

Regulatory Status Report

Jurisdiction:
FERC

EL16-110 **Section 206 Proceeding to Consider whether to Require SPP to Limit the Eligibility for Auction Revenue Rights ("ARRs") and Long-Term Congestion Rights ("LTCRs") for Network Service Subject to Redispatch so that Such Service is Treated Comparably with Point-To-Point Transmission Service Subject to Redispatch with Respect to ARR and LTCR Eligibility**

On October 19, 2017, FERC issued an Order on Paper Hearing.

The Commission found that Section 34.6 of SPP's Tariff is unjust and unreasonable and unduly discriminatory or preferential to the extent that it allows SPP to provide Auction Revenue Rights and Long-Term Congestion Rights to network service customers subject to redispatch while necessary transmission upgrades are constructed on the same basis it provides ARR and LTCRs to firm transmission customers not subject to redispatch.

SPP was directed to submit a compliance filing to revise Section 34.6 of the Tariff to limit the eligibility for ARRs and LTCRs of network customers with service subject to redispatch so that network service subject to redispatch. Specifically, SPP is to add to Section 34.6 the same limitation on ARR and LTCR eligibility currently in Section 13.5 of the Tariff for point-to-point service for redispatch. The changes are to be effective the date of this order.

The Commission found it reasonable to grandfather ARRs or LTCRs that have already been granted by SPP for service associated with network service subject to redispatch under the current Tariff Section 34.6 discussed in this order.

SPP's compliance filing is due on November 20, 2017.

On October 19, 2017, FERC issued an order granting Southern Companies' request for clarification of the September 23, 2016 order. The Commission clarified that it did not address or foreclose firm transmission customers from seeking available relief nor did the Commission address any kind of retroactive relief, or the foreclosure of retroactive relief in the September 2016 order.

Regulatory Status Report

Jurisdiction: EL16-91
FERC

Section 206 Proceeding to Examine SPP's Open Access Transmission Tariff and Absence of Refund Commitment for Non-Public Utility Transmission Owners to Refund Revenues that they May Receive Associated with Service Provided due to their Status as Transmission-Owning RTO Members in the Same Manner Public Utility Transmission Owners Could be Required to Provide Refunds of Such Revenues

On October 19, 2017, FERC issued an order holding the paper hearing in abeyance in Docket No. EL16-91-000 pending the ongoing SPP stakeholder process. The Commission granted, in part, and denied, in part, SPP's requests for clarification.

The Commission found that it has authority to require SPP to revise the Tariff and/or governing documents to include a refund commitment by non-public utility transmission owning members whose revenue requirements are recovered under SPP's Tariff.

The Commission instituted a proceeding in Docket No. EL18-19-000 pursuant to Section 206 of the Federal Power Act to examine the SPP Membership Agreement and other jurisdictional documents that must be revised to fully implement the refund commitment concerns identified in the order issued on July 21, 2016 in Docket No. ER16-91-000. The refund effective date is October 25, 2017.

Docket Nos. EL16-91-000 and EL18-19-000 were consolidated for purposes of the paper hearing and the Commission will hold the proceedings in abeyance pending completion of the SPP stakeholder process.

SPP was directed to file a report updating the Commission on the status of its stakeholder process by December 15, 2017, and to submit a compliance filing with a proposal to address the lack of a refund commitment for non-public utility transmission owning members by February 28, 2018 explaining how its proposal satisfies the Commission's concerns in the July 2016 Order, regardless of the outcome of the stakeholder process, or show cause as to why revisions in the Tariff or other governing documents are not necessary.

EL17-11 **Complaint of Southern Company Services, Inc., as Agent for Alabama Power Company, Alleging SPP has Violated the Tariff by Treating Partial Interim Service Subject to Redispatch Obligations Held by Network Customers the Same as Unconditional Firm Transmission Service for Purposes of Granting Candidate Auction Revenue Rights under Attachment AE of the Tariff**

On October 19, 2017, FERC issued an order denying the Complaint.

EL17-69 **Enel Green Power North America, Inc., on behalf of its Subsidiary, Buffalo Dunes Wind Project, LLC and Southern Company Services, Inc., as Agent for Alabama Power Company ("Complainants"), Complaint Against SPP Asking the Commission to Direct SPP to Follow its Tariff, Including Specifically Attachment AE's Definition of Eligible Entity and to Respect the Ineligibility for Auction Revenue Rights ("ARRs") and Long-Term Congestion Rights ("LTCRs") Set Forth Therein**

On October 19, 2017, FERC issued an order denying the Complaint and Motion for Interim Relief.

