

## Regulatory Status Report

**Jurisdiction:**  
**FERC**

- AD19-16**      **Performance Metrics for ISO, RTOs, and Regions Outside ISOs and RTOs**
- On October 28, 2020, Duke Energy Corporation filed its Performance Metrics for 2014-2018.
- On October 30, 2020, SPP filed its Performance Metrics for 2014-2018.
- AD20-14**      **Carbon Pricing in Organized Regional Wholesale Electric Energy Markets**
- On October 15, 2020, FERC issued a Notice of Proposed Policy Statement to encourage efforts to incorporate a state-determined carbon price in organized wholesale electricity markets.
- Comments are due November 16, 2020. Reply comments are due December 1, 2020.
- EL17-89**      **American Electric Power Service Corporation ("AEP") Complaint Against the Midcontinent Independent System Operator, Inc. ("MISO") and SPP Concerning Assessment of Duplicative Congestion Charges Associated with Southwestern Electric Power Company ("SWEPCO") Loads that are Pseudo-Tied from MISO to SPP**
- On October 1, 2020, FERC issued a Notice of Technical Conference to be held on November 10, 2020.
- On October 13, 2020, the Midcontinent Independent System Operator, Inc. filed its Brief in response to the Order Directing Further Briefing issued on August 27, 2020.
- On October 13, 2020, SPP filed its Brief in response to the Order Directing Further Briefing issued on August 27, 2020.
- ER15-2028**      **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Corn Belt Power Cooperative ("Corn Belt")**
- On October 6, 2020, the parties filed a Joint Motion to Modify Procedural Schedule, Extend Track III Deadlines, Adopt Procedures to Accommodate a Joint Hearing and for Waiver of Answer Period.
- On October 7, 2020, Judge Cintron issued an order shortening the answer period to October 8, 2020 to respond to the Joint Motion filed on October 6, 2020.
- On October 9, 2020, Judge Cintron issued an Order of Chief Judge Extending Procedural Time Standards. For Docket No. ER15-2115-002, the hearing commencement and initial decision deadlines were extended to November 17, 2020 and May 5, 2021. For Docket No. ER15-2028-003, the hearing commencement date and initial decision deadlines are November 17, 2020 and May 19, 2021.
- On October 13, 2020, Judge Hurt issued a Report of Settlement Judge, recommending that settlement procedures continue.
- On October 20, 2020, SPP submitted a Joint Offer of Partial Settlement and Settlement Agreement intended to settle and resolve the issues set for hearing in this proceeding relating to the Annual Transmission Revenue Requirement, Formula Rate Template, and Implementation Protocols of Corn Belt Power Cooperative.
- On October 20, 2020, Alliant Energy Corporate Services, Inc. filed a Motion for Leave to Substitute Witness and Submit Supplemental Testimony.

## Regulatory Status Report

**Jurisdiction:** ER15-2028  
**FERC**

On October 26, 2020, Judge Dring issued an Order Granting Alliant Energy Corporate Services, Inc. Motion to Substitute Witness and Submit Supplemental Testimony.

On October 26, 2020, the parties filed a Joint Motion to Supplement Rules of Conduct of the Hearing to Accomodate Joint Hearing.

On October 26, 2020, FERC issued an order approving the Joint Offer of Partial Settlement and Settlement Agreement addressing certain of the North Iowa Municipal Electric Cooperative Association member cities' Annual Transmission Revenue Requirement, Formula Rate Templates and Formula Rate Implementation Protocols filed on August 26, 2020.

On October 26, 2020, Commission Trial Staff filed Comments Supporting Joint Offer of Partial Settlement and Settlement Agreement filed on October 20, 2020.

On October 27, 2020, MidAmerican Energy Company filed an Errata filing of Direct and Rebuttal Testimony of Witness Dehn Stevens.

On October 28, 2020, Judge Hurt issued an Order Declaring Impasse, recommending termination of settlement judge procedures.

