modify terms of the Reserve</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>ER06-1488</p>	<p><u>Oklahoma Gas & Electric Company</u></p>	<p>Oklahoma Gas and Electric (“OG&E”) Revisions to Open Access Transmission Tariff to Implement Reserve Sharing Energy Service and to Incorporate North American Energy Standards Board Wholesale Electric Quadrant Standards</p> <p>(Filing of Proposed Reserve Sharing Energy Rate Schedule 4A)</p> <p>This docket closed October 30, 2006.</p>	<p>Sharing Agreement outside the Commission’s complaint process.</p> <p>On October 26, 2006, OMPA replied, requesting that the Commission require OG&E to further modify its filing to ensure OMPA’s access to reserve sharing service at rates reflecting OG&E’s actual costs and that the Commission consider together Schedule 4A filings made by SPP Balancing Authorities through the technical conference suggested by SPP.</p> <p>On October 30, 2006, the Commission accepted in part and rejected in part revisions to the Xcel, Westar, and OG&E OATTs.</p> <p>Those portions of the tariff sheets complying with Order No. 676 were accepted, but Schedule 4A was rejected. The deadline for compliance filings was November 29, 2006.</p> <p>On November 29, 2006, OG&E re-filed its proposed Schedule 4A,</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>ER06-1488</p>	<p><u>Oklahoma Gas & Electric Company</u></p>	<p>Oklahoma Gas and Electric (“OG&E”) Revisions to Open Access Transmission Tariff to Implement Reserve Sharing Energy Service and to Incorporate North American Energy Standards Board Wholesale Electric Quadrant Standards</p> <p>(OG&E Filing of Proposed Reserve Sharing Energy Rate Schedule 4A)</p> <p>This docket closed October 30, 2006.</p>	<p>Reserve Sharing Energy Charges, to comply with the October 30 Order and the Commission’s October 26 Order in Docket Nos. ER06-451-000 and ER06-1047-000. An effective date of January 31, 2007 is requested.</p> <p>Also on November 29, OMPA filed a request for rehearing of the Commission’s October 30, 2006 Order.</p> <p>Protests were filed by Redbud and OMPA on December 20, 2006.</p> <p>On December 27, 2006, the Commission granted rehearing in Docket Nos. ER06-1485 and ER06-1488 (not consolidated) of its October 30, 2006 Order.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
52.	ER06-1471	<u>Westar Energy, Inc.</u>	<p>Westar Energy, Inc. (“Westar”) Filing of Proposed Terms and Conditions for its Emergency Energy Charge, Schedule 4A, under the Westar OATT</p> <p>This docket closed October 30, 2006.</p>	<p>On October 5, 2006, Redbud Energy, LP moved to intervene out of time and protest.</p> <p>SPP moved to intervene out of time on October 11, 2006.</p> <p>On October 30, 2006, the Commission accepted in part and rejected in part revisions to the Xcel, Westar, and OG&E OATTs. (FERC accepted the portions of the tariff sheets complying with Order No. 676, effective July 1, 2006, and rejected Schedule 4A.)</p> <p>On November 29, 2006, Westar re-filed Schedule 4A, requesting an effective date coinciding with the start of SPP’s EIS market.</p> <p>Redbud filed a protest in Docket No. ER06-147-001 on December 20, 2006, requesting that the Commission order Westar to modify sections 5.0 and 6.0 of proposed Schedule 4A.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
53.	ER06-1463	<u>Empire District Electric Company</u>	<p>Empire District Electric Company (“Empire”) Filing of Proposed Terms and Conditions for its Emergency Energy Charge, Schedule 4A, and Tariff Revisions in Compliance with Order No. 676</p> <p>This docket closed October 26, 2006.</p>	<p>On September 1, 2006, Empire filed proposed terms and conditions for its emergency energy charge, Schedule 4A, and tariff revisions in compliance with Order No. 676.</p> <p>Redbud Energy moved to intervene September 22, 2006, and SPP moved to intervene out of time October 11, 2006.</p> <p>On October 26, 2006, the Commission accepted via letter order Empire’s tariff sheets incorporating Order No. 676 and Schedule 4A, effective July 1 and November 1, 2006, respectively.</p> <p>On November 17, 2006, Redbud moved for clarification or, in the alternative, requested rehearing of the October 26, 2006 letter order.</p> <p>On December 14, 2006, the Commission granted rehearing in Docket No. ER06-1463-001 of the October 26, 2006 letter order.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