Regulatory Status Report

Jurisdiction: EL17-86
FERC

Nebraska Public Power District's ("NPPD") Complaint Against SPP Concerning the Attachment Z2 Revenue Crediting Process

On October 2, 2017, the American Wind Energy Association and the Wind Coalition filed an answer in response to the answer filed by SPP. The parties requested that FERC deny NPPD's Complaint.

On October 2, 2017, Nebraska Public Power District filed an answer in response to SPP's answer filed on September 20, 2017.

On October 17, 2017, SPP filed an answer in response to the answer filed by Nebraska Public Power District on October 2, 2017.

EL17-89

American Electric Power Service Corporation ("AEP") Complaint Against the Midcontinent Independent System Operator, Inc. ("MISO") and SPP Concerning Assessment of Duplicative Congestion Charges Associated with Southwestern Electric Power Company ("SWEPCO") Loads that are Pseudo-Tired from MISO to SPP

On October 2, 2017, the Midcontinent Independent System Operator, Inc. filed a Motion for a Limited Extension of Time to File Answer and for Expedited Action.

On October 5, 2017, Entergy Services, Inc. filed a Motion to Intervene and Protest in response to the Complaint.

On October 5, 2017, the City of Minden, Louisiana filed a Motion to Intervene.

On October 11, 2017, FERC issued a Notice of Extension of Time to and including October 12, 2017 to file comments in this proceeding.

On October 12, 2017, SPP filed an answer in response to the Complaint.

EL18-16

Section 206 Proceeding Concerning South Central MCN LLC's ("South Central") Formula Rate Implementation Protocols

On October 19, 2017, FERC issued an order accepting, subject to condition, South Central MCN LLC's proposed revisions in Docket No. ER15-2594-003, to be effective once the Formula Rate is filed with the Commission to become part of SPP's Tariff. South Central was directed to submit a compliance filing in 30 days.

The revisions in Docket No. ER17-953-000 were accepted, subject to refund and the outcome of Docket Nos. ER15-2594 and EL18-16.

The Commission found that the transmission formula rate protocols may be unjust, unreasonable, unduly discriminatory or preferential because they attempt to define the scope of future filings under Section 205 of the Federal Power Act. Accordingly, the Commission instituted a proceeding in Docket No. EL18-16-000 pursuant to Section 206 of the Federal Power Act.

The refund effective date is October 26, 2017.

Regulatory Status Report

Jurisdiction: EL18-19
FERC

Section 206 Proceeding to Examine SPP's Membership Agreement (and Other Jurisdictional Documents) and Absence of Refund Commitment for Non-Public Utility Transmission Owners to Refund Revenues that they May Receive Associated with Service Provided due to their Status as Transmission-Owning RTO Members in the Same Manner Public Utility Transmission Owners Could be Required to Provide Refunds of Such Revenues

On October 19, 2017, FERC issued an order holding the paper hearing in abeyance in Docket No. EL16-91-000 pending the ongoing SPP stakeholder process. The Commission granted, in part, and denied, in part, SPP's requests for clarification.

The Commission found that it has authority to require SPP to revise the Tariff and/or governing documents to include a refund commitment by non-public utility transmission owning members whose revenue requirements are recovered under SPP's Tariff.

The Commission instituted a proceeding in Docket No. EL18-19-000 pursuant to Section 206 of the Federal Power Act to examine the SPP Membership Agreement and other jurisdictional documents that must be revised to fully implement the refund commitment concerns identified in the order issued on July 21, 2016 in Docket No. ER16-91-000. The refund effective date is October 25, 2017.

Docket Nos. EL16-91-000 and EL18-19-000 were consolidated for purposes of the paper hearing and the Commission will hold the proceedings in abeyance pending completion of the SPP stakeholder process.

SPP was directed to file a report updating the Commission on the status of its stakeholder process by December 15, 2017, and to submit a compliance filing with a proposal to address the lack of a refund commitment for non-public utility transmission owning members by February 28, 2018 explaining how its proposal satisfies the Commission's concerns in the July 2016 Order, regardless of the outcome of the stakeholder process, or show cause as to why revisions in the Tariff or other governing documents are not necessary.

