

Regulatory Status Report

Jurisdiction:
FERC

- AD10-12** **Increasing Market and Planning Efficiency through Improved Software**
- On March 29, 2019, FERC issued a Notice of Technical Conference to be held June 25-27, 2019, to discuss opportunities for increasing real-time and day-ahead market efficiency and enhancing the resilience of the bulk power system through improved software.
- AD19-12** **Security Investments for Energy Infrastructure Technical Conference**
- On March 1, 2019, FERC issued a Supplemental Notice of Technical Conference.
- On March 21, 2019, FERC issued a Supplemental Notice of Technical Conference, attaching the final agenda.
- A technical conference was held on March 28, 2019.
- EL17-76** **East Texas Electric Cooperative, Inc. ("ETEC") Complaint Against Public Service Company of Oklahoma ("PSO"), Southwestern Electric Power Company ("SWEPCO"), AEP Oklahoma Transmission Company ("AEPOKT") and AEP Southwestern Transmission Company ("AEPSWT") (collectively, "AEP West Companies") Concerning Base Return on Equity ("ROE")**
- On March 25, 2019, American Electric Power Service Corporation filed notice in this proceeding of the Settlement Agreement submitted by SPP in Docket No. ER19-1396-000.
- EL18-199** **East Texas Electric Cooperative, Inc. ("ETEC") Complaint Against Public Service Company of Oklahoma ("PSO"), Southwestern Electric Power Company ("SWEPCO"), AEP Oklahoma Transmission Company ("AEPOKT") and AEP Southwestern Transmission Company ("AEPSWT") (collectively, "AEP West Companies") Concerning Base Return on Equity ("ROE")**
- On March 25, 2019, American Electric Power Service Corporation filed notice in this proceeding of the Settlement Agreement submitted by SPP in Docket No. ER19-1396-000.
- EL19-11** **Complaint of the American Wind Energy Association ("AWEA") and the Wind Coalition (collectively, "Complainants") Regarding SPP's Financial Obligations of Withdrawing Members**
- On March 15, 2019, SPP Generation Market Participants filed an answer in response to SPP's answer filed on February 14, 2019.
- On March 22, 2019, the American Wind Energy Association and the Wind Coalition filed an answer in response to SPP's answer filed on February 14, 2019.
- ER05-652** **Submission of Transmission Cost Allocation Tariff Revisions**
- On March 8, 2019, SPP filed an informational report including (a) SPP's assessment of the average costs of all new network upgrades identified through SPP's regional transmission cost allocation plan and SPP's efforts to work with its stakeholders and the Regional State Committee to evaluate the effectiveness and accuracy of the \$180,000/MW Safe Harbor threshold for Base Plan Upgrades; (b) information concerning SPP's regional cost allocation review and (c) information concerning SPP's disposition of all requests for waiver of the Base Plan criteria.

Regulatory Status Report

Jurisdiction: FERC	ER16-204	Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Tri-State Generation and Transmission Association, Inc. ("Tri-State") On March 15, 2019, Nebraska Public Power District filed a Petition for Review of orders issued on May 17, 2018 and January 17, 2019 in Docket No. ER16-204 before the United States Court of Appeals for the Eighth Circuit.
	ER16-2720	NextEra Energy Transmission Southwest, LLC's ("NEET Southwest") Submission of a Formula Rate Template and Implementation Protocols to Determine and Recover the Costs of Investment in Transmission Facilities located in the SPP Region On March 29, 2019, NextEra Energy Transmission Southwest, LLC filed a compliance filing to revise its formula rate template and protocols in conformance with the order issued on November 3, 2017. Based on discussions with Commission staff, NEET Southwest submitted this revised compliance filing clarifying the components of NEET Southwest's cost of debt in the formula rate template.
	ER17-1568	Order No. 831 Compliance Filing (Revision Request 229) On March 26, 2019, SPP submitted supplemental information regarding its request for a deferral of the effective date in this proceeding.
	ER18-194	Submission of Revisions to Formula Rate of American Electric Power Service Corporation's Transmission Companies ("Transcos"), AEP Oklahoma Transmission Company, Inc. and AEP Southwestern Transmission Company, Inc. On March 29, 2019, Judge Krolikowski issued an Eighth Report of Settlement Judge. A settlement agreement was filed on February 28, 2019, and the Judge is reviewing them in preparation of filing a certification with the Commission.
	ER18-195	Submission of Revisions to Formula Rate of American Electric Power Service Corporation's ("AEP") Operating Companies, Public Service Company of Oklahoma ("PSO") and Southwestern Electric Power Company ("SWEPCO") On March 29, 2019, Judge Krolikowski issued an Eighth Report of Settlement Judge. A settlement agreement was filed on February 28, 2019, and the Judge is reviewing them in preparation of filing a certification with the Commission.
	ER18-2030	Submission of Tariff Revisions to SPP's Market Settlements Revenue Neutrality Uplift ("RNU") Rounding Process (Revision Request 273) On March 5, 2019, SPP submitted a request for deferral of the effective date for the provisions filed in this proceeding.
	ER18-2058	Submission of Tariff Revisions to Change Market Settlement Timelines (Revision Request 259) On March 5, 2019, SPP submitted a request for deferral of the effective date for the provisions filed in this proceeding.