54.	ER06-1485	<u>Xcel Energy Operating Companies</u>	<p>Xcel Energy Services, Inc. Compliance Filing on behalf of Southwestern Public Service Company ("SPS"), pursuant to the July 20, 2006 Order issued in Docket Nos. ER06-451-002 and ER06-1047-000, and Order No. 676 issued in Docket No. RM-05-5-000</p> <p>This docket closed October 30, 2006.</p>	<p>On October 11, 2006, SPP moved to intervene and protest, and Xcel moved for leave to answer Golden Spread and Redbud's September 28, 2006 motions of intervention and protest.</p> <p>On October 30, 2006 FERC accepted in part and rejected in part revisions to the Xcel, Westar, and OG&E OATTs. Schedule 4A was rejected.</p> <p>On November 29, 2006, Xcel submitted a revised version of Schedule 4A and Golden Spread requested rehearing of FERC's October 30, 2006 Order.</p> <p>On December 20, 2006, motions to intervene and protest were filed by Golden Spread in Docket Nos. ER06-1485-002 and ER07-266-000 and Redbud in Docket Nos. ER06-1485-001 and ER07-266-000.</p> <p>Rehearing of the October 30, 2006 Order was granted December 27, 2006 in Docket Nos. ER06-1485 and ER06-1488.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
55.	ER06-1491	<u>Aquila, Inc.</u>	<p>Aquila, Inc. (“Aquila”) Filing of OATT Revisions Providing for Emergency Energy Service (Schedule 4A) and Tariff Revisions in Compliance with Order No. 676</p> <p>This docket closed November 13, 2006.</p>	<p>On October 3, 2006, Redbud Energy LP moved to intervene in this proceeding.</p> <p>SPP moved to intervene on October 6, 2006.</p> <p>On November 13, 2006, the Commission accepted for Aquila’s September 15, 2006 filing of tariff sheets incorporating Order No. 676 and Schedule 4A, effective July 1 and November 1, 2006, respectively.</p> <p>The order constitutes final agency action.</p>
56.	ER06-1542	<u>Kansas City Power & Light Company</u>	<p>Kansas City Power & Light Company (“KCPL”) Filing of OATT Revisions Providing for Emergency Energy Service (Schedule 4A) and Tariff Revisions in Compliance with Order No. 676</p> <p>This docket closed November 20, 2006.</p>	<p>On October 6, 2006, SPP moved to intervene in this proceeding.</p> <p>On November 20, 2006, the Commission accepted via letter order KCPL’s September 28, 2006 filing of tariff sheets incorporating Order No. 676 and Schedule 4A to become effective July 1 and November 1, 2006, respectively.</p> <p>The letter order constitutes final agency action.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
57.	RR07-6	<p align="center">Delegation Agreement Between the North American Electric Reliability Corporation and Southwest Power Pool, Inc.</p>	SPP Regional Entity Delegation Agreement	<p>On November 29, 2006, the North American Electric Reliability Corporation (“NERC”) submitted for Commission approval a delegation agreement between NERC and SPP.</p> <p>Motions to intervene have been filed by the SERC Reliability Corporation, the Reliability First Corporation, the Northeast Power Coordinating Council: Cross-Border Regional Entity, Inc. and the Florida Reliability Coordinating Council.</p> <p>Comments are due January 10, 2007.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
58.	EL07-6	<u>Southwest Power Pool, Inc.</u>	Federal Power Act Section 206 Inquiries into Gas-Electric Coordination Issues	<p>On October 25, 2006, the Commission instituted inquiries pursuant to section 206 of the Federal Power Act to provide parties in ISOs and RTOs with forums in which to examine whether scheduling and compensation mechanisms need to be revised to ensure that gas-fired generators can obtain gas when gas-fired generation is necessary for reliability and that they are compensated appropriately when volatility in gas prices creates difficulty in recovering gas costs.</p> <p>January 16, 2006 is the deadline for SPP to make a compliance filing, either proposing necessary changes to SPP's scheduling and compensation systems or explaining why such changes are unnecessary.</p> <p>Numerous motions to intervene have been filed in this proceeding, and comments were filed by the Public Utilities Commission of Ohio on December 20, 2006.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