EL18-20

Indicated SPP Transmission Owners Complaint Against SPP Concerning Cost Shifts

On October 13, 2017, the Indicated SPP Transmission Owners filed a Complaint and Request for Fast Track Processing. The parties stated that SPP used a license plate rate design to prevent shifting the costs of legacy transmission facilities between SPP members when SPP was established as a Regional Transmission Organization; however, within zones SPP's Tariff lacks the "no legacy cost shift" rate protections it established between zones through license plate rates. Therefore, when new Transmission Owners are added to existing zones, cost shifts occur. The parties asked the Commission to eliminate the loophole and prohibit shifting of costs of legacy facilities within zones the same way SPP's Tariff already prohibits such cost shifts between zones for the same legal and policy reasons.

On October 18, 2017, FERC issued a Notice of Complaint. Comment date is November 2, 2017.

Several parties filed motions to intervene.

Regulatory Status Report

Jurisdiction: EL18-26
FERC

EDF Renewable Energy, Inc.'s ("EDF Renewable") Complaint Against the Midcontinent Independent System Operator, Inc., Southwest Power Pool, Inc., and PJM Interconnection, L.L.C. Regarding Affected System Coordination

On October 30, 2017, EDF Renewable Energy, Inc. filed a complaint against SPP, the Midcontinent Independent System Operator, Inc., and PJM Interconnection, L.L.C. requesting that the Commission order SPP, MISO and PJM to file tariff and Joint Operating Agreement revisions to add detail regarding the timing for neighboring and host RTOs to complete Affected system analysis, the standard the Affected System applies to determine impacts, and how network upgrade costs are assigned to and between proposed generation located in the host RTO and generation located in the neighboring Affected System RTO.

EL18-9

Xcel Energy Services Inc.'s ("Xcel Energy"), on behalf of Southwestern Public Service Company ("SPS"), Complaint Against SPP Concerning the Attachment Z2 Revenue Crediting Process

On October 10, 2017, Xcel Energy Services Inc., on behalf of Southwestern Public Service Company, filed a Complaint concerning SPP's assessment of revenue Credit Payment Obligations ("CPOs") under Attachment Z2 of the Tariff. Xcel Energy requested that the Commission issue an order granting this Complaint and finding that SPP is violating Attachment Z2 and filed rate doctrine. Xcel Energy requested that the Commission find that SPP's net assessment of approximately \$12.8 million to SPS associated with historical revenue CPOs, as well as ongoing monthly charges of approximately \$485,000 for current CPOs and amounts uplifted through Schedule 11, are unjust and unreasonable, require SPP to recalculate CPOs for SPS' transmission service reservations using the "but for" test required in Attachment Z2, recalculate the historic and ongoing Z2 charges, and provide refunds to SPS with interest.

On October 11, 2017, FERC issued a Notice of Complaint. Comment deadline is October 30, 2017.

On October 27, 2017, Nebraska Public Power District filed a Motion to Intervene and Comments. NPPD stated it supports a full investigation into the Complaint, including whether SPP's billing of Credit Payment Obligations is consistent with Attachment Z2 of SPP's Tariff.

On October 30, 2017, NextEra Energy Resources, LLC filed an answer in response to the Complaint.

On October 30, 2017, SPP filed an Answer to Complaint.

SPP stated:

- 1) SPP has properly implemented revenue crediting in accordance with the "but for" standard of the Tariff;
- 2) SPP's Attachment Z2 crediting procedures comply in all respects with the filed rate doctrine and do not constitute retroactive rate making;
- 3) Xcel's arguments that SPP does not assess the duration of a transmission reservation in calculating Credit Payment Obligation costs are unavailing; and
- 4) SPP's Attachment Z2 implementation process has been open and transparent.

On October 30, 2017, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene and Comments in support of the Complaint.

On October 30, 2017, Kansas Electric Power Cooperative, Inc. filed a Motion to Intervene and Comments in support of the Complaint.

Regulatory Status Report

Jurisdiction: ER14-2850
FERC

Submission of Tariff Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")

On October 26, 2017, Basin Electric Power Cooperative, Heartland Consumers Power District, and Missouri River Energy Services filed a Joint Request for Rehearing of the order issued on September 26, 2017.

ER14-2851

Submission of Bylaws and Membership Agreement ("Governing Documents") Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")

On October 26, 2017, Basin Electric Power Cooperative, Heartland Consumers Power District, and Missouri River Energy Services filed a Joint Request for Rehearing of the order issued on September 26, 2017.

ER15-1499

Submission of Tariff Revisions to Incorporate City of Independence, Missouri as a Transmission Owner and to Implement a Stated Annual Transmission Revenue Requirement ("ATRR")

On October 13, 2017, SPP submitted its compliance filing to update Attachments H and T of the Tariff to implement the stated Annual Transmission Revenue Requirement for SPP Transmission Owner City of Independence, MO for the rate year beginning January 1, 2018, pursuant to the Offer of Settlement accepted by the Commission in its order issued on July 28, 2016.

