

Regulatory Status Report

Jurisdiction:

FERC

- AD16-24** **Winter 2018-2019 Operations and Market Performance in Regional Transmission Organizations and Independent System Operators**
On October 16, 2018, FERC issued a Supplemental Notice of Technical Conference.
- EL17-76** **East Texas Electric Cooperative, Inc. ("ETEC") Complaint Against Public Service Company of Oklahoma ("PSO"), Southwestern Electric Power Company ("SWEPCO"), AEP Oklahoma Transmission Company ("AEPOKT") and AEP Southwestern Transmission Company ("AEPSWT") (collectively, "AEP West Companies") Concerning Base Return on Equity ("ROE")**
On October 1, 2018, Judge Cintron issued an Order of Chief Judge Making Substitute Designation of Presiding Administrative Law Judge.
On October 17, 2018, East Texas Electric Cooperative, Inc. filed a Motion for Stay of Procedural Schedule in order to allow time for the parties to consider the implications of the Commission's October 16, 2018 decision on return on equity ("ROE") in *Martha Coakley. v. Bangor Hydro-Electric Co.*, 165 FERC ¶ 61,030 (2018).
On October 18, 2018, Judge Cintron issued an Order of Chief Judge Holding Procedural Schedule in Abeyance.
- EL18-199** **East Texas Electric Cooperative, Inc. ("ETEC") Complaint Against Public Service Company of Oklahoma ("PSO"), Southwestern Electric Power Company ("SWEPCO"), AEP Oklahoma Transmission Company ("AEPOKT") and AEP Southwestern Transmission Company ("AEPSWT") (collectively, "AEP West Companies") Concerning Base Return on Equity ("ROE")**
On October 4, 2018, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene.
On October 9, 2018, the Public Utility Commission of Texas filed a Motion to Intervene.
On October 15, 2018, Oklahoma Municipal Power Authority filed a Motion to Intervene.
On October 16, 2018, the AEP West Companies filed an Answer to Complaint.
- EL18-20** **Indicated SPP Transmission Owners Complaint Against SPP Concerning Cost Shifts**
On October 3, 2018, FERC issued an Order Denying Rehearing of the order issued on March 15, 2018.
- EL18-58** **Oklahoma Municipal Power Authority ("OMPA") Complaint Against Oklahoma Gas and Electric Company ("OGE") Concerning Base Return on Equity ("ROE")**
On October 3, 2018, Judge Hurt issued an Order Scheduling Settlement Conference to be held on November 27, 2018.
On October 24, 2018, Judge Hurt issued a Report of Settlement Judge, recommending that settlement proceedings continue.

Regulatory Status Report

Jurisdiction: FERC	ER16-1341	Petition for Tariff Waiver Concerning the Implementation of the Revenue Crediting Process in Attachment Z2 On October 22, 2018, Old Dominion Electric Cooperative filed an answer in response to SPP's Answer in Opposition to Motion to Intervene Out of Time filed by Old Dominion Electric Cooperative.
	ER16-1969	Midcontinent Independent System Operator, Inc.'s ("MISO") Submission of Revisions to the Joint Operating Agreement ("JOA") between MISO and PJM Interconnection, L.L.C. ("PJM") and Amendments to MISO's Open Access Transmission, Energy and Operating Reserve Markets Tariff to Comply with the April 21, 2016 Order in EL13-88 Addressing North Indiana Public Service Company's ("NIPSCO") Complaint Against MISO On October 1, 2018, FERC issued a Notice of Extension of Time to and including December 31, 2018 for submitting the compliance filing in response to paragraph 51 of the Order on Rehearing and Compliance issued on January 19, 2017.
	ER16-2522	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Piggott, Arkansas Municipal Light, Water and Sewer ("Piggott") as Network Customer, and Southwestern Power Administration ("SPA") as Host Transmission Owner On October 9, 2018, SPP submitted an amended executed NITSA between SPP as Transmission Provider, City of Piggott, Arkansas Municipal Light, Water and Sewer as Network Customer, as well as an executed NOA with Southwestern Power Administration as Host Transmission Owner. SPP Service Agreement No. Substitute Original 3243. An effective date of August 1, 2016 was requested.
	ER16-2523	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Malden Board of Public Works ("Malden") as Network Customer, and Southwestern Power Administration ("SPA") as Host Transmission Owner On October 9, 2018, SPP submitted an amended executed NITSA between SPP as Transmission Provider, City of Malden Board of Public Works as Network Customer, as well as an executed NOA with Southwestern Power Administration as Host Transmission Owner. SPP Service Agreement No. Substitute Original 3244. An effective date of August 1, 2016 was requested.
	ER18-1632	Submission of Tariff Revisions to Implement a Major Maintenance Cost Component to Mitigated Start-Up Offer and Mitigated No-Load Offer On October 18, 2018, FERC issued an order accepting SPP's revisions to Section 3.3 of Attachment AF of the Tariff to implement a major maintenance cost component to mitigated Start-Up Offers and No-Load Offers. An effective date of January 15, 2019 was granted. On October 26, 2018, the SPP Market Monitoring Unit filed a Request for Clarification of the order issued on October 18, 2018. The MMU stated that six months are needed to implement the proposed changes and requested that the Commission clarify that it intended to accept SPP's Tariff revisions to be effective as of six months after the Order, which would be April 18, 2019.

