

# Regulatory Outlook

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| FERC     | Technical Conference to be held to discuss issues related to the coordination of Affected Systems raised in 1) the complaint filed by EDF Renewable Energy, Inc. against Midcontinent Independent System Operator, Inc., Southwest Power Pool, Inc., and PJM Interconnection, L.L.C. in Docket No. EL18-26-000 and 2) the Commission's Notice of Proposed Rulemaking on the generator interconnection process in Docket No. RM17-8-000 (Notice of Technical Conference issued on February 2, 2018) | 04/03/2018 |
| EL18-26  |  |            |
| FERC     | Technical Conference to be held to discuss issues related to the coordination of Affected Systems raised in 1) the complaint filed by EDF Renewable Energy, Inc. against Midcontinent Independent System Operator, Inc., Southwest Power Pool, Inc., and PJM Interconnection, L.L.C. in Docket No. EL18-26-000 and 2) the Commission's Notice of Proposed Rulemaking on the generator interconnection process in Docket No. RM17-8-000 (Notice of Technical Conference issued on February 2, 2018) | 04/03/2018 |
| AD18-8   |  |            |
| FERC     | Settlement Conference begins at 10 AM Eastern Time (Order Scheduling Settlement Conference issued on March 28, 2018)   | 04/06/2018 |
| ER18-99  |  |            |
| FERC     | Settlement Conference begins at 11 AM Eastern Time (Order Scheduling Settlement Conference issued on January 26, 2018)   | 04/10/2018 |
| ER18-195 |  |            |
| FERC     | Settlement Conference begins at 11 AM Eastern Time (Order Scheduling Settlement Conference issued on January 26, 2018)   | 04/10/2018 |
| ER18-194 |  |            |
| FERC     | Technical Conference to be held to discuss the participation of distributed energy resource aggregations in Regional Transmission Organization and Independent System Operator markets, and to more broadly discuss the potential effects of distributed energy resources on the bulk power system (Notice of Technical Conference issued on February 15, 2018)  | 04/10/2018 |
| RM18-9   |  |            |
| FERC     | Settlement Conference begins at 11 AM Central Time (Order Scheduling Fifth Settlement Conference issued on March 26, 2018)   | 04/17/2018 |
| EL17-76  |  |            |
| FERC     | Annual Compliance Report Regarding Penalties for Unreserved Use is due   | 04/18/2018 |

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| OA08-5              |  |            |
| FERC                | Compliance Filing due to modify the tariff to reflect the terms of the Joint Offer of Settlement (Order issued on March 20, 2018)  | 04/19/2018 |
| ER17-428            |  |            |
| State of Texas      | Deadline for Commission Staff to request a hearing or file a recommendation on final disposition (Order No. 6 Granting Joint Extension and Revising Procedural Schedule)   | 04/24/2018 |
| 47898               |  |            |
| FERC                | FERC Reporting Requirement No. 582 is due  | 04/30/2018 |
| 10-1178             |  |            |
| State of Texas      | Deadline for East Texas Electric Cooperative, Inc. to request a hearing or respond to intervenor comments and Commission Staff's recommendation on final disposition (Order No. 6 Granting Joint Extension and Revising Procedural Schedule)   | 05/01/2018 |
| 47898               |  |            |
| State of Texas      | If no disputed issues exist, parties are to file proposed findings of fact, conclusions of law, and ordering paragraphs (Order No. 6 Granting Joint Extension and Revising Procedural Schedule)  | 05/01/2018 |
| 47898               |  |            |
| FERC                | Reply Comments due regarding responses filed by regional transmission organizations and independent system operators concerning Grid Resilience (Order Terminating Rulemaking Proceeding, Initiating New Proceeding, and Establishing Additional Procedures issued on January 8, 2018; Order Extending Time for Comments issued on March 20, 2018) | 05/09/2018 |
| AD18-7              |  |            |
| FERC                | Compliance filing is due to revise the pro forma Generator Interconnection Agreement in compliance with Order No. 842 (Order No. 842 issued on February 15, 2018)  | 05/15/2018 |
| RM16-6              |  |            |
| State of New Mexico | Staff and Intervenor Direct Testimony is due (Procedural Order issued on March 27, 2018)   | 06/12/2018 |
| 18-00044-UT         |  |            |
| State of New Mexico | Stipulation, if any, to be filed (Procedural Order issued on March 27, 2018)   | 06/26/2018 |