59.	ER07-243	<u>Southwest Power Pool, Inc.</u>	SPP Filing of Revised Executed Service Agreement for Firm Point-to-Point Transmission Service with Southwestern Public Service Company ("SPS")	<p>On November 22, 2006, SPP filed a revised executed service agreement for firm point-to-point transmission service with SPS.</p> <p>Xcel Energy Services, Inc. moved to intervene December 12, 2006.</p> <p>On December 22, 2006, the Commission accepted by letter order SPP's November 22, 2006 filing of a firm point-to-point transmission service agreement with SPS, effective January 1, 2007, as requested.</p> <p>The letter order constitutes final agency action.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
60.	RM06-12	<p align="center">Regulations for Filing Applications for Permits to Site Interstate Electric Transmission Facilities</p>	<p>Regulations for Filing Applications for Permits to Site Interstate Electric Transmission Corridors</p> <p>This rulemaking docket closed November 16, 2006.</p>	<p>On November 16, 2006, the Commission issued Order 689, establishing the filing requirements and procedures for entities asking the Commission to exercise its supplemental authority to site interstate transmission facilities under the Energy Policy Act of 2005.</p> <p>Several requests for rehearing have been filed.</p>
61.	ER07-304	<p align="center"><u>Southwest Power Pool, Inc.</u></p>	<p>SPP Filing of an Executed Service Agreement for Network Integration Transmission Service (“NITSA”) and an Executed Network Operating Agreement (“NOA”) with Oklahoma Gas and Electric Co. (“OG&E”)</p>	<p>On December 7, 2006, SPP filed an executed NITSA and an executed NOA between SPP and OG&E. An effective date of December 1, 2006 is requested.</p> <p>Agency action is forthcoming.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
62.	TX07-1	<u>Brazos Electric Power Cooperative, Inc.</u>	Brazos Electric Power Cooperative, Inc. ("Brazos") October 13, 2006 Filing, as Amended November 21, 2006, of an Application for an Order Directing a Physical Interconnection of Facilities and Transmission Service under Sections 210, 211 and 212 of the Federal Power Act in Connection with the Development of Hugo Unit 2, a New 750 MW Design Capacity Coal-Fired Generation Facility, to be Constructed Near Hugo, Oklahoma and the Brazos DC Tie	<p>On October 13, 2006, Brazos applied for an order directing a physical interconnection of facilities and transmission services under sections 210, 211 and 212 of the FPA, requesting expedited action.</p> <p>Brazos amended the application November 21, 2006. Brazos now requests action by January 31, 2007.</p> <p>Several motions to intervene have been filed. SPP moved to intervene and file comments in this proceeding on December 11, 2006.</p> <p>Brazos answered December 22, 2006, requesting that the commission approve the offer of settlement by issuing the proposed order without hearing on or before January 31, 2007. SPP filed a motion for leave to answer and answer on January 8, 2007.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
63.	ER07-314	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of Unexecuted Revised Network Integration Transmission Service (“NITS”) Agreement between SPP as Transmission Provider and American Electric Power Service Corporation (“AEP”) as Network Customer</p> <p>The Revised NITS Agreement is intended to allow AEP to designate new network resources under the existing NITS Agreement No. 1148, dated September 1, 2005.</p>	<p>On December 11, 2006, SPP filed an unexecuted revised NITS Agreement between SPP as transmission provider and AEP as network customer. An effective date of November 10, 2006 is requested.</p> <p>SPP supplemented its December 11 NITS filing on December 27, 2006.</p> <p>Motions to intervene and comment or protest have been filed in this docket.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
64.	ER05-1410 EL05-148	<u>PJM Interconnection, LLC</u>	Filing of Settlement by PJM Interconnection, LLC (“PJM”) and Multiple PJM Market Participants concerning PJM’s Reliability Pricing Model to Establish New Market Rules that will Enable PJM to Obtain Sufficient Energy to Reliably Meet the Needs of Consumers within PJM	<p>On September 29, 2006, PJM filed a Settlement Agreement resolving all issues regarding PJM’s implementation of a reliability pricing model to replace PJM’s existing capacity obligation rules in Docket Nos. ER05-1410 and -001 and EL05-148-000 and -001. Commission approval by December 22, 2006 was requested.</p> <p>Several comments to the proposed settlement were filed in these dockets, and settlement judge procedures were terminated November 29, 2006.</p> <p>On December 22, 2006, the Commission denied rehearing of the finding in its April 20 Order that PJM’s current capacity market rules are not just and reasonable and conditionally approved a settlement agreement intended to ensure reliability and provide for just and reasonable rates in the PJM region.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