Regulatory Status Report

Jurisdiction: FERC	ER18-1702	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner On October 26, 2018, FERC issued an order accepting the agreement, effective May 1, 2018 as requested.
	ER18-1872	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner On October 5, 2018, FERC issued an order accepting the agreement, effective September 1, 2018 as requested.
	ER18-1899	Midcontinent Independent System Operator, Inc.'s ("MISO") Submission of Revisions to Add a Pro Forma Pseudo-Tie Agreement and Related Tariff Provisions On October 3, 2018, the Midcontinent Independent System Operator, Inc. filed an amendment to its response to the letter requesting additional information issued on August 27, 2018. On October 17, 2018, Illinois Municipal Electric Agency filed Comments and Conditional Protest. On October 19, 2018, Tilton Energy LLC filed a Motion to Intervene Out-of-Time. On October 24, 2018, American Municipal Power, Inc. filed a Protest in response to the Midcontinent Independent System Operator, Inc.'s September 26, 2018 and October 3, 2018 filings.
	ER18-194	Submission of Revisions to Formula Rate of American Electric Power Service Corporation's Transmission Companies ("Transcos"), AEP Oklahoma Transmission Company, Inc. and AEP Southwestern Transmission Company, Inc. On October 1, 2018, Judge Krolikowski issued a Fifth Report of Settlement Judge, recommending that settlement procedures be continued. On October 12, 2018, Judge Krolikowski issued an Order Scheduling Settlement Conference to be held on October 29, 2018.
	ER18-195	Submission of Revisions to Formula Rate of American Electric Power Service Corporation's ("AEP") Operating Companies, Public Service Company of Oklahoma ("PSO") and Southwestern Electric Power Company ("SWEPCO") On October 1, 2018, Judge Krolikowski issued a Fifth Report of Settlement Judge, recommending that settlement procedures be continued. On October 12, 2018, Judge Krolikowski issued an Order Scheduling Settlement Conference to be held on October 29, 2018.
	ER18-1970	Midcontinent Independent System Operator, Inc.'s ("MISO") Filing of the Transmission Interconnection Agreement between East River Electric Power Cooperative, Inc. ("East River") and Otter Tail Power Company ("Otter Tail"), with MISO and SPP as Signatories On October 9, 2018, the Midcontinent Independent System Operator, Inc. submitted its compliance filing to report an effective date of September 17, 2018 for the agreement.

Regulatory Status Report

Jurisdiction: ER18-2009
FERC

Submission of Tariff Revisions to Enhance Regulation Deployment of Dispatchable Variable Energy Resources ("DVER")

On October 16, 2018, FERC issued an order accepting SPP's revisions to Attachment AE to enhance regulation deployment of Dispatchable Variable Energy Resources ("DVER") by using Real-Time Capability of DVERs in regulation deployment.