An effective date of January 1, 2018 was requested.

ER15-2028

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Corn Belt Power Cooperative ("Corn Belt")

On October 27, 2017, Judge Coffman filed a Report of Contested Settlement.

ER15-2115

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Northwest Iowa Power Cooperative ("NIPCO")

On October 3, 2017, Judge Coffman issued a Report of Settlement Judge, recommending that the settlement proceeding continue.

Regulatory Status Report

Jurisdiction: ER15-2594
FERC

South Central MCN LLC's ("SCMCN") Application for Acceptance of a Transmission Rate Formula to Establish an Annual Transmission Revenue Requirement ("ATRR") for Transmission Service Over Facilities that SCMCN will Own in the Southwest Power Pool, Inc. Region

On October 19, 2017, FERC issued an order accepting, subject to condition, South Central MCN LLC's proposed revisions in Docket No. ER15-2594-003, to be effective once the Formula Rate is filed with the Commission to become part of SPP's Tariff. South Central was directed to submit a compliance filing in 30 days.

The revisions in Docket No. ER17-953-000 were accepted, subject to refund and the outcome of Docket Nos. ER15-2594 and EL18-16.

The Commission found that the transmission formula rate protocols may be unjust, unreasonable, unduly discriminatory or preferential because they attempt to define the scope of future filings under Section 205 of the Federal Power Act. Accordingly, the Commission instituted a proceeding in Docket No. EL18-16-000 pursuant to Section 206 of the Federal Power Act.

The refund effective date is October 26, 2017.

ER16-1286

Submission of Tariff Revisions to Clarify Redispatch Provisions

On October 19, 2017, FERC issued an order granting Southern Companies' request for clarification of the September 23, 2016 order. The Commission clarified that it did not address or foreclose firm transmission customers from seeking available relief nor did the Commission address any kind of retroactive relief, or the foreclosure of retroactive relief in the September 2016 order.

ER17-1610

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Mountrail-Williams Electric Cooperative ("Mountrail-Williams")

On October 11, 2017, Judge Young issued an Order Cancelling Settlement Conference to be held on October 12, 2017.

On October 16, 2017, Judge Young issued a Settlement Judge Report to the Commission and Chief Judge, stating that the participants anticipate filing a formal offer of settlement in mid-November.

ER17-1741

Submission of Updated Annual Transmission Revenue Requirement ("ATRR") for The Central Nebraska Public Power and Irrigation District ("Central")

On October 5, 2017, The Central Nebraska Public Power and Irrigation District filed Comments in Support of Stipulation and Joint Offer of Settlement.

On October 5, 2017, Nebraska Public Power District filed Comments in Support of Stipulation and Joint Offer of Settlement.

On October 5, 2017, Commission Trial Staff filed Comments Supporting Offer of Settlement.

On October 24, 2017, Judge Cheney issued a Certification of Uncontested Settlement.

On October 25, 2017, Judge Cintron issued an Order of Chief Judge Terminating Settlement Procedures.

Regulatory Status Report

Jurisdiction: FERC	ER17-2027	Submission of Tariff Revisions to SPP's Integrated Transmission Planning Process On October 23, 2017, SPP submitted its response to the letter requesting additional information issued on September 21, 2017.
	ER17-2042	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Otter Tail Power Company ("Otter Tail") as Network Customer, and Central Power Electric Cooperative, Inc. ("Central Power") and Western Area Power Administration ("WAPA") as Host Transmission Owners On October 2, 2017, the Midcontinent Independent System Operator, Inc. filed an answer in response to the answer filed by SPP on September 15, 2017. On October 13, 2017, FERC issued an order accepting the agreement, effective June 1, 2017 as requested.
	ER17-2229	Submission of Tariff Revisions to Change the Frequency of the Regional Cost Allocation Review ("RCAR") On October 30, 2017, Sunflower Electric Power Corporation and Mid-Kansas Electric Company LLC filed a Request for Rehearing of the order issued on September 29, 2017.
	ER17-2256	Cost Allocation for Proposed Transmission Projects (Part 1 of 2) - Brookline Reactor Project and Morgan Transformer Project On October 6, 2017, FERC issued an order rejecting the proposed Morgan Transformer and Brookline Reactor transmission projects identified pursuant to the joint planning process contained in the Commission-approved Joint Operating Agreement between SPP and Associated Electric Cooperative, Inc.
	ER17-2257	Cost Allocation for Proposed Transmission Projects (Part 2 of 2) - Brookline Reactor Project and Morgan Transformer Project On October 6, 2017, FERC issued an order rejecting the proposed Morgan Transformer and Brookline Reactor transmission projects identified pursuant to the joint planning process contained in the Commission-approved Joint Operating Agreement between SPP and Associated Electric Cooperative, Inc.
	ER17-2306	Transmission Interconnection Agreement between East River Electric Power Cooperative, Inc. ("East River") and Northern States Power Company ("NSPM"), with the Midcontinent Independent System Operator, Inc. ("MISO") and SPP as Signatories On October 30, 2017, FERC issued an order accepting the agreement, effective November 1, 2017 as requested. This order constitutes final agency action.
	ER17-2312	Submission of Tariff Revisions to Remove Day-Ahead Limited Must-Offer Requirement On October 13, 2017, FERC issued an order rejecting SPP's tariff revisions to remove the Day-Ahead limited must-offer requirement.
	ER17-2441	Generator Interconnection Agreement ("GIA") between Milligan 1 Wind LLC ("Milligan") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner On October 30, 2017, FERC issued an order accepting the agreement, effective August 24, 2017 as requested. This order constitutes final agency action.