65.	ER07-358	<u>Southwest Power Pool, Inc.</u>	SPP Filing of an Executed Service Agreement for Firm Point-to-Point Transmission Service with Western Resources d/b/a Westar Energy (“Westar”) as agent for the Missouri Joint Municipal Electric Utility Commission for the Proposed Term of One Year	<p>On December 22, 2006, SPP filed an executed service agreement for firm point-to-point transmission service with Westar for the proposed term of one year. An effective date of November 1, 2006, is requested.</p> <p>Section 7.1 of the Service Agreement conditions provision of services by SPP to Westar upon completion of the 2006 Expansion Plan Transmission Owner reliability network upgrade for the Blue Springs East – Duncan Road 161 kV Ckt. 1 facility. The amounts and constraining facilities for which redispatch will be provided during the summer of 2007 are also specified, and in the event that the facility network upgrade is delayed beyond the required completion date, generation redispatch or service curtailment may be required to alleviate the specified constrained facility.</p> <p>Comments on SPP’s filing are due January 12, 2007.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
66.	ER07-382	<u>Southwest Power Pool, Inc.</u>	<p>SPP Filing of Revised Agreement for Wholesale Distribution Service Charges between Kansas Electric Power Cooperative, Inc. (“KEPCo”) and the Empire District Electric Company (“Empire”)</p> <p>In addition to updating the monthly wholesale distribution service charge to reflect an added delivery point to serve KEPCo, the Revised Agreement: (1) Imposes a KVA In-Rush Limit at Each KEPCo Delivery Point, (2) makes KEPCo Responsible for Remote Terminal Unit or Related Telecommunications Arrangements and the Costs for Meter Consumption Monitoring and Exchange with Empire and (3) extends the Term of the Agreement to Six Years.</p>	<p>On December 28, 2006, SPP filed a revised agreement for wholesale distribution service charges between KEPCo and Empire. An effective date of November 1, 2006 is requested.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
67.	EL07-27	<p style="text-align: center;"> <u>East Texas Electric Cooperative, Inc.</u> <u>Tex-La Electric Cooperative of Texas, Inc.</u> <u>Deep East Texas Electric Cooperative, Inc.</u> </p>	<p>Petition by the East Texas Electric Cooperative, Inc. (“East Texas”), Tex-La Electric Cooperative of Texas, Inc. (“Tex-La”) and Deep East Electric Cooperative for Commission Approval of their Annual Transmission Revenue Requirements (“ATTRs”) for Inclusion in the SPP OATT</p> <p>East Texas and Tex-La are set to become transmission owners under the SPP OATT and, although Deep East will remain a non-member, Deep East will turn over functional control of its transmission facilities to SPP, with Tex-La serving as the transmission owner in SPP. Therefore, such revenue requirements will become a component of the SPP Pricing Zone 1 rate.</p>	<p>On December 22, 2006, East Texas, Tex-La and Deep East Electric Cooperative filed a petition in Docket No. EL07-27-000 requesting Commission approval of the ATTRs for their transmission facilities so that these ATTRs may be included in the SPP OATT. An effective date of January 1, 2007 is requested.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
68.	ER07-396	<u>Southwest Power Pool, Inc.</u>	SPP Filing of OATT Revisions to Incorporate the Annual Transmission Revenue Requirements (“ATTRs”) for the East Texas Electric Cooperative, Inc. (“East Texas”), Tex-La Electric Cooperative of Texas, Inc. (“Tex-La”) and Deep East Electric Cooperative Upon Commission Approval in Docket No. EL07-27	On December 29, 2006, SPP filed this pricing zone rate filing to incorporate the ATTRs for East Texas, Tex-La and Deep East Electric Cooperative into the SPP OATT upon Commission approval of the ATTRs in Docket No. EL07-27. An effective date of January 1, 2007 is requested.