An effective date of December 18, 2018 was granted.

This order constitutes final agency action.

ER18-2218

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, East Texas Electric Cooperative, Inc. ("ETEC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner

On October 5, 2018, FERC issued an order accepting the agreement, effective January 1, 2018 as requested.

This order constitutes final agency action.

ER18-2243

Cost Allocation for Proposed Morgan Transformer Project (Part 1 of 2)

On October 10, 2018, FERC issued an order accepting SPP's revisions to Attachment H and L of the Tariff and the addition of the Cost Sharing and Usage Agreement between SPP and Associated Electric Cooperative, Inc. to the Rate Schedules and Seams Agreement Tariff, which details the terms and conditions between the parties governing the construction, ownership, operation, and cost allocation for the Morgan Transformer Project.

An effective date of October 16, 2018 was granted.

This order constitutes final agency action.

ER18-2245

Cost Allocation for Proposed Morgan Transformer Project (Part 2 of 2)

On October 10, 2018, FERC issued an order accepting SPP's revisions to Attachment H and L of the Tariff and the addition of the Cost Sharing and Usage Agreement between SPP and Associated Electric Cooperative, Inc. to the Rate Schedules and Seams Agreement Tariff, which details the terms and conditions between the parties governing the construction, ownership, operation, and cost allocation for the Morgan Transformer Project.

An effective date of October 16, 2018 was granted.

This order constitutes final agency action.

ER18-2247

Meter Agent Services Agreement between Upstream Wind Energy LLC ("Upstream") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On October 11, 2018, FERC issued an order accepting the agreement, effective August 1, 2018 as requested.

This order constitutes final agency action.

Regulatory Status Report

Jurisdiction: FERC	ER18-2266	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Southwestern Public Service Company ("SPS") as Network Customer and Host Transmission Owner On October 11, 2018, FERC issued an order accepting the agreement, effective August 1, 2018 as requested. This order constitutes final agency action.
	ER18-2319	Southwestern Public Service Company's ("SPS") Revisions to Calculation of ADIT Balances in Transmission Formula Rates On October 10, 2018, the Cooperative Customers filed an answer in response to the answer filed by Xcel Energy Services Inc. on October 2, 2018.
	ER18-2326	Submission of Notice of Cancellation of the Joint Operating Agreement ("JOA") Among and Between Southwest Power Pool, Inc. and Western Area Power Administration On October 17, 2018, FERC issued an order accepting the Notice of Cancellation of the Joint Operating Agreement Among and Between Southwest Power Pool, Inc. and Western Area Power Administration. An effective date of June 21, 2018 was granted. This order constitutes final agency action.
	ER18-2334	Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Rush County Wind Farm LLC ("Rush County") as Interconnection Customer and ITC Great Plains, LLC ("ITC") as Transmission Owner On October 17, 2018, FERC issued an order accepting the Notice of Cancellation, effective August 15, 2018 as requested. This order constitutes final agency action.
	ER18-2347	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Clarksville Light & Water Company ("Clarksville L&W") as Network Customer, and Southwestern Power Administration ("SPA") as Host Transmission Owner On October 17, 2018, FERC issued an order accepting the agreement, effective August 1, 2018 as requested. This order constitutes final agency action.

Regulatory Status Report

Jurisdiction: ER18-2358
FERC

Submission of Tariff Revisions to Add Additional Facilities for GridLiance High Plains LLC ("GridLiance HP")

On October 5, 2018 GridLiance High Plains LLC filed an answer in response to comments and protests filed in this proceeding.

GridLiance stated:

- 1) the facilities were planned and constructed to meet customer reliability needs;
- 2) the facilities meet the Attachment AI Criteria and therefore are entitled to be treated the same as other transmission facilities in the Zone;
- 3) transferring control of the facilities provides significant off-setting benefits to Zone 11;
- 4) protests relating to SPP's Zonal Placement Process methodology represent an impermissible collateral attack on the Commission's order in Docket No. EL18-20;
- 5) there was no lack of transparency or data provided in this case; and
- 6) the rate impacts are misrepresented by protestors.