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Jurisdiction: FERC	ER17-2442	Generator Interconnection Agreement ("GIA") between Monument Road Wind LLC ("Monument") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner On October 30, 2017, FERC issued an order accepting the agreement, effective August 24, 2017 as requested. This order constitutes final agency action.
	ER17-2454	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and American Electric Power Service Corporation ("AEP") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners On October 30, 2017, FERC issued an order accepting the agreement, effective September 1, 2017 as requested. This order constitutes final agency action.
	ER17-2495	Request for Approval to Reprice the Fort Calhoun Settlement Location On October 6, 2017, Omaha Public Power District filed a Motion to Intervene.
	ER17-2523	Submission of Tariff Revisions to Attachment Y, Section I.1 to Add a Competitive Project Minimum Threshold On October 3, 2017, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene. On October 4, 2017, LSP Transmission Holdings, LLC and Southwest Transmission, LLC filed a Motion to Intervene. On October 6, 2017, South Central MCN LLC filed a Motion to Intervene. On October 11, 2017, DATC LLC filed a Motion to Intervene.
	ER17-2537	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") as Host Transmission Owner On October 4, 2017, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed Motions to Intervene.
	ER17-2583	Informational Filing of True-Up and Final Reallocation of Revenue Requirements for the Balanced Portfolio On October 6, 2017, American Electric Power Service Corporation filed a Motion to Intervene. On October 16, 2017, Western Farmers Electric Cooperative filed a Motion to Intervene. On October 19, 2017, Xcel Energy Services Inc. filed a Motion to Intervene. On October 20, 2017, Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation filed Motions to Intervene.
	ER17-426	Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Denison Municipal Utilities ("DMU") On October 10, 2017, Commission Trial Staff filed Comments Not Opposing Joint Offer of Settlement. On October 23, 2017, Judge Cintron issued an Order of Chief Judge Cancelling Settlement Conference scheduled to be held on October 27, 2017.

Regulatory Status Report

Jurisdiction: FERC	ER17-428	Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Vermillion Light & Power ("VLP") On October 23, 2017, Judge Cintron issued an Order of Chief Judge Cancelling Settlement Conference scheduled to be held on October 27, 2017. On October 31, 2017, Judge Long issued an Order Scheduling Settlement Conference to be held on November 13, 2017.
	ER17-772	Order No. 825 Compliance Filing On October 20, 2017, Golden Spread Electric Cooperative, Inc. filed a Request for Rehearing of the order issued on September 20, 2017. On October 20, 2017, SPP submitted its compliance filing pursuant to the order issued on September 20, 2017. An effective date of May 11, 2017 was requested.
	ER17-906	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Otter Tail Power Company ("Otter Tail") as Network Customer, and Otter Tail, Central Power Electric Cooperative, Inc. ("Central Power") and Western Area Power Administration ("WAPA") as Host Transmission Owners On October 13, 2017, FERC issued an order accepting the agreement, effective January 1, 2017 as requested.
	ER18-11	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Gas and Electric Company ("OG&E") as Network Customer, and OG&E, American Electric Power Service Corporation ("AEP"), and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners On October 2, 2017, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Gas and Electric Company as Network Customer, as well as a NOA with OG&E, American Electric Power Service Corporation, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Tenth Revised 1313. An effective date of September 1, 2017 was requested. On October 19, 2017, Western Farmers Electric Cooperative filed a Motion to Intervene.
	ER18-146	Midcontinent Independent System Operator, Inc.'s ("MISO") Submission of Revisions to the Joint Operating Agreement ("JOA") between SPP and MISO to Modify the Market-to-Market ("M2M") Provisions On October 24, 2017, the Midcontinent Independent System Operator, Inc. submitted revisions to Attachment 2, Sections 3 and 8 of the Joint Operating Agreement between SPP and MISO regarding certain market-to-market provisions in order to clarify coordination procedures used during times of power flow volatility and to formalize SPP's and MISO's agreement to cap Firm Flow Entitlements used in settlement. An effective date of January 4, 2018 was requested. On October 27, 2017, MidAmerican Energy Company filed a Motion to Intervene.