69.	ER07-408	<u>Southwest Power Pool, Inc.</u>	SPP Filing of Attachment AD Revision to Extend the Tariff Administration Agreement with Southwestern Power Administration to January 31, 2007	On January 3, 2007, SPP filed a revision to Article IV, Section 1 of Attachment AD of the SPP OATT to extend the term of the Tariff Administration Agreement between SPP and Southwestern Power Administration to January 31, 2007. An effective date of January 1, 2007 is requested.

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
70.	ER07-319	<u>Southwest Power Pool, Inc.</u>	SPP Compliance Filing, pursuant to the Commission's October 31, 2006 Order, to Revise Various Aspects of SPP's EIS Market	<p>Pursuant to the Commission's October 31, 2006 Order, on December 12, 2006, SPP filed OATT revisions modifying various aspects of its EIS Market. SPP request acceptance on an expedited basis, to become effective February 1, 2007.</p> <p>Motions to intervene, comment and protest have been filed in this proceeding.</p>
71.	ER07-385	<u>American Electric Power Service Corporation</u> <u>Southwestern Electric Power Company</u> <u>Public Service Company of Oklahoma</u>	American Electric Power Service Corporation ("AEP") Filing of Schedule 4A on behalf of its Operating Companies, Public Service Company of Oklahoma and Southwestern Electric Power Company ("SWEPCO")	<p>On December 21, 2006, AEP filed Schedule 4A, setting forth AEP's proposed terms and conditions of its reserve sharing rates under the SPP OATT, as required by the Commission's July 20, 2006 Order in Docket Nos. ER06-451-002 and ER06-1047-000. An effective date of February 1, 2007 is requested.</p> <p>SPP intervened in this proceeding on January 8, 2007.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
72.	Arkansas 04-137-U	<u>Southwest Power Pool, Inc.</u>	SPP Application before the Arkansas Public Service Commission (“APSC”) for a Certificate of Public Convenience and Necessity (“CCN”)	On December 1, 2006, the APSC issued Order No. 7, closing consolidated Docket Nos. 04-137-U, 04-129-U, 04-111-U and 04-143-U because no further action is being taken at this time.
73.	Arkansas 04-050-U	<u>Entergy Services, Inc.</u>	Investigation Concerning Entergy Arkansas, Inc.’s Participation in an Entergy-only Independent Transmission System Administrator Structure as Compared to its Participation in the SPP Regional Transmission Organization	SPP filed initial and reply comments in this proceeding on May 17, 2004 and June 18, 2004, respectively. The last activity in this docket was posted June 6, 2005. However, the docket remains open.
74.	Arkansas 06-028-R	Resource Planning Guidelines for Electric Utilities and Consideration of Sec. 111(d)(12) of the Energy Policy Act of 2005	Arkansas Public Service Commission Proceeding on Rules and Regulations for Comprehensive Resource Planning	On January 4, 2007, the APSC issued Order No. 6, approving the Resource Planning Guidelines for Electric Utilities, as attached to the order. An additional order may be issued regarding whether to adopt the PURPA standard after a study to determine whether Arkansas utilities are already using diverse fuel sources is complete.