On October 5, 2018, the Public Utility Commission of Texas filed a Protest. The PUCT requested that the Commission reject the filing, or set the matter for hearing.

On October 5, 2018, Tri-County Electric Cooperative filed an answer in response to protests and comments filed in this proceeding.

On October 5, 2018, Golden Spread Electric Cooperative, Inc. filed an answer to the requests by various parties for summary dismissal and/or rejection or for other substantive relief related to SPP's August 30, 2018 filing.

Golden Spread requested that the Commission's order in this proceeding be guided by its action in Docket No. EL18-20-000 and its prior case-by-case determinations under Section 205 of the Federal Power Act. Golden Spread also stated that calls for rejection or dismissal of the filing based on the fact that SPP has not filed its zonal placement process under Section 205 of the Federal Power Act should be rejected.

On October 9, 2018, SPP filed an answer in response to comments and protests filed in this proceeding.

SPP stated:

- 1) the Commission should reject arguments concerning SPP's Zonal Placement Process as collateral attacks on prior Commission orders;
- 2) SPP's proposed placement of the facilities in Zone 11 is just and reasonable and was fully supported by the August 30, 2018 filing; and
- 3) the August 30, 2018 filing demonstrated that the facilities satisfy the Attachment AI criteria.

On October 10, 2018, GridLiance High Plains LLC and Tri-County Electric Cooperative, Inc. filed an answer in response to the Protest filed by the Public Utility Commission of Texas.

On October 19, 2018, Xcel Energy Services Inc. filed an answer in response to the answers filed by the parties in response to Xcel Energy's Protest. Xcel Energy requested that the Commission reject the proposed tariff revisions because GridLiance and SPP have not met their burden of demonstrating the proposed zonal allocation or rates or just and reasonable.

On October 23, 2018, GridLiance High Plains LLC filed an answer in response to the answer filed by Xcel Energy Services Inc. on October 19, 2018.

Regulatory Status Report

Jurisdiction: FERC	ER18-2358	<p>On October 31, 2018, FERC issued an order accepting SPP's tariff revisions to add an Annual Transmission Revenue Requirement for certain facilities of GridLiance High Plains LLC located in the Oklahoma panhandle, to become effective November 1, 2018, subject to refund, and establishing hearing and settlement judge procedures.</p>
	ER18-2382	<p>Submission of Tariff Revisions to Remove References to SPP as Regional Entity</p> <p>On October 17, 2018, FERC issued an order accepting SPP's revisions to Attachment AP of the Tariff to remove references to the SPP Regional Entity, which was dissolved on August 31, 2018.</p> <p>An effective date of September 1, 2018 was granted.</p> <p>This order constitutes final agency action.</p>
	ER18-2383	<p>Revisions to Bylaws and Membership Agreement to Remove References to the SPP Regional Entity</p> <p>On October 17, 2018, FERC issued an order accepting SPP's revisions to the SPP Bylaws and Membership Agreement to remove all references to the SPP Regional Entity, which was dissolved on August 31, 2018.</p> <p>An effective date of September 1, 2018 was granted.</p> <p>This order constitutes final agency action.</p>
	ER18-2385	<p>Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE"), Sunflower Electric Power Corporation ("Sunflower"), and Westar as Host Transmission Owners</p> <p>On October 22, 2018, FERC issued an order accepting the agreement, effective September 27, 2018 as requested.</p> <p>This order constitutes final agency action.</p>
	ER18-2431	<p>Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, Inc. ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners</p> <p>On October 10, 2018, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, Inc. filed Motions to Intervene Out-of-Time.</p> <p>On October 22, 2018, FERC issued an order accepting the agreement, effective September 1, 2018 as requested.</p> <p>This order constitutes final agency action.</p>
	ER18-2450	<p>Submission of Modified Formula Rate Template for Basin Electric Power Cooperative ("Basin Electric")</p> <p>On October 9, 2018, Basin Electric Power Cooperative filed a Motion to Intervene and Comments in support of SPP's filing.</p> <p>On October 9, 2018, Missouri River Energy Services filed a Motion to Intervene and Limited Protest. MRES stated that Basin Electric's formula rate must be revised to remove Basin Electric's discretion over which transmission facilities eligible under Attachment AI or included in rates.</p>