Regulatory Status Report

Jurisdiction: ER18-149
FERC

Midcontinent Independent System Operator, Inc.'s ("MISO") Submission of Revisions to the Joint Operating Agreement ("JOA") between SPP and MISO to Modify Article XI and Attachment 3

On October 24, 2017, the Midcontinent Independent System Operator, Inc. submitted revisions to Article XI and Attachment 3 of the Joint Operating Agreement between SPP and MISO.

An effective date of December 24, 2017 was requested.

On October 27, 2017, MidAmerican Energy Company filed a Motion to Intervene.

ER18-150

Submission of Revisions to the Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Modify the Market-to-Market ("M2M") Provisions

On October 24, 2017, SPP submitted revisions to Attachment 2, Sections 3 and 8 of the Joint Operating Agreement between SPP and the Midcontinent Independent System Operator, Inc. regarding certain market-to-market provisions in order to clarify coordination procedures used during times of power flow volatility and to formalize SPP's and MISO's agreement to cap Firm Flow Entitlements used in settlement.

An effective date of January 4, 2018 was requested.

On October 27, 2017, MidAmerican Energy Company filed a Motion to Intervene.

ER18-151

Submission of Revisions to the Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Modify Article XI and Attachment 3

On October 24, 2017, SPP submitted revisions to Article XI and Attachment 3 of the Joint Operating Agreement between SPP and the Midcontinent Independent System Operator, Inc.

An effective date of December 24, 2017 was requested.

On October 27, 2017, MidAmerican Energy Company filed a Motion to Intervene.

ER18-16

Submission of Revisions to Transmission Formula Rate of Southwestern Public Service Company

On October 3, 2017, SPP submitted ministerial tariff revisions to update the Formula Rate Template of SPP Transmission Owner Southwestern Public Service Company contained in Addendum 5 to Attachment H.

Effective dates of October 20, 2014, January 1, 2015, and January 1, 2016 were requested.

On October 4, 2017, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed Motions to Intervene.

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Jurisdiction: ER18-167
FERC

Transmission Interconnection Agreement between Transource Missouri, LLC ("Transource") and Omaha Public Power District ("OPPD"), with SPP as Signatory

On October 26, 2017, SPP submitted an executed Transmission Interconnection Agreement between Transource Missouri, LLC and Omaha Public Power District, with SPP as signatory. SPP Service Agreement No. First Revised 3179.

An effective date of October 18, 2017 was requested.

ER18-171

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and Southwestern Public Service Company ("SPS") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners

On October 27, 2017, SPP submitted an executed NITSA between SPP as Transmission Provider, Golden Spread Electric Cooperative, Inc. as Network Customer, as well as a NOA with Southwestern Public Service Company and Oklahoma Gas and Electric Company as Host Transmission Owners. SPP Service Agreement No. Ninth Revised 2236.

An effective date of October 1, 2017 was requested.

ER18-194

Submission of Revisions to Formula Rate of American Electric Power Service Corporation's Transmission Companies ("Transcos"), AEP Oklahoma Transmission Company, Inc. and AEP Southwestern Transmission Company, Inc.

On October 31, 2017, SPP filed revisions for American Electric Power Service Corporation, on behalf of its affiliates, AEP Oklahoma Transmission Company, Inc. and AEP Southwestern Transmission Company, Inc., to modify the transmission formula rates and protocols contained in Addendum 12 to Attachment H of SPP's Tariff.

An effective date of January 1, 2018 was requested.

ER18-195

Submission of Revisions to Formula Rate of American Electric Power Service Corporation's ("AEP") Operating Companies, Public Service Company of Oklahoma ("PSO") and Southwestern Electric Power Company ("SWEPCO")

On October 31, 2017, SPP filed revisions for American Electric Power Service Corporation, on behalf of its affiliates, Public Service Company of Oklahoma ("PSO") and Southwestern Electric Power Company ("SWEPCO"), to modify the transmission formula rates and protocols for PSO and SWEPCO contained in Addendum 4 to Attachment H of SPP's Tariff.