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
75.	Arkansas 06-077-U	<p style="text-align: center;">In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Public Convenience and Necessity to Construct, Own, and Operate a New 161 kV Transmission Line and Related Facilities in Benton County in Arkansas</p>	<p>Southwestern Electric Power Company's ("SWEPCO") Application for a Certificate of Public Convenience and Necessity (The subject facilities are an approved project included in the SPP RTO Transmission Expansion Plan.)</p> <p>SPP was granted intervenor status on June 28, 2006.</p>	<p>On October 5, 2006, the APSC issued Order No. 5, granting SWEPCO a Certificate of Public Convenience and Necessity to construct, operate and maintain along preferred "Route A" a new 161kV electric transmission line, approximately 7.5 miles in length, between its existing Siloam Springs Substation and its existing Chambers Spring Substation, together with associated transmission and substation terminal facilities and equipment at each substation, in Benton County, Arkansas. SWEPCO was granted a variance zone of a reasonable distance, not to exceed 500 feet either side of the center line of the approved route so as to allow SWEPCO to make minor changes and adjustments to the route. SWEPCO is to file a construction report in 86-033-A referencing this docket.</p> <p>On October 13, 2006, a joint motion for additional variance was filed by SWEPCO and the General Staff of the APSC for the authorized new</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<i>Continued from Previous Page</i>	Arkansas 06-077-U	In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Public Convenience and Necessity to Construct, Own, and Operate a New 161 kV Transmission Line and Related Facilities in Benton County in Arkansas	Southwestern Electric Power Company's ("SWEPCO") Application for a Certificate of Public Convenience and Necessity (The subject facilities are an approved project included in the SPP RTO Transmission Expansion Plan.) SPP was granted intervenor status on June 28, 2006.	161 kV transmission line. On November 7, 2006, the APSC issued Order No. 6, enlarging the variance zone by 300 feet to a total variance zone width of 800 feet as the transmission line traverses properties owned by Donald G. Leetch and others and the Farine Family Revocable Trust. Although no additional action has been taken, the docket remains open.
76.	Arkansas 06-142-U	In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Public Convenience and Necessity to Rebuild and Convert the Voltage of SWEPCO's North Fayetteville Station in Washington County, Arkansas	Southwestern Electric Power Company's ("SWEPCO") Application for Authority to Build a New 161 kV Transmission Station in Close Proximity to its Existing North Fayetteville 69 kV Station and to Transfer its Existing Facilities in said North Fayetteville Station to the Proposed New Facility. SPP was granted intervenor status on November 17, 2006.	On November 1, 2006, SWEPCO applied for authority to build a new 161 kV transmission station in close proximity to its existing North Fayetteville 69 kV Station and to transfer its existing facilities in the North Fayetteville Station to the proposed new facility. SPP moved to intervene on November 3, 2006, and SWEPCO filed a response in support on November 9, 2006. On November 8, 2006, the APSC

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>Arkansas 06-142-U</p>	<p>In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Public Convenience and Necessity to Rebuild and Convert the Voltage of SWEPCO's North Fayetteville Station in Washington County, Arkansas</p>	<p>Southwestern Electric Power Company's ("SWEPCO") Application for Authority to Build a New 161 kV Transmission Station in Close Proximity to its Existing North Fayetteville 69 kV Station and to Transfer its Existing Facilities in said North Fayetteville Station to the Proposed New Facility.</p> <p>SPP was granted intervenor status on November 17, 2006.</p>	<p>issued Order No. 1, designating Burl C. Rotenberry as the presiding officer.</p> <p>On November 17, 2006, the APSC issued Order No. 2, granting SWEPCO's October 26 motion for an interim protective order, and Order No. 3, granting SPP intervenor status.</p> <p>SWEPCO filed the direct testimony of Timothy A. Hostetler on November 20, 2006.</p> <p>On November 30, 2006, the APSC issued Order No. 4, setting a public hearing for January 30, 2007.</p> <p>On December 12, 2006, Jay Caspary's direct testimony and Clark Cotten's prepared testimony were filed on behalf of SPP and APSC staff, respectively.</p> <p>On December 28, 2006, WG Land Company Limited Partnership, as owner of land which has been identified as the alternate site, filed a petition to intervene.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>Arkansas 06-142-U</p>	<p>In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Public Convenience and Necessity to Rebuild and Convert the Voltage of SWEPCO's North Fayetteville Station in Washington County, Arkansas</p>	<p>Southwestern Electric Power Company's ("SWEPCO") Application for Authority to Build a New 161 kV Transmission Station in Close Proximity to its Existing North Fayetteville 69 kV Station and to Transfer its Existing Facilities in said North Fayetteville Station to the Proposed New Facility.</p> <p>SPP was granted intervenor status on November 17, 2006.</p>	<p>SWEPCO's rebuttal testimony was due December 28, 2006.</p> <p>Surrebuttal testimony is due by noon January 9, 2007.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