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| 18-00044-UT         |   |            |
| FERC                | Technical Conference to be held to discuss opportunities for increasing real-time and day-ahead market efficiency and enhancing the resilience of the bulk power system through improved software (Notice of Technical Conference issued on March 30, 2018)   | 06/26/2018 |
| AD10-12             |   |            |
| State of Arkansas   | Arkansas Electric Cooperative Corporation's annual report is due (Arkansas Public Service Commission Order No. 4 issued on May 17, 2016)  | 07/02/2018 |
| 16-002-U            |   |            |
| State of New Mexico | If Stipulation is filed, Testimony in Support or in Opposition is due (Procedural Order issued on March 27, 2018)   | 07/06/2018 |
| 18-00044-UT         |   |            |
| State of New Mexico | Testimony in Response to Testimony in Support of or in Opposition to the Stipulation or Rebuttal Testimony is due (Procedural Order issued on March 27, 2018)   | 07/12/2018 |
| 18-00044-UT         |   |            |
| State of New Mexico | Hearing begins at 9:30 AM Mountain Time (Procedural Order issued on March 27, 2018)   | 07/17/2018 |
| 18-00044-UT         |   |            |
| FERC                | SPP's Seventh Informational Report due detailing SPP's and MISO's progress on resolving issues related to their implementation methodologies for Interface Bus Pricing, and analyzing whether the benefits of implementation of a day-ahead firm flow entitlement exchange process outweigh its costs, until such issues are resolved (Order Conditionally Accepting in Part and Rejecting in Part Revisions to Joint Operating Agreement issued on January 22, 2015) | 07/20/2018 |
| ER13-1864           |   |            |
| FERC                | SPP MMU's Annual State of the Market Report due at FERC (ZZ18-4)  | 08/24/2018 |
| ZZ17-4              |   |            |
| FERC                | SPP's authorization for issuance of securities expires (Order Authorizing Issuances of Securities issued on September 28, 2016)   | 09/30/2018 |
| ES16-50             |   |            |
| FERC                | Compliance filing is due to revise the tariff to establish a participation model consisting of market rules that facilitate electric storage resources' participation in the market (Order No. 841 issued on February 15, 2018)   | 12/03/2018 |



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| RM16-23           |   |            |
| FERC              | SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1  | 12/14/2018 |
| ER08-1338         |   |            |
| FERC              | Informational Report due to explain SPP's ongoing efforts to further improve gas-electric coordination, including efforts to improve solve times. The report should also identify whether any natural gas fired generators within SPP experienced any operational challenges related to gas-electric coordination issues, and identify what actions SPP undertook to mitigate such events (Order Accepting Compliance Filing issued on December 17, 2015) | 12/17/2018 |
| ER15-2377         |   |            |
| FERC              | File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)  | 02/15/2019 |
| ER05-652          |   |            |
| FERC              | FERC Reporting Requirement No. 582 is due   | 04/30/2019 |
| 10-1178           |   |            |
| State of Missouri | Kansas City Power & Light Company's Interim Report is due (Order Granting Motion to Modify Stipulation Requirements issued on June 28, 2017)  | 06/30/2020 |
| EO-2012-0135      |   |            |
| State of Missouri | KCP&L Greater Missouri Operations Company's Interim Report is due (Order Granting Motion to Modify Stipulation Requirements issued on June 28, 2017)  | 06/30/2020 |
| EO-2012-0136      |   |            |
| State of Missouri | The Empire District Electric Company to file its Interim Report (regarding participation in the SPP RTO) (August 28, 2013 Stipulation and Agreement approved by MoPSC on September 11, 2013; Order Modifying Stipulation and Agreement issued on March 1, 2017)   | 04/30/2021 |
| EO-2012-0269      |   |            |
| State of Missouri | Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (May 16, 2013 Stipulation and Agreement approved by MoPSC on June 19, 2013; Order Granting Motion to Modify Stipulation Requirements issued on June 28, 2017)  | 09/30/2021 |
| EO-2012-0135      |   |            |



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| State of Missouri    | KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (May 16, 2013 Stipulation and Agreement approved by MoPSC on June 19, 2013; Order Granting Motion to Modify Stipulation Requirements issued on June 28, 2017) | 09/30/2021 |
| EO-2012-0136         |  |            |
| Department of Energy | SPP's authorization to export energy to Canada expires (Order Authorizing Electricity Exports to Canada issued on February 7, 2017)  | 02/07/2022 |
| EA-434               |  |            |
| State of Missouri    | The Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (August 28, 2013 Stipulation and Agreement approved by the MoPSC on September 11, 2013; Order Modifying Stipulation and Agreement issued on March 1, 2017)         | 08/01/2022 |
| EO-2012-0269         |  |            |
| State of New Mexico  | Southwestern Public Service Company's Extended Interim Period Report is due (Section 3 of Unopposed Stipulation)   | 07/01/2028 |
| 13-00031-UT          |  |            |
| State of New Mexico  | Southwestern Public Service Company's Extended Interim Period Ends (Section 2 of Unopposed Stipulation)  | 12/31/2029 |
| 13-00031-UT          |  |            |