**ER15-2115**

**Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Northwest Iowa Power Cooperative ("NIPCO")**

On October 1, 2020, the parties filed the Joint Statement of Issues.

On October 6, 2020, the parties filed a Joint Motion to Modify Procedural Schedule, Extend Track III Deadlines, Adopt Procedures to Accommodate a Joint Hearing and for Waiver of Answer Period.

On October 7, 2020, Judge Cintron issued an order shortening the answer period to October 8, 2020 to respond to the Joint Motion filed on October 6, 2020.

On October 9, 2020, Judge Cintron issued an Order of Chief Judge Extending Procedural Time Standards. For Docket No. ER15-2115-002, the hearing commencement and initial decision deadlines were extended to November 17, 2020 and May 5, 2021. For Docket No. ER15-2028-003, the hearing commencement date and initial decision deadlines are November 17, 2020 and May 19, 2021.

On October 13, 2020, Judge Hurt issued a Report of Settlement Judge, recommending that settlement procedures continue.

On October 13, 2020, the parties filed a Revised Joint Witness List, Exhibit List, Statement of Stipulated Facts and Contested Facts.

On October 26, 2020, the parties filed a Joint Motion to Supplement Rules of Conduct of the Hearing to Accomodate Joint Hearing.

On October 27, 2020, MidAmerican Energy Company filed an Errata filing of Direct and Rebuttal Testimony of Witness Dehn Stevens.

On October 28, 2020, Judge Hurt issued an Order Declaring Impasse, recommending termination of settlement judge procedures.

**ER18-2358**

**Submission of Tariff Revisions to Add Additional Facilities for GridLiance High Plains LLC ("GridLiance HP")**

On October 5, 2020, GridLiance High Plains LLC filed its response to the answer filed by Xcel Energy Services Inc. on September 25, 2020.

On October 8, 2020, GridLiance High Plains, LLC and Xcel Energy Services Inc. filed an Unopposed Motion to Amend Joint Stipulated Fact No. 11.

## Regulatory Status Report

**Jurisdiction:** ER18-2358  
**FERC**

On October 9, 2020, Judge Long issued an Order Adopting the Courts' and Participants' Joint Transcript Corrections.

On October 9, 2020, FERC issued a Notice of Denial of Rehearing by Operation of Law and Providing for Further Consideration of the order issued on August 7, 2020.

On October 20, 2020, Judge Long issued an Order Adopting Joint Exhibit List.

On October 22, 2020, Judge Long issued an Order Granting Unopposed Joint Motion to Amend Joint Stipulated Fact No. 11.

On October 27, 2020, Midwest Energy, Inc. filed its Initial Brief.

On October 27, 2020, Nebraska Public Power District filed its Initial Brief.

On October 27, 2020, SPP filed its Initial Brief.

On October 27, 2020, Commission Trial Staff filed its Initial Brief.

On October 27, 2020, the Indicated SPP Transmission Owners filed their Initial Brief.

On October 27, 2020, Xcel Energy Services Inc. filed its Initial Brief.

On October 27, 2020, GridLiance High Plains LLC filed its Initial Brief.

On October 27, 2020, the Edison Electric Institute filed its Limited Initial Brief.

**ER19-1357**

### **GridLiance High Plains LLC's 2019 Annual Informational Filing**

On October 5, 2020, GridLiance High Plains LLC filed its response to the answer filed by Xcel Energy Services Inc. on September 25, 2020.

On October 8, 2020, GridLiance High Plains, LLC and Xcel Energy Services Inc. filed an Unopposed Motion to Amend Joint Stipulated Fact No. 11.

On October 9, 2020, FERC issued a Notice of Denial of Rehearing by Operation of Law and Providing for Further Consideration of the order issued on August 7, 2020.

On October 9, 2020, Judge Long issued an Order Adopting the Courts' and Participants' Joint Transcript Corrections.

On October 20, 2020, Judge Long issued an Order Adopting Joint Exhibit List.

On October 22, 2020, Judge Long issued an Order Granting Unopposed Joint Motion to Amend Joint Stipulated Fact No. 11.