Regulatory Status Report

Jurisdiction: FERC	ER18-2450	<p>On October 9, 2018, Mountrail-Williams Electric Cooperative filed a Motion to Intervene and Comments in Support of SPP's filing.</p> <p>On October 9, 2018, Corn Belt Power Cooperative filed a Motion to Intervene and Comments in support of SPP's filing.</p> <p>On October 9, 2018, Western Area Power Administration filed a Motion to Intervene and Comments in Support of SPP's filing.</p> <p>On October 9, 2018, Central Power Electric Cooperative, Inc. filed a Motion to Intervene.</p> <p>On October 10, 2018, East River Electric Power Cooperative, Inc. filed a Motion to Intervene.</p> <p>On October 24, 2018, Basin Electric Power Cooperative filed an answer in response to the Limited Protest filed by Missouri River Energy Services on October 9, 2018.</p>
	ER18-2474	<p>Submission of Non-Substantive Tariff Revisions to Correct the Generator Interconnection Agreement Pro Formas in Attachment V</p> <p>On October 19, 2018, FERC issued an order accepting SPP's filing to make non-substantive corrections to the Generator Interconnection Agreement pro formas in Attachment V of the Tariff in order to remove language rejected by the Commission and to carry forward accepted language into the current Tariff.</p> <p>Effective dates of May 15, 2018 and July 1, 2018 were granted.</p> <p>This order constitutes final agency action.</p>
	ER18-2494	<p>Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Chanute, KS ("Chanute") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner</p> <p>On October 11, 2018, Westar Energy, Inc. filed a Motion to Intervene.</p>
	ER18-2500	<p>Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and Southwestern Public Service Company ("SPS") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners</p> <p>On October 4, 2018, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene.</p>
	ER18-2512	<p>PacifiCorp's Revisions to Western Interconnection Unscheduled Flow Mitigation Plan ("WIUFMP")</p> <p>On October 1, 2018, Nevada Power Company filed a Motion to Intervene.</p> <p>On October 2, 2018, SPP filed a Motion to Intervene.</p> <p>On October 16, 2018, Western Area Power Administration filed a Motion to Intervene.</p> <p>On October 19, 2018, Modesto Irrigation District filed a Motion to Intervene.</p>
	ER18-99	<p>Submission of Tariff Revisions to Incorporate South Central MCN LLC's ("South Central") Formula Rate</p> <p>On October 2, 2018, GridLiance High Plains LLC filed an answer in opposition of Midwest Energy, Inc.'s Motion to Intervene Out-of-Time.</p> <p>On October 25, 2018, FERC issued an Order Denying Untimely Motion to Intervene of Midwest Energy, Inc.</p>