Regulatory Status Report

Jurisdiction: ER18-2318
FERC

Submission of Tariff Revisions to Comply with Order No. 844 (Revision Request 310)

On March 21, 2019, FERC issued an order accepting in part and rejecting in part SPP's compliance filing in response to Order No. 844.

SPP was directed to submit a compliance filing:

- 1) to revise its Tariff language to include the types of uplift that it will report, and to state that the report will be broken out by day;
- 2) to revise its Tariff to include the uplift categories that will be included in the Resource-Specific Uplift Report;
- 3) to revise its Tariff to include commitments made under its Day-Ahead Reliability Unit Commitment, Short-Term Reliability Unit Commitment, and Intra-Day Reliability Unit Commitment processes in its Operator-Initiated Commitment Report;
- 4) to explain how the use of economic minimum provides transparency into the size of the system need associated with the operator-initiated commitment that is consistent with or superior to that provided by the economic maximum of each committed resource, or propose and justify an alternative metric;
- 5) to clarify whether it temporarily changes its transmission constraint penalty factors and, if so, to revise its Tariff to include the procedures for temporarily changing those values and SPP's intention to provide notice to Market Participants as soon as practicable.

SPP compliance filing is due on April 22, 2019.

ER18-99

Submission of Tariff Revisions to Incorporate South Central MCN LLC's ("South Central") Formula Rate

On March 1, 2019, SPP submitted an errata to correct Exhibit No. SPP-007.

On March 5, 2019, the parties filed a Revised Joint Initial Index of Exhibits.

A hearing was held on March 5-7, 2019.

On March 14, 2019, the parties filed a Joint Exhibit List.

On March 21, 2019, the parties filed Joint Transcript Corrections to the Hearing Transcripts.

On March 22, 2019, the parties filed corrections to the Joint Exhibit List.

On March 26, 2019, Judge Long issued an Order Adopting Joint Transcript Corrections.

ER19-1137

Submission of Stated Rate Changes to East Texas Electric Cooperative, Inc. and Deep East Texas Electric Cooperative, Inc.

On March 8, 2019, American Electric Power Service Corporation filed a Motion to Intervene.

ER19-1159

Submission of Revisions to Attachment M Appendix 1 to Update the Loss Factor for SPP Transmission Owner Southwestern Public Service Company ("SPS")

On March 1, 2019, SPP submitted tariff revisions to update the loss factor for transmission facilities owned by SPP Transmission Owner Southwestern Public Service Company ("SPS"). This filing incorporates into Appendix 1 to Attachment M of the Tariff the updated loss factor submitted by SPS in Docket No. ER19-911-000.

An effective date of April 1, 2019 was requested.

Regulatory Status Report

Jurisdiction: ER19-1177
FERC

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and The Empire District Electric Company ("Empire") as Network Customer and Host Transmission Owner

On March 4, 2019, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and The Empire District Electric Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Ninth Revised 1630.

An effective date of February 1, 2019 was requested.

ER19-1178

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, People's Electric Cooperative ("People's Electric") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners; and NOA with Southwestern Power Administration ("SPA") as Transmission Owner

On March 4, 2019, SPP submitted an executed NITSA between SPP as Transmission Provider, People's Electric Cooperative as Network Customer, as well as an executed NOA with Oklahoma Gas and Electric Company and Western Farmers Electric Cooperative as Host Transmission Owners; and an unexecuted NOA with Southwestern Area Power Administration as Transmission Owner. SPP Service Agreement No. Fifth Revised 3215.

An effective date of February 1, 2019 was requested.

On March 18, 2019, Western Farmers Electric Cooperative filed a Motion to Intervene.