On October 27, 2020, GridLiance High Plains LLC filed its Initial Brief.

On October 27, 2020, the Edison Electric Institute filed its Limited Initial Brief.

On October 27, 2020, the Indicated SPP Transmission Owners filed their Initial Brief.

On October 27, 2020, Xcel Energy Services Inc. filed its Initial Brief.

On October 27, 2020, SPP filed its Initial Brief.

On October 27, 2020, Commission Trial Staff filed its Initial Brief.

On October 27, 2020, Nebraska Public Power District filed its Initial Brief.

On October 27, 2020, Midwest Energy, Inc. filed its Initial Brief.

## Regulatory Status Report

<b>Jurisdiction:</b> <b>FERC</b>	<b>ER19-2273</b>	<b>Submission of Revisions to Formula Rate Template and Formula Rate Protocols for Sunflower Electric Power Corporation ("Sunflower") and to Reflect the Merger of Mid-Kansas Electric Company, Inc. into Sunflower</b>  On October 23, 2020, Judge Hurt issued a Report of Settlement Judge, recommending that settlement procedures continue.
	<b>ER19-460</b>	<b>Submission of Order No. 841 Compliance Filing to Incorporate Electric Storage Resources (Revision Request 323)</b>  On October 13, 2020, SPP submitted its compliance filing to comply with the order issued on July 16, 2020.  An effective date of August 5, 2021 was requested.
	<b>ER20-108</b>	<b>Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Montana-Dakota Utilities Co. ("MDU") as Network Customer, and Western Area Power Administration and Basin Electric Power Cooperative as Host Transmission Owners</b>  On October 5, 2020, Judge Hurt issued an Order Cancelling Settlement Conference to be held on October 8, 2020.  On October 8, 2020, SPP filed an Offer of Settlement and Settlement Agreement.  On October 16, 2020, Judge Hurt issued a Report of Settlement Judge, recommending that settlement procedures continue.  On October 27, 2020, SPP filed a Limited Correction to the Offer of Settlement and Settlement Agreement filed on October 8, 2020.  On October 26, 2020, Commission Trial Staff filed Comments in Support of Offer of Settlement and Settlement Agreement.
	<b>ER20-1313</b>	<b>GridLiance High Plains LLC's 2020 Annual Informational Filing</b>  On October 5, 2020, GridLiance High Plains LLC filed its response to the answer filed by Xcel Energy Services Inc. on September 25, 2020.  On October 8, 2020, GridLiance High Plains, LLC and Xcel Energy Services Inc. filed an Unopposed Motion to Amend Joint Stipulated Fact No. 11.  On October 9, 2020, Judge Long issued an Order Adopting the Courts' and Participants' Joint Transcript Corrections.  On October 20, 2020, Judge Long issued an Order Adopting Joint Exhibit List.  On October 22, 2020, Judge Long issued an Order Granting Unopposed Joint Motion to Amend Joint Stipulated Fact No. 11.  On October 27, 2020, Midwest Energy, Inc. filed its Initial Brief.  On October 27, 2020, Nebraska Public Power District filed its Initial Brief.  On October 27, 2020, Commission Trial Staff filed its Initial Brief.  On October 27, 2020, SPP filed its Initial Brief.  On October 27, 2020, Xcel Energy Services Inc. filed its Initial Brief.  On October 27, 2020, the Indicated SPP Transmission Owners filed their Initial Brief.  On October 27, 2020, the Edison Electric Institute filed its Limited Initial Brief.  On October 27, 2020, GridLiance High Plains LLC filed its Initial Brief.