Regulatory Status Report

Jurisdiction: FERC	ER18-99	<p>On October 25, 2018, the Indicated SPP Transmission Owners filed the Prepared Answering Testimony of Michael M. Schnitzer.</p> <p>On October 25, 2018, the ARKMO Cities filed Direct Testimony of Alfred W. Busbee and J. Neil Copeland.</p>
	ER19-131	<p>Submission of Tariff Revisions to Remove References to NERC Standards (Revision Request 177)</p> <p>On October 17, 2018, SPP submitted revisions to Attachment AE of the Tariff to remove references related to compliance with the North American Electric Reliability Corporation.</p> <p>An effective date of December 18, 2018 was requested.</p>
	ER19-164	<p>Submission of Revisions to Formula Rate Template to Update Depreciation Rates for Midwest Energy, Inc. ("Midwest Energy")</p> <p>On October 23, 2018, SPP, on behalf and at the request of Midwest Energy, Inc., submitted revisions to Addendum 14 to Attachment H of the Tariff to modify Midwest Energy's depreciation rates.</p> <p>An effective date of January 1, 2019 was requested.</p> <p>On October 24, 2018, Midwest Energy, Inc. filed a Motion to Intervene.</p>
	ER19-166	<p>Submission of Tariff Revisions to Accelerate the Process for Identifying Frequently Constrained Areas ("FCAs") (Revision Request 304)</p> <p>On October 23, 2018, SPP submitted revisions to Attachment AF of the Tariff to streamline the process by which Frequently Constrained Areas ("FCAs") are designated and thus improve the accuracy of the FCA designations while maintaining transparency.</p> <p>An effective date of December 22, 2018 was requested.</p> <p>On October 25, 2018, the SPP Market Monitoring Unit filed a Motion to Intervene.</p>
	ER19-17	<p>Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Kansas City Board of Public Utilities ("KCBPU") as Transmission Customer</p> <p>On October 1, 2018, SPP submitted an unexecuted service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Kansas City Board of Public Utilities as Transmission Customer. SPP Service Agreement No. Eighth Revised 2041.</p> <p>An effective date of September 1, 2018 was requested.</p>
	ER19-202	<p>Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, East Texas Electric Cooperative, Inc. ("ETEC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner</p> <p>On October 29, 2018, SPP submitted an executed NITSA between SPP as Transmission Provider, East Texas Electric Cooperative, Inc. as Network Customer, as well as a NOA with American Electric Power Service Corporation as Host Transmission Owner. SPP Service Agreement No. First Revised 3434.</p> <p>An effective date of October 1, 2018 was requested.</p>

Regulatory Status Report

Jurisdiction: ER19-203
FERC

Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Tenaska Power Services Co. ("Tenaska") as the Market Participant, and Montana-Dakota Utilities Co. ("MDU") as External Local Balancing Authority

On October 29, 2018, SPP submitted an executed Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Tenaska Power Services Co. as the Market Participant, and Montana-Dakota Utilities Co. as the External Local Balancing Authority. SPP Service Agreement No. First Revised 3246.

An effective date of October 1, 2018 was requested.

On October 30, 2018, Tenaska Power Services Co. filed a Motion to Intervene.

ER19-224

Submission of Tariff Revisions to Add a Competitive Upgrade Re-Evaluation Process (Revision Request 279)

On October 30, 2018, SPP submitted revisions to Attachment Y of the Tariff to add a re-evaluation process specific to Competitive Upgrades.

An effective date of December 29, 2018 was requested.

On October 31, 2018, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, Inc. filed Motions to Intervene.

ER19-230

Agreement Establishing a Pseudo-Tie Electrical Interconnection Point Among Arkansas Electric Cooperative Corporation ("AECC") as Market Participant, Entergy Arkansas, Inc. ("EAI") as External Balancing Authority, and SPP

On October 31, 2018, SPP submitted an executed Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among Arkansas Electric Cooperative Corporation as the Market Participant, Entergy Arkansas, Inc. as the External Balancing Authority, and SPP. SPP Service Agreement No. Fourth Revised 2891.

An effective date of October 1, 2018 was requested.

ER19-243

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kennett Board of Public Works ("Kennett") as Network Customer, and Southwestern Power Administration ("Southwestern") as Host Transmission Owner

On October 31, 2018, SPP submitted an unexecuted NITSA between SPP as Transmission Provider, Kennett Board of Public Works as Network Customer, as well as an unexecuted NOA with Southwestern Power Administration as Host Transmission Owner. SPP Service Agreement No. 3498.

An effective date of October 1, 2018 was requested.

Regulatory Status Report

Jurisdiction: ER19-245
FERC

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Paragould Light Water and Cable ("Paragould") as Network Customer, and Southwestern Power Administration ("Southwestern") as Host Transmission Owner

On October 31, 2018, SPP submitted an executed NITSA between SPP as Transmission Provider, Paragould Light Water and Cable as Network Customer, as well as an unexecuted NOA with Southwestern Power Administration as Host Transmission Owner. SPP Service Agreement No. 3500.

An effective date of October 1, 2018 was requested.