ER19-1297

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Kansas City Power & Light Company ("KCPL") as Network Customer and KCPL, KCP&L Greater Missouri Operations Company ("GMO"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On March 14, 2019, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Kansas City Power & Light Company as Network Customer and KCPL, KCP&L Greater Missouri Operations Company, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Nineteenth Revised 1276.

An effective date of March 1, 2019 was requested.

On March 20, 2019, Westar Energy, Inc., Kansas City Power & Light Company, and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

Regulatory Status Report

Jurisdiction: ER19-1396
FERC

Submission of Settlement Agreement on behalf of American Electric Power Service Corporation and its affiliates, Public Service Company of Oklahoma, Southwestern Electric Power Company, AEP Oklahoma Transmission Company, Inc. and AEP Southwestern Transmission Company, Inc. (collectively, the "AEP West Companies"), Intended to Resolve all Issues in Docket Nos. EL17-76-001 and EL18-199-000

On March 21, 2019, SPP, on behalf of American Electric Power Service Corporation and its affiliates, Public Service Company of Oklahoma, Southwestern Electric Power Company, AEP Oklahoma Transmission Company, Inc. and AEP Southwestern Transmission Company, Inc. (collectively, the "AEP West Companies"), submitted an executed Settlement Agreement intended to resolve and dispose of all matters placed at issue in the complaint proceeding pending in Docket Nos. EL17-76-001 and EL18-199-000 involving the base return on equity component used in the AEP West Companies' transmission formula rate templates contained in SPP's Tariff.

ER19-1446 Submission of Tariff Revisions to Coordinate the Timelines in Attachment P for Requesting Daily and Hourly Non-Firm Transmission Service

On March 27, 2019, SPP submitted revisions to Attachment P to change the submission time for requests for daily non-firm transmission service from 12:00 PM to 10 AM.

An effective date of May 29, 2019 was requested.

ER19-1460 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Gas and Electric Company ("OG&E") as Network Customer, and OG&E, American Electric Power Service Corporation ("AEP"), Grand River Dam Authority ("GRDA") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On March 28, 2019, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Gas and Electric Company as Network Customer, as well as a NOA with OG&E, American Electric Power Service Corporation, Grand River Dam Authority, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Eventh Revised 1313.

An effective date of March 1, 2019 was requested.

ER19-1485 Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for North Iowa Municipal Electric Cooperative Association ("NIMECA")

On March 29, 2019, SPP submitted tariff revisions to add a transmission formula rate template under Attachment H of the Tariff to accommodate the recovery of the Annual Transmission Revenue Requirement ("ATRR") for North Iowa Municipal Electric Cooperative Association ("NIMECA"). NIMECA's ATRR will be collected through the formula rate template of existing SPP Transmission Owner Corn Belt Power Cooperative ("Corn Belt"), and revenue collected will be remitted to NIMECA pursuant to an agency agreement by and among Corn Belt and NIMECA.

An effective date of June 1, 2019 was requested.

Regulatory Status Report

Jurisdiction: ER19-224
FERC

Submission of Tariff Revisions to Add a Competitive Upgrade Re-Evaluation Process (Revision Request 279)

On March 6, 2019, FERC issued an order accepting SPP's revisions to Attachment Y of the Tariff to add a re-evaluation process specific to Competitive Upgrades.

An effective date of December 28, 2018 was granted.

This order constitutes final agency action.

ER19-261 **Submission of Tariff Revisions to Modify Market Participation Provisions for Jointly Owned Units ("JOU") (Revision Request 266)**

On March 5, 2019, SPP submitted a request for deferral of the effective date for the provisions filed in this proceeding.

ER19-356 **Submission of Tariff Revisions to Require that All Variable Energy Resources ("VER") Register as and Convert to Dispatchable Variable Energy Resources ("DVER") (Revision Request 272)**

On March 4, 2019, Invenenergy Energy Management LLC filed Supplemental Comments.

ER19-450 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Otter Tail Power Company ("Otter Tail") as Network Customer, and Otter Tail, Central Power Electric Cooperative, Inc. ("Central Power"), and Western Area Power Administration ("WAPA") as Host Transmission Owners**

On March 15, 2019, FERC issued an order accepting the agreement, effective February 1, 2019 as requested.

ER19-456 **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Mor-Gran-Sou Electric Cooperative, Inc. ("Mor-Gran-Sou")**

On March 5, 2019, Judge Krolikowski issued an Order Scheduling Settlement Conferences. A settlement conference is scheduled to convene by phone on April 24, 2019. The next in-person settlement conference is scheduled to convene on May 2, 2019.