## Regulatory Status Report

<b>Jurisdiction:</b> <b>FERC</b>	<b>ER20-1314</b>	<b>Sunflower Electric Power Corporation's ("Sunflower") Petition for Tariff Waiver of the Requirement in Attachment Y, Section IV of the Tariff that Requires a Designated Transmission Owner to Provide Written Commitment to Construct within 90 Days of Receipt of a Notification to Construct ("NTC")</b> On October 16, 2020, FERC issued an Order Granting Waiver.
	<b>ER20-2239</b>	<b>Submission of Tariff Revisions to Implement a Projected Formula Rate for Kansas Power Pool ("KPP")</b> On October 5, 2020, Judge Sterner issued a Status Report recommending that settlement procedures continue. On October 21, 2020, Judge Cintron issued an Order of Chief Judge Continuing Settlement Judge Procedures.
	<b>ER20-2289</b>	<b>Transmission Interconnection Agreement between Ameren Services Company, as Agent for Union Electric Company d/b/a Ameren Missouri, ("Ameren") and Evergy Missouri West, Inc. ("Evergy"), with SPP and the Midcontinent Independent System Operator, Inc. as Signatories</b> On October 1, 2020, FERC issued an order accepting the agreement, effective August 30, 2020 as requested.  This order constitutes final agency action.
	<b>ER20-2343</b>	<b>Request of Upstream Wind Energy LLC for Waiver and Remedial Relief</b> On October 16, 2020, FERC issued an Order Granting Waiver Request.
	<b>ER20-2605</b>	<b>Generator Interconnection Agreement ("GIA") between King Plains Wind Project, LLC ("King Plains") as Interconnection Customer and Oklahoma Gas and Electric Company ("OG&amp;E") as Transmission Owner</b> On October 2, 2020, FERC issued an order accepting the agreement, effective July 30, 2020 as requested.  This order constitutes final agency action.
	<b>ER20-2611</b>	<b>Transmission Interconnection Agreement between Southwestern Power Administration ("Southwestern") and Western Farmers Electric Cooperative ("WFEC"), with SPP as Signatory</b> On October 16, 2020, FERC issued an order accepting the agreement, effective August 1, 2020 as requested.  This order constitutes final agency action.
	<b>ER20-2628</b>	<b>Submission of Tariff Revisions to Section 8.10 of Attachment AE to Clarify the Market Administration Services Amount (Revision Request 411)</b> On October 28, 2020, FERC issued an order accepting SPP's revisions to Section 8.10 of Attachment AE of the Tariff to clarify the calculation of the Transmission Congestion Rights Administration Service charge under Schedule 1-A2.  An effective date of January 1, 2021 was granted.

## Regulatory Status Report

**Jurisdiction:** ER20-2699  
**FERC**

**Submission of Revisions to Attachment F to Remove Requirement to List Maximum Net Dependable Capacity (Revision Request 390)**

On October 1, 2020, FERC issued an order accepting SPP's revisions to Attachment F of the Tariff to remove the requirement for Network Customers to list the "Maximum Net Dependable Capacity" amount for each Network Resource in their Network Integration Transmission Service Agreement.

An effective date of November 1, 2020 was granted.

**ER20-2707** **Submission of Cost and Usage Agreement Between Southwest Power Pool, Inc. and Associated Electric Cooperative, Inc. for Blackberry Substation Upgrade (Part 1 of 2)**

On October 7, 2020, FERC issued an order accepting the Cost and Usage Agreement between SPP and Associated Electric Cooperative, Inc. and the associated revisions to the Tariff.

An effective date of October 20, 2020 was granted.

**ER20-2708** **Submission of Cost and Usage Agreement Between Southwest Power Pool, Inc. and Associated Electric Cooperative, Inc. for Blackberry Substation Upgrade (Part 2 of 2)**

On October 7, 2020, FERC issued an order accepting the Cost and Usage Agreement between SPP and Associated Electric Cooperative, Inc. and the associated revisions to the Tariff.

An effective date of October 20, 2020 was granted.

**ER20-2712** **Submission of Revised Formula Rate Template and Formula Rate Protocols for City Utilities of Springfield, Missouri ("CUS")**

On October 29, 2020, SPP submitted an amended filing.

An effective date of December 1, 2020 was requested.