ER19-4

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Missouri River Energy Services ("MRES") as Network Customer, and MRES, Basin Electric Power Cooperative, East River Electric Power Cooperative, Inc., Northwest Iowa Power Cooperative, and Western Area Power Administration as Host Transmission Owners

On October 1, 2018, SPP submitted an unexecuted NITSA between SPP as Transmission Provider, Missouri River Energy Services as Network Customer, as well as an unexecuted NOA with MRES, Basin Electric Power Cooperative, East River Electric Power Cooperative, Inc., Northwest Iowa Power Cooperative, and Western Area Power Administration as Host Transmission Owners. SPP Service Agreement No. First Revised 3095.

An effective date of September 1, 2018 was requested.

On October 22, 2018, Western Area Power Administration filed a Motion to Intervene.

ER19-40

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Western Farmers Electric Cooperative ("WFEC") as Network Customer and Host Transmission Owner, and American Electric Power Service Corporation ("AEP") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners

On October 3, 2018, SPP submitted an executed NITSA between SPP as Transmission Provider and Western Farmers Electric Cooperative as Network Customer, as well as an executed NOA with WFEC, American Electric Power Service Corporation, and Oklahoma Gas and Electric Company as Host Transmission Owners. SPP Service Agreement No. Thirteenth Revised 1628.

An effective date of December 1, 2018 was requested.

On October 24, 2018, Western Farmers Electric Cooperative filed a Motion to Intervene.

Regulatory Status Report

Jurisdiction: ER19-97
FERC

Submission of Request for Reliability Penalty Cost Recovery and Request for Confidential Treatment; Request for Commission Order Directing Confidential Treatment of FERC Form 1 Data

On October 11, 2018, SPP submitted a filing explaining SPP's allocation of costs associated with a monetary penalty for alleged violations of Reliability Standards approved by the North American Electric Reliability Corporation.

SPP requested that the Commission accept this filing as just and reasonable and consistent with SPP's obligations under Attachment AP of the Tariff, effective December 11, 2018. SPP further requested confidential treatment for this filing.

In addition, to ensure confidentiality required by the Commission's regulations, SPP requested a Commission order authorizing SPP either to: (1) report the costs of the above-mentioned monetary penalty on a line item of FERC Form 1 other than the customary line item for such expenses; or (2) redact and keep confidential portions of SPP's FERC Form 1 for 2017 related to the aforementioned penalty.

On October 18, 2018, Westar Energy, Inc., Kansas City Power & Light Company, and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On October 23, 2018, Oklahoma Gas and Electric Company filed a Motion to Intervene.

On October 30, 2018, the West Texas Municipal Power Agency filed a Motion to Intervene and Comments. WTMPA requested that the Commission make clear that the grant of any waiver in this proceeding is not precedential for any other proceeding in which SPP seeks approval of the allocation of costs associated with a NERC penalty.

On October 31, 2018, Western Farmers Electric Cooperative filed a Motion to Intervene.

ES18-33

Application of Southwest Power Pool, Inc. ("SPP") Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities

On October 17, 2018, SPP filed its Report of Securities Issued.

RM05-5

Standards for Business Practices and Communication Protocols for Public Utilities

On October 4, 2018, FERC issued a Notice of Proposed Rulemaking proposing to remove the incorporation by reference of the Wholesale Electric Quadrant WEQ-006 Time Error Correction Business Practice Standards as adopted by the North American Energy Standards Board (NAESB) in its WEQ Version 003.0 Business Practice Standards. The WEQ-006 Manual Time Error Correction Business Practice Standards previously defined the commercial based procedures to be used for reducing time error to keep the system's time within acceptable limits of true time. NAESB's latest version of its Business Practice Standards retires and eliminates its Manual Time Error Correction Business Practice Standards to correspond with the removal of the Time Error Correction requirements of the North American Electric Reliability Corporation (NERC), which was approved by the Commission in 2017. The Commission also proposes to incorporate by reference Standard WEQ-000 (Version 003.2), which eliminates the definitions of "Time Error" and "Time Error Correction" as well as making unrelated minor corrections.

Comments are due 30 days after publication in the Federal Register.

Regulatory Status Report

Jurisdiction: RM05-5
FERC

On October 24, 2018, the North American Electric Reliability Corporation filed Comments in Support of Notice of Proposed Rulemaking.