On March 7, 2019, Judge Krolikowski issued the First Report of Settlement Judge, recommending that settlement procedures be continued.

On March 8, 2019, SPP and Mor-Gran-Sou Electric Cooperative, Inc. filed a Joint Motion for Adoption of Protective Order.

On March 11, 2019, Judge Cintron issued an Order of Chief Judge Shortening Answer Period to respond to the Joint Motion for Adoption of Protective Order.

On March 13, 2019, Judge Cintron issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On March 15, 2019, Judge Cintron issued an Order of Chief Judge Adopting Protective Order.

Regulatory Status Report

Jurisdiction: ER19-457
FERC

Submission of Tariff Revisions to Implement Network Customer Transmission Credits for Otter Tail Power Company ("Otter Tail")

On March 15, 2019, FERC issued an order accepting SPP's revisions to Section III to Attachment H of the Tariff to implement Network Customer Transmission Credits for Otter Tail Power Company pursuant to Part III, Section 30.9 of the Tariff.

An effective date of February 1, 2019 was granted.

This order constitutes final agency action.

ER19-460 **Submission of Order No. 841 Compliance Filing to Incorporate Electric Storage Resources (Revision Request 323)**

On March 1, 2019, FERC issued a notice shortening the comment period to March 11, 2019, for filing comments in response to SPP's February 28, 2019 filing.

On March 1, 2019, Voith Hydro, Inc. submitted comments in response to the Order No. 841 compliance filings submitted by the six RTOs/ISOs.

On March 11, 2019, the Energy Storage Association filed Comments in response to SPP's request for deferral of the effective date of its Order No. 841 Tariff provisions.

On March 19, 2019, SPP filed an answer in response to the comments filed by the Energy Storage Association on March 11, 2019.

ER19-790 **Submission of Revisions to Attachment AD to Extend Tariff Services SPP Performs on behalf of Southwestern Power Administration ("Southwestern") through June 30, 2020**

On March 6, 2019, FERC issued an order accepting SPP's revisions to Attachment AD of the Tariff to reflect the extension of Tariff services SPP performs on the behalf of Southwestern Power Administration through June 30, 2020.

An effective date of January 1, 2019 was granted.

This order constitutes final agency action.

ER19-794 **Generator Interconnection Agreement ("GIA") between Plum Creek Wind, LLC ("Plum Creek") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**

On March 6, 2019, FERC issued an order accepting the agreement, effective December 19, 2018 as requested.

This order constitutes final agency action.

ER19-825 **Submission of Tariff Revisions to Enhance Available Flowgate Capacity ("AFC") Calculations (Revision Request 322)**

On March 7, 2019, FERC issued an order accepting SPP's revisions to Sections 4 and 8 of Attachment C of the Tariff to enhance Available Flowgate Capacity calculations.

An effective date of March 18, 2019 was granted.

This order constitutes final agency action.

Regulatory Status Report

Jurisdiction: ER19-861
FERC

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Kansas City Power & Light Company ("KCPL") as Network Customer and KCPL, KCP&L Greater Missouri Operations Company ("GMO"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On March 20, 2019, FERC issued an order accepting the agreement, effective January 1, 2019 as requested.

This order constitutes final agency action.

ER19-864

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Southwestern Public Service Company ("SPS") as Network Customer and Host Transmission Owner

On March 21, 2019, FERC issued an order accepting the agreement, effective January 1, 2019 as requested.

This order constitutes final agency action.

ER19-869

Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent

On March 20, 2019, FERC issued an order accepting the agreement, effective January 1, 2019 as requested.

This order constitutes final agency action.

ER19-870

Submission of Revisions to Formula Rate Template to Modify Depreciation Rates for Westar Energy, Inc.

On March 20, 2019, FERC issued an order accepting SPP's tariff revisions to update the depreciation rates contained in the formula rate template for Westar Energy, Inc.

An effective date of January 1, 2019 was granted.

This order constitutes final agency action.

ER19-937

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Clarksville Light & Water Company ("Clarksville L&W") as Network Customer, and Southwestern Power Administration ("SPA") as Host Transmission Owner

On March 25, 2019, FERC issued an order accepting the agreement, effective January 1, 2019 as requested.

This order constitutes final agency action.

ER19-946

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Montana-Dakota Utilities Co. ("MDU") as Network Customer, and Western Area Power Administration and Basin Electric Power Cooperative as Host Transmission Owners

On March 8, 2019, SPP submitted a Motion to Withdraw the filing submitted on January 31, 2019.