An effective date of January 1, 2018 was requested.

ER18-24

Submission of Revisions to Formula Rate Template for Westar Energy, Inc. ("Westar")

On October 4, 2017, SPP submitted tariff revisions to update Addendum 3 to Attachment H which contains the formula rate and formula rate implementation protocols for SPP Transmission Owner Westar Energy, Inc. This filing incorporates changes filed by Westar and approved by the Commission in Docket No. ER17-793.

An effective date of March 14, 2017 was requested.

Regulatory Status Report

Jurisdiction: ER18-25
FERC

Submission of Revisions to Formula Rate Template for Oklahoma Gas and Electric Company ("OG&E")

On October 4, 2017, SPP submitted tariff revisions to update depreciation rates contained in the formula rate template for Oklahoma Gas and Electric Company. This filing incorporates the changes filed by OG&E and approved by the Commission in Docket Nos. ER17-1467 and ER17-2288.

Effective dates of July 1, 2016 and June 1, 2017 were requested.

On October 18, 2017, Oklahoma Gas and Electric Company filed a Motion to Intervene.

ER18-46

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 10, 2017, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Twentieth Revised 1636.

An effective date of October 1, 2017 was requested.

ER18-84

Transmission Interconnection Agreement between Associated Electric Cooperative, Inc. ("AECI") and The Empire District Electric Company ("Empire"), with SPP as Signatory

On October 23, 2017, Associated Electric Cooperative, Inc. filed a Motion to Intervene.

ER18-99

Submission of Tariff Revisions to Incorporate South Central MCN LLC's ("South Central") Formula Rate

On October 18, 2017, SPP submitted tariff revisions to add an Annual Transmission Revenue Requirement and to implement a formula rate template and implementation protocols for transmission service using the facilities of South Central MCN LLC when South Central transfers functional control of its transmission facilities to SPP. This filing incorporates into the Tariff the South Central Formula Rate, which was approved by the Commission in Docket Nos. ER15-2594 and ER17-953.

SPP requested that the Tariff revisions become effective on January 1, 2018, or the first day of the month after the date upon which South Central acquires certain transmission facilities from the City of Nixa, Missouri, as proposed in Docket No. EC17-126-000.

Several parties filed motions to intervene.

RM15-11

Reliability Standard for Transmission System Planned Performance for Geomagnetic Disturbance Events

On October 19, 2017, FERC issued an order accepting the geomagnetic disturbance research work plan ("GMD Work Plan") filed by the North American Electric Reliability Corporation on May 30, 2017. NERC was directed to file a final, or otherwise updated, GMD Work Plan within six months of the date of this order.

RM16-18

Cyber Systems in Control Centers

On October 2, 2017, FERC issued an Order Terminating Proceeding.

Regulatory Status Report

Jurisdiction: RM16-6
FERC

Essential Reliability Services and the Evolving Bulk-Power System-Primary Frequency Response

On October 10, 2017, the ISO-RTO Council filed Supplemental Comments.

Several parties filed comments in response to the Notice of Request for Supplemental Comments.

RM17-11

Revised Critical Infrastructure Protection Reliability Standard CIP-003-7 (Cyber Security - Security Management Controls)

On October 19, 2017, FERC issued a Notice of Proposed Rulemaking, proposing to approve Critical Infrastructure Protection (CIP) Reliability Standard CIP-003-7 (Cyber Security - Security Management Controls), submitted by the North American Electric Reliability Corporation.

Proposed Reliability Standard CIP-003-7 improves upon the current Commission-approved CIP Reliability Standards by clarifying the obligations pertaining to electronic access control for low impact BES Cyber Systems; adopting mandatory security controls for transient electronic devices (e.g., thumb drives, laptop computers, and other portable devices frequently connected to and disconnected from systems) used at low impact BES Cyber Systems; and requiring responsible entities to have a policy for declaring and responding to CIP Exceptional Circumstances related to low impact BES Cyber Systems. In addition, the Commission proposes to direct NERC to develop certain modifications to the NERC Reliability Standards to provide clear, objective criteria for electronic access controls for low impact BES Cyber Systems; and address the need to mitigate the risk of malicious code that could result from third-party transient electronic device.

Comments are due on December 26, 2017.