RM17-13

Supply Chain Risk Management Reliability Standards

On October 18, 2018, FERC issued Order No. 850, approving supply chain risk management Reliability Standards CIP-013-1 (Cyber Security – Supply Chain Risk Management), CIP-005-6 (Cyber Security – Electronic Security Perimeter (s)) and CIP-010-3 (Cyber Security – Configuration Change Management and Vulnerability Assessments) submitted by the North American Electric Reliability Corporation (NERC). In addition, the Commission directed NERC to develop and submit modifications to the supply chain risk management Reliability Standards so that the scope of the Reliability Standards include Electronic Access Control and Monitoring Systems.

This rule becomes effective on December 26, 2018.

RM17-8

Reform of Generator Interconnection Procedures and Agreements

On October 3, 2018, FERC issued a Notice of Extension of Compliance Date. Compliance filings are now due within 90 days of the Commission's issuance of an order addressing pending requests for rehearing of Order No. 845.

On October 15, 2018, the American Wind Energy Association filed a Request for Rehearing of the Notice of Extension of Compliance Date issued on October 3, 2018.

RM18-15

Revisions to Parts 45 and 46 of the Commission's Regulations

On October 1, 2018, several parties filed comments in response to the Notice of Proposed Rulemaking.

Regulatory Status Report

Jurisdiction:

**State of
Arkansas**

08-136-U

In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On October 16, 2018, SPP filed the Summer Quarter (June-August 2018) State of the Market Report pursuant to Order Nos. 2 and 25.

Regulatory Status Report

Jurisdiction:
State of Texas

- 46901** **Joint Petition of Southwestern Public Service Company ("SPS") and Southwest Power Pool, Inc. ("SPP") for Declaratory Order Seeking Declaration as to Whether SPP May Designate Entities Other Than the Incumbent Texas Utility to Construct and Own Regionally-Funded Transmission Facilities Located in Texas but Providing Service Outside the Electric Reliability Council of Texas ("ERCOT")**
- In October 2018, Energy Texas, Inc., Southwestern Public Service Company, and Texas Industrial Energy Consumers filed notices of appeal of the order issued on September 27, 2018, a Final Judgment affirming the decision of the Public Utility Commission of Texas, before the Third District of Texas Court of Appeals.
- 47898** **Petition of East Texas Electric Cooperative, Inc. ("ETEC") to Transfer 35 MW of Load to the Electric Reliability Council of Texas, Inc. ("ERCOT")**
- On October 19, 2018, East Texas Electric Cooperative, Inc. and Commission Staff filed a Third Joint Status Report. The parties stated they have reached a settlement in principle to resolve this case and requested that East Texas Electric Cooperative, Inc. be permitted to file the settlement documents or other appropriate pleading on or before November 20, 2018.
- On October 22, 2018, the Commission issued Order No. 12, Granting Request and Establishing Deadline. East Texas Electric Cooperative, Inc. and Commission Staff were directed to file the settlement documents or other appropriate pleading by November 20, 2018.
- 48400** **Joint Application of Rayburn Country Electric Cooperative, Inc. ("Rayburn") and Lone Star Transmission, LLC ("Lone Star") to Transfer Load to the Electric Reliability Council of Texas, Inc. ("ERCOT"), and for Sale of Transmission Facilities and Transfer of Certificate Rights in Henderson and Van Zandt Counties, Texas**
- On October 15, 2018, Rayburn Country Electric Cooperative, Inc. filed a Status Report pursuant to Order No. 6. Rayburn stated it is hopeful the stipulation and associated documents can be filed within the next two weeks. Rayburn proposed to file another status report on or before October 29, 2018.
- On October 16, 2018, the Administrative Law Judge issued Order No. 7, Requiring Status Report to be filed by October 23, 2018 regarding the settlement discussions.
- On October 23, 2018, Rayburn Country Electric Cooperative, Inc. filed a Status Report pursuant to Order No. 7. Rayburn stated it is still working to receive comments from all parties and to refine language to be included in the final stipulation. Rayburn proposed to file another status report on or before November 6, 2018, if the parties do not file settlement documents earlier.