**ER20-2729** **Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Solaer USA NM ACR, LLC ("Solaer") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner**

On October 1, 2020, FERC issued an order accepting the Notice of Cancellation, effective July 26, 2020 as requested.

This order constitutes final agency action.

**ER20-2743** **Meter Agent Services Agreement between The Energy Authority ("TEA") as Market Participant and Municipal Energy Agency of Nebraska ("MEAN") as Meter Agent**

On October 13, 2020, FERC issued an order accepting the agreement, effective August 1, 2020 as requested.

This order constitutes final agency action.

## Regulatory Status Report

<b>Jurisdiction:</b> <b>FERC</b>	<b>ER20-2744</b>	<b>Notice of Cancellation of the Designee Qualification and Novation Agreement between SPP, Kansas City Power &amp; Light Company ("KCP&amp;L"), KCP&amp;L Greater Missouri Operations Company ("GMO"), and Transource Missouri, LLC ("Transource")</b>  On October 1, 2020, FERC issued an order accepting the Notice of Cancellation, effective August 26, 2020 as requested.  This order constitutes final agency action.
	<b>ER20-2748</b>	<b>Meter Agent Services Agreement between Thunderhead Wind Energy LLC ("Thunderhead") as Market Participant and Evergy Kansas Central, Inc. ("Evergy") as Meter Agent</b>  On October 2, 2020, FERC issued an order accepting the agreement, effective August 1, 2020 as requested.  This order constitutes final agency action.
	<b>ER20-2752</b>	<b>Generator Interconnection Agreement ("GIA") between Little Blue Wind, LLC ("Little Blue") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner</b>  On October 21, 2020, FERC issued an order accepting the agreement, effective July 29, 2020 as requested.  This order constitutes final agency action.
	<b>ER20-2753</b>	<b>Notice of Cancellation of Generator Interconnection Agreement ("GIA") between Magnet Wind Farm, LLC ("Magnet") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner</b>  On October 19, 2020, FERC issued an order accepting the Notice of Cancellation, effective July 30, 2020 as requested.  This order constitutes final agency action.
	<b>ER20-2761</b>	<b>Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Western Farmers Electric Cooperative ("WFEC") as Network Customer and Host Transmission Owner, and American Electric Power Service Corporation ("AEP") and Oklahoma Gas and Electric Company ("OG&amp;E") as Host Transmission Owners</b>  On October 26, 2020, SPP submitted an amended filing.
	<b>ER20-2779</b>	<b>Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, People's Electric Cooperative ("People's Electric") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&amp;E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners; and NOA with Southwestern Power Administration ("SPA") as Transmission Owner</b>  On October 28, 2020, FERC issued a letter advising that the filing is deficient and requesting additional information.  SPP's response is due on November 27, 2020.

