

Regulatory Outlook

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| FERC ER05-652 | File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order) | 02/09/2018 |
| FERC EL18-35 | Initial Briefs are due concerning quick-start pricing (Order Instituting Section 206 Proceeding and Commencing Paper Hearing Procedures and Establishing Refund Effective Date issued on December 21, 2017) | 02/12/2018 |
| FERC EL17-76 | Settlement Conference begins at 2 PM Eastern Time (Order Scheduling Third Settlement Conference issued on February 1, 2018) | 02/15/2018 |
| FERC RM18-2 | Comments due in response to Notice of Proposed Rulemaking on Cyber Security Incident Reporting Reliability Standards (Notice of Proposed Rulemaking issued on December 21, 2017) | 02/26/2018 |
| FERC EL18-19 | SPP to submit a compliance filing containing a proposal to address the lack of refund commitment for non-public utility transmission owning members (Order on Paper Hearing and Instituting Section 206 Proceeding, Establishing Refund Effective Date, and Consolidating Proceedings issued on October 19, 2017) | 02/28/2018 |
| FERC EL16-91 | SPP to submit a compliance filing containing a proposal to address the lack of refund commitment for non-public utility transmission owning members (Order on Paper Hearing and Instituting Section 206 Proceeding, Establishing Refund Effective Date, and Consolidating Proceedings issued on October 19, 2017) | 02/28/2018 |
| FERC ER18-374 | SPP's response to letter requesting additional information is due (Letter issued on January 30, 2018) | 03/01/2018 |
| FERC AD18-7 | Regional transmission organizations and independent system operators to provide responses to the Commission concerning Grid Resilience (Order Terminating Rulemaking Proceeding, Initiating New Proceeding, and Establishing Additional Procedures issued on January 8, 2018) | 03/09/2018 |
| FERC EL18-35 | Reply Briefs due regarding quick-start pricing (Order Instituting Section 206 Proceeding and Commencing Paper Hearing Procedures and Establishing Refund Effective Date issued on December 21, 2017) | 03/14/2018 |

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| FERC RM17-12 | Effective date of Order No. 840, Final Rule approving Emergency Preparedness and Operations (EOP) Reliability Standards (Order No. 840 issued on January 18, 2018) | 03/26/2018 |
| FERC RM17-13 | Comments due in response to the Notice of Proposed Rulemaking proposing to approve supply chain risk management Reliability Standards CIP-013-1 (Cyber Security – Supply Chain Risk Management), CIP-005-6 (Cyber Security – Electronic Security Perimeter(s)) and CIP-010-3 (Cyber Security – Configuration Change Management and Vulnerability Assessments) (Notice of Proposed Rulemaking issued on January 18, 2018) | 03/26/2018 |
| FERC AD18-7 | Reply Comments due regarding responses filed by regional transmission organizations and independent system operators concerning Grid Resilience (Order Terminating Rulemaking Proceeding, Initiating New Proceeding, and Establishing Additional Procedures issued on January 8, 2018) | 04/09/2018 |
| FERC ER18-194 | Settlement Conference begins at 11 AM Eastern Time (Order Scheduling Settlement Conference issued on January 26, 2018) | 04/10/2018 |
| FERC ER18-195 | Settlement Conference begins at 11 AM Eastern Time (Order Scheduling Settlement Conference issued on January 26, 2018) | 04/10/2018 |
| FERC OA08-5 | Annual Compliance Report Regarding Penalties for Unreserved Use is due | 04/18/2018 |
| FERC 10-1178 | FERC Reporting Requirement No. 582 is due | 04/30/2018 |
| State of Arkansas 16-002-U | Arkansas Electric Cooperative Corporation's annual report is due (Arkansas Public Service Commission Order No. 4 issued on May 17, 2016) | 07/02/2018 |

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| FERC ER13-1864 | SPP's Seventh Informational Report due detailing SPP's and MISO's progress on resolving issues related to their implementation methodologies for Interface Bus Pricing, and analyzing whether the benefits of implementation of a day-ahead firm flow entitlement exchange process outweigh its costs, until such issues are resolved (Order Conditionally Accepting in Part and Rejecting in Part Revisions to Joint Operating Agreement issued on January 22, 2015) | 07/20/2018 |
| FERC ZZ17-4 | SPP' MMU's Annual State of the Market Report due at FERC (ZZ18-4) | 08/24/2018 |
| FERC ES16-50 | SPP's authorization for issuance of securities expires (Order Authorizing Issuances of Securities issued on September 28, 2016) | 09/30/2018 |
| FERC ER08-1338 | SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1 | 12/14/2018 |
| FERC ER15-2377 | Informational Report due to explain SPP's ongoing efforts to further improve gas-electric coordination, including efforts to improve solve times. The report should also identify whether any natural gas fired generators within SPP experienced any operational challenges related to gas-electric coordination issues, and identify what actions SPP undertook to mitigate such events (Order Accepting Compliance Filing issued on December 17, 2015) | 12/17/2018 |
| State of Missouri EO-2012-0135 | Kansas City Power & Light Company's Interim Report is due (Order Granting Motion to Modify Stipulation Requirements issued on June 28, 2017) | 06/30/2020 |
| State of Missouri EO-2012-0136 | KCP&L Greater Missouri Operations Company's Interim Report is due (Order Granting Motion to Modify Stipulation Requirements issued on June 28, 2017) | 06/30/2020 |
| State of Missouri EO-2012-0269 | The Empire District Electric Company to file its Interim Report (regarding participation in the SPP RTO) (August 28, 2013 Stipulation and Agreement approved by MoPSC on September 11, 2013; Order Modifying Stipulation and Agreement issued on March 1, 2017) | 04/30/2021 |



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| State of Missouri | Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (May 16, 2013 Stipulation and Agreement approved by MoPSC on June 19, 2013; Order Granting Motion to Modify Stipulation Requirements issued on June 28, 2017) | 09/30/2021 |
| EO-2012-0135 | | |
| State of Missouri | KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (May 16, 2013 Stipulation and Agreement approved by MoPSC on June 19, 2013; Order Granting Motion to Modify Stipulation Requirements issued on June 28, 2017) | 09/30/2021 |
| EO-2012-0136 | | |
| Department of Energy | SPP's authorization to export energy to Canada expires (Order Authorizing Electricity Exports to Canada issued on February 7, 2017) | 02/07/2022 |
| EA-434 | | |
| State of Missouri | The Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (August 28, 2013 Stipulation and Agreement approved by the MoPSC on September 11, 2013; Order Modifying Stipulation and Agreement issued on March 1, 2017) | 08/01/2022 |
| EO-2012-0269 | | |
| State of New Mexico | Southwestern Public Service Company's Extended Interim Period Report is due (Section 3 of Unopposed Stipulation) | 07/01/2028 |
| 13-00031-UT | | |
| State of New Mexico | Southwestern Public Service Company's Extended Interim Period Ends (Section 2 of Unopposed Stipulation) | 12/31/2029 |
| 13-00031-UT | | |