77.	Arkansas 05-021-U	<p align="center">In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Environmental Capability and Public Need to Build a New 345 kV Transmission Line between SWEPCO's Tontitown and Chambers Spring Stations Located in Benton and Washington Counties in Arkansas</p>	<p>Southwestern Electric Power Company's ("SWEPCO") Application for a Certificate of Environmental Capability and Public Need ("CECPN") to Build a New 345 kV Transmission Line between SWEPCO's Tontitown and Chambers Spring Stations Located in Benton and Washington Counties in Arkansas.</p> <p>SPP was granted intervenor status November 9, 2006.</p>	<p>Pursuant to Order No. 8, on October 9, 2006, SWEPCO filed an amended application for a CECPN.</p> <p>SWEPCO also filed the direct testimony of Rob R. Reid and George W. Carpenter.</p> <p>SPP moved to intervene in this proceeding on October 25, 2006 and was granted intervenor status November 9, 2006 via APSC Order No. 9.</p> <p>On November 13, 2006, the APSC issued Order No. 10, scheduling a public hearing for January 3, 2007 on SWEPCO's October 9, 2006 amended application.</p> <p>Intervenor testimony was due November 21, 2006.</p> <p>SWEPCO's rebuttal testimony was due December 6, 2006.</p> <p>Intervenor surrebuttal testimony was due December 20, 2006.</p> <p>On November 21, 2006, APSC Staff</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<p><i>Continued from Previous Page</i></p>	<p>Arkansas 05-021-U</p>	<p>In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Environmental Capability and Public Need to Build a New 345 kV Transmission Line between SWEPCO's Tontitown and Chambers Spring Stations Located in Benton and Washington Counties in Arkansas</p>	<p>Southwestern Electric Power Company's ("SWEPCO") Application for a Certificate of Environmental Capability and Public Need to Build a New 345 kV Transmission Line between SWEPCO's Tontitown and Chambers Spring Stations Located in Benton and Washington Counties in Arkansas</p> <p>SPP was granted intervenor status November 9, 2006.</p>	<p>filed the amended prepared testimony of Clark D. Cotton and SPP filed the direct testimony of Jay Caspary.</p> <p>Pursuant to Order No. 8, weekly status reports detailing progress made in developing an alternative route and actions taken to ensure reliability of the northwest Arkansas territory are being filed by SWEPCO in this docket.</p> <p>Monthly monitoring reports are also being filed by the APSC General Staff.</p> <p>A public hearing was held January 3, 2007.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
78.	Arkansas 06-172-U	<p align="center">In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Public Convenience and Necessity to Rebuild and Convert its Existing 69 kV Transmission Line to 161 kV between SWEPCO's Fayetteville and North Fayetteville Substations, All Located in Washington County Arkansas</p>	<p>Southwestern Electric Power Company's ("SWEPCO") Application for a Certificate of Public Convenience and Necessity to Rebuild and Convert its Existing 69 kV Transmission Line in Washington County to 161 kV between SWEPCO's Fayetteville and North Fayetteville Substations</p>	<p>On December 22, 2006 SWEPCO filed a CCN Application with the APSC to rebuild and convert its existing 69 kV line to a 161 kV line between SWEPCO's Fayetteville and North Fayetteville Substations.</p> <p>The direct testimony of Timothy Hostetler and George W. Carpenter was filed by SWEPCO in this docket on December 22.</p> <p>Order No. 1 was issued January 3, 2007, designating Burl C. Rotenberry as the presiding officer.</p> <p>SPP filed a motion to intervene in the proceeding January 9, 2007.</p>
79.	Louisiana U-28155	<p align="center"><u>Entergy Services, Inc.</u></p>	<p>Application of Entergy Louisiana, Inc. and Entergy Gulf States, Inc. for Review of Proposal to Establish Independent Coordinator of Transmission</p>	<p>The Louisiana Public Service Commission issued its order, approving the Entergy ICT proposal on September 1, 2006.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
80.	Missouri EA-2006-0309	In the Matter of the Application of Aquila, Inc. for Permission and Approval and a Certificate of Public Convenience and Necessity Authorizing it to Acquire, Construct, Install, Own, Operate, Maintain, and Otherwise Control and Manage Electrical Production and Related Facilities in Unincorporated Areas of Cass County, Missouri Near the Town of Peculiar	Aquila Inc.'s Application for a CCN for its already-built South Harper Facility and Peculiar Substation in Cass County, Missouri This case closed June 1, 2006.	The Missouri Public Service Commission approved Aquila's CCN Application for its South Harper peaking facility and Peculiar Substation on May 23, 2006 and on June 1 denied the motions for rehearing filed in this proceeding.