## Regulatory Status Report

<b>Jurisdiction:</b> <b>FERC</b>	<b>ER20-2836</b>	<b>Large Generator Interconnection Agreement ("LGIA") between Smoky Hills Wind Farm, LLC ("Smoky Hills") as Interconnection Customer and Midwest Energy, Inc. ("Midwest") as Transmission Owner</b>  On October 15, 2020, FERC issued an order accepting the agreement, effective August 6, 2020 as requested.  This order constitutes final agency action.
	<b>ER20-2875</b>	<b>Compliance Filing to Revise the Formula Rate of ITC Great Plains, LLC in Response to the Order Issued in Docket No. EL19-80-000</b>  On October 21, 2020, FERC issued an order accepting SPP's compliance filing revising its Tariff to update the formula rate template of ITC Great Plains, LLC pursuant to the order issued on July 16, 2020 in Docket No. EL19-80.  An effective date of June 11, 2019 was granted.
	<b>ER20-3008</b>	<b>Submission of Tariff Revisions to Modify the Schedule 1-A Formula Rate Template (Revision Request 413)</b>  On October 8, 2020, Western Area Power Administration filed a Motion to Intervene.  On October 22, 2020, Missouri Joint Municipal Electric Utility Commission filed a Motion to Intervene.
	<b>ER20-644</b>	<b>Compliance Filing Revising Fast-Start Pricing Practices in Response to Order on Paper Hearing in Docket No. EL18-35-000 (Revision Request 375)</b>  On October 27, 2020, FERC issued an order accepting SPP's compliance filing submitted on September 14, 2020.  An effective date of May 18, 2022 was granted.
	<b>ER20-940</b>	<b>Midcontinent Independent System Operator, Inc.'s ("MISO") Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26-000</b>  On October 15, 2020, FERC issued an Order Addressing Arguments Raised on Rehearing and Setting Aside Prior Order, in Part in response to the request for rehearing of the order issued on June 30, 2020.  On October 30, 2020, FERC issued an order accepting the Midcontinent Independent System Operator, Inc.'s compliance filing submitted on August 31, 2020.  An effective date of April 4, 2020 was granted.
	<b>ER20-942</b>	<b>Midcontinent Independent System Operator, Inc.'s ("MISO") Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26-000</b>  On October 15, 2020, FERC issued an Order Addressing Arguments Raised on Rehearing and Setting Aside Prior Order, in Part in response to the request for rehearing of the order issued on June 30, 2020.  On October 30, 2020, FERC issued an order accepting the Midcontinent Independent System Operator, Inc.'s compliance filing submitted on August 31, 2020.  An effective date of April 6, 2020 was granted.



## Regulatory Status Report

**Jurisdiction:** ER20-943  
**FERC**

**Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26-000 (Part 1 of 2)**

On October 15, 2020, FERC issued an Order Addressing Arguments Raised on Rehearing and Setting Aside Prior Order, in Part in response to the request for rehearing of the order issued on June 30, 2020.

On October 30, 2020, FERC issued an order accepting SPP's compliance filing submitted on August 31, 2020.

An effective date of April 4, 2020 was granted.

**ER20-944** **PJM Interconnection, L.L.C.'s ("PJM") Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26-000**

On October 15, 2020, FERC issued an Order Addressing Arguments Raised on Rehearing and Setting Aside Prior Order, in Part in response to the request for rehearing of the order issued on June 30, 2020.

On October 30, 2020, FERC issued an order accepting PJM Interconnection, L.L.C.'s compliance filing submitted on August 31, 2020.

An effective date of April 6, 2020 was granted.

**ER20-945** **Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26-000 (Part 2 of 2)**

On October 30, 2020, FERC issued a letter stating that SPP's compliance filing is deficient and that additional information is necessary to process the filing.

SPP's response is due on November 30, 2020.

**ER21-102** **Submission of Tariff Revisions to Add the Generator Retirement Process (Revision Request 373)**

On October 14, 2020, SPP submitted revisions to add Attachment AB of the Tariff to include a process to evaluate the short-term and long-term impacts of retiring generation on the SPP Transmission System (the "Generator Retirement Process").

An effective date of January 1, 2021 was requested.

Several parties filed motions to intervene.

**ER21-104** **Submission of Modified Formula Rate Template for Basin Electric Power Cooperative ("Basin Electric")**

On October 14, 2020, SPP, on behalf of Basin Electric Power Cooperative, submitted revisions to Addendum 22 to Attachment H of the Tariff to revise Basin Electric's formula rate.

An effective date of January 1, 2020 was requested.

**ER21-124** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, AEP Energy Partners, Inc. ("AEP Energy Partners") as Network Customer, and Nebraska Public Power District ("NPPD") as Host Transmission Owner**

On October 16, 2020, SPP submitted an executed NITSA between SPP as Transmission Provider, AEP Energy Partners, Inc. as Network Customer, as well as a NOA with Nebraska Public Power District as Host Transmission Owner. SPP Service Agreement No. Second Revised 3285.

An effective date of October 1, 2020 was requested.

## Regulatory Status Report

**Jurisdiction:** ER21-165  
**FERC**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Montana-Dakota Utilities Co. ("MDU") as Network Customer, and Western Area Power Administration and