RM17-3

Fast-Start Pricing in Markets Operated by Regional Transmission Organizations and Independent System Operators

On October 11, 2017, FERC issued Notice of Federal Register Publication. The proposal as published in the Federal Register differs from the version previously posted in FERC's eLibrary system.

RM18-1

Grid Reliability and Resilience Pricing

On October 2, 2017, FERC issued a Notice Inviting Comments on the proposed rule concerning grid reliability and resilience pricing. Comments are due on October 23, 2017, with Reply Comments due on November 7, 2017.

On October 11, 2017, FERC issued a Notice Denying Extension of Time to file comments.

On October 11, 2017, FERC issued Notice of Federal Register Publication. The proposal as published in the Federal Register differs from the version previously posted in FERC's eLibrary system.

On October 23, 2017, SPP filed Comments in response to the Notice of Proposed Rulemaking.

Numerous parties filed comments in response to the Notice of Proposed Rulemaking.

Regulatory Status Report

Jurisdiction:

**State of
Arkansas**

08-136-U

In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On October 20, 2017, SPP filed the Summer Quarter (June-August 2017) State of the Market Report pursuant to Order Nos. 2 and 25.

Regulatory Status Report

Jurisdiction:

State of

Missouri

EW-2017-0245 In the Matter of a Working Case to Explore Emerging Issues in Utility Regulation

On October 20, 2017, SPP filed comments in response to the Commission's questions regarding Distributed Energy Resource issues.

Several parties filed comments in response to the Commission's questions regarding Distributed Energy Resource issues.

Regulatory Status Report

Jurisdiction:
State of New
Mexico

17-00261-UT

In the Matter of a Commission Investigation into the Feasibility of Public Service Company of New Mexico becoming a Member of the Southwest Power Pool

On October 18, 2017, the NMPRC issued an order commencing a non-adjudicatory inquiry into the feasibility of Public Service Company of New Mexico becoming a member of SPP.

Regulatory Status Report

Jurisdiction:
State of Texas

46901

Joint Petition of Southwestern Public Service Company ("SPS") and Southwest Power Pool, Inc. ("SPP") for Declaratory Order Seeking Declaration as to Whether SPP May Designate Entities Other Than the Incumbent Texas Utility to Construct and Own Regionally-Funded Transmission Facilities Located in Texas but Providing Service Outside the Electric Reliability Council of Texas ("ERCOT")

On October 25, 2017, a Draft Declaratory Order was filed to be discussed at the October 26, 2017 open meeting.

On October 26, 2017, the Commission issued a Declaratory Order concluding that Southwestern Public Service Company does not possess an exclusive right to construct and operate transmission facilities, including new regionally-funded transmission facilities, within its service area. The Commission further concluded that transmission facilities that will serve the public cannot be constructed in Texas without first obtaining a certificate of convenience and necessity and that the Commission has the authority to grant a certificate to an entity that will provide only transmission service outside of the Electric Reliability Council of Texas.

47576

Application of the City of Lubbock Through Lubbock Power and Light ("LP&L") for Authority to Connect a Portion of its System with the Electric Reliability Council of Texas ("ERCOT")

On October 2, 2017, ERCOT filed its Study of the Integration of the Lubbock Power & Light System into the ERCOT System.

On October 6, 2017, Sharyland Utilities, L.P. filed a Motion to Intervene.

On October 9, 2017, AEP Texas, Inc. filed a Motion to Intervene.

A pre-hearing conference was held on October 9, 2017.

On October 10, 2017, the City of Lubbock filed a Proposed Procedural Schedule.

On October 11, 2017, Wind Energy Transmission Texas, LLC filed a Motion to Intervene.

On October 16, 2017, the City of Lubbock filed Direct Testimony.

On October 20, 2017, the PUCT issued Order No. 7, Memorializing Prehearing Conference, Adopting Revised Protective Order, Adopting Procedural Schedule, and Addressing Service by Email.

A hearing on the merits is scheduled for January 17-18, 2018.

On October 20, 2017, Cross Texas Transmission, LLC filed a Motion to Intervene.

On October 25, 2017, CPS Energy filed a Motion to Intervene.

On October 25, 2017, Lone Star Transmission, LLC filed a Motion to Intervene.

Regulatory Status Report

Jurisdiction:

United States

Court of

Appeals

15-1447

**State Corporation Commission of the State of Kansas v. Federal Energy
Regulatory Commission: Petition for Review of Orders Issued in Docket
Nos. ER14-2850 and ER14-2851 Concerning Integration of the Integrated
System into the SPP Regional Transmission Organization**

An oral argument was held on October 11, 2017.