81.	Missouri EO-2006-0141 EO-2006-0142	In the Matter of the Applications of the Empire District Electric Company and Kansas City Power & Light Company for Authority to Transfer Functional Control of Certain Transmission Assets to SPP	Empire District Electric Company and Kansas City Power and Light ("KCPL") Applications for Authority to Transfer Functional Control of Certain Transmission Assets to SPP	On July 13, 2006, the Missouri Public Service Commission (1) granted the motion for clarification filed by Empire, KCPL and SPP with regard to the Commission's June 13, 2006 order approving the stipulation and agreement and (2) issued an amended order approving the stipulation and agreement. These proceedings closed July 24, 2006.

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
82.	Texas Project No. 31852	Rulemaking Relating to Renewable Energy Amendments	<p>The purpose of this project is to amend Texas Public Utility Commission substantive rules consistent with Senate Bill 20, passed by the 79th Legislature (1st called session).</p> <p>SB 20 increases the state’s goal for renewable energy. It also establishes transmission planning requirements to support additional renewable energy development in Texas, and sets a target for renewable energy resources other than wind power.</p>	<p>SPP filed initial and reply comments, requesting that the Texas Public Utility Commission approve the appropriate changes to the proposed rule and expedite the Certificate of Public Convenience and Necessity process for the transmission projects.</p> <p>Initial and reply comments were due October 3 and October 16, 2006, respectively.</p> <p>The Texas Public Utility Commission adopted a final CREZ rule December 15, 2006, as approved at the December 1, 2006 Open Meeting.</p> <p>At page 3 of its Order, the Commission states that it “may sever its consideration of potential zones into one or more zones into one or more separate dockets,” and that, “Evaluating potential CREZs that would be connected to the Southwest Power Pool (SPP) may require a separate docket in order to ensure adequate time to address issues involving SPP’s Open Access</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
<i>Continued from Previous Page</i>	Texas Project No. 31852	Rulemaking Relating to Renewable Energy Amendments	<p>The purpose of this project is to amend Texas Public Utility Commission substantive rules consistent with Senate Bill 20, passed by the 79th Legislature (1st called session).</p> <p>SB 20 increases the state’s goal for renewable energy. It also establishes transmission planning requirements to support additional renewable energy development in Texas, and sets a target for renewable energy resources other than wind power.</p>	<p>Transmission Tariff (“OATT”) as approved by the Federal Energy Regulatory Commission.”</p> <p>Transmission service providers have one year after a CREZ order to apply for all required CCNs for those transmission facilities identified by the Commission in the CREZ order as most beneficial and cost-effective to customers.</p>

REFERENCE	DOCKET	CASE NAME	DESCRIPTION	CURRENT STATUS
83.	Texas Docket No. 33672	Public Utility Commission of Texas Staff's Petition for Designation of Competitive Renewable Energy Zones	PUCT Staff's Initiation of a Proceeding to Designate CREZs	<p>P.U.C. Substantive Rule 25.174(a), effective January 4, 2007, requires that the PUCT Staff initiate a contested case proceeding to designate CREZs upon receiving ERCOT's study of wind energy production potential and transmission constraints that are most likely to limit the deliverability of electricity from wind resources.</p> <p>ERCOT's study was filed in Project No. 33577 on December 7, 2006.</p> <p>On January 4, 2007, the PUCT Staff filed a petition for the designation of competitive renewable energy zones.</p> <p>SPP will file a motion to intervene in this docket.</p> <p>Interventions are due by February 5, 2007.</p> <p>The deadline for a final order in this docket is July 5, 2007</p>