Regulatory Status Report

Jurisdiction: FERC	ER19-992	Notice of Cancellation of the Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Municipal Energy Agency of Nebraska ("MEAN") as Transmission Customer On March 28, 2019, FERC issued an order accepting the Notice of Cancellation, effective January 1, 2019 as requested. This order constitutes final agency action.
	ES19-19	Application of Southwest Power Pool, Inc. Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities of up to \$30,000,000 On March 19, 2019, SPP filed an application requesting an order authorizing of the issuance of up to \$30,000,000 in non-secured Promissory Notes.
	RM17-8	Reform of Generator Interconnection Procedures and Agreements On March 25, 2019, American Electric Power Service Corporation filed a Request for Clarification, or in the Alternative, Rehearing of Order No. 845-A.
	RM19-12	Revisions to the Filing Process for Commission Forms Several parties filed comments in response to the Notice of Proposed Rulemaking.

Regulatory Status Report

Jurisdiction:
State of Kansas

17-SPPE-117-GIE **In the Matter of a General Investigation for the Purpose of Investigating Whether Annual or Periodic Cost/Benefit Reporting by the SPP and Kansas Electric Utilities that Participate in SPP is in the Public Interest**

On March 19, 2019, the KCC issued an order finding that the studies and reports which the commenters believe to be sufficient do not provide the Commission with necessary data to verify whether a particular cost-savings projection has come to fruition. The KCC found that the Kansas utilities and/or SPP must provide the Commission with measurable data, not mere projections, by which to assess the veracity of the purported cost savings stemming from SPP membership.

The KCC directed the parties to submit comments by May 24, 2019 on possible methods or approaches whereby Kansas utilities and/or SPP can provide a back-cast or historical evaluation of future cost/benefit studies. SPP was directed to file the Kansas-specific portion, by Kansas member utility if possible, for each of the most recently created SPP reports that evaluate the cost/benefit of Kansas Utility participation in SPP by June 14, 2019. A compliance docket shall be opened for these filings. SPP was further directed to file the Kansas-specific portion, by Kansas member utility, within 60 days of the release of future SPP cost/benefit reports in the compliance docket.

Regulatory Status Report

Jurisdiction:
State of Texas

47898 **Petition of East Texas Electric Cooperative, Inc. ("ETEC") to Transfer 35 MW of Load to the Electric Reliability Council of Texas, Inc. ("ERCOT")**

On March 15, 2019, East Texas Electric Cooperative, Inc. and Commission Staff filed a Ninth Joint Status Report. The parties stated ETEC is working with all parties to finalize a settlement and requested that ETEC be permitted to continue to work with the parties to file settlement documents or other appropriate pleading by April 5, 2019.

On March 18, 2019, the Commission issued Order No. 18, Granting Request and Establishing Deadline. On or before April 5, 2019, ETEC and Commission Staff must file the settlement documents or other appropriate pleading.

48400 **Joint Application of Rayburn Country Electric Cooperative, Inc. ("Rayburn") and Lone Star Transmission, LLC ("Lone Star") to Transfer Load to the Electric Reliability Council of Texas, Inc. ("ERCOT"), and for Sale of Transmission Facilities and Transfer of Certificate Rights in Henderson and Van Zandt Counties, Texas**

On March 13, 2019, the Commission issued an order approving the transfer of Rayburn Country Electric Cooperative, Inc.'s load and associated facilities into the Electric Reliability Council of Texas, Inc.

Regulatory Status Report

Jurisdiction:

U.S.

Environmental

Protection

Agency

EPA-HQ-OAR-2013-0495 **Review of Standards of Performance for Greenhouse Gas Emissions From New, Modified, and Reconstructed Stationary Sources: Electric Utility Generating Units**

On March 18, 2019, SPP submitted comments in response to the proposed rule.

Regulatory Status Report

Jurisdiction:

United States

Court of

Appeals

19-1553

Nebraska Public Power District ("NPPD") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket No. ER16-204 Regarding the Placement of Tri-State Generation and Transmission Association, Inc. ("Tri-State") in Zone 17

On March 15, 2019, Nebraska Public Power District filed a Petition for Review of orders issued on May 17, 2018 and January 17, 2019 in Docket No. ER16-204 before the United States Court of Appeals for the Eighth Circuit.

On March 18, 2019, the court issued a Briefing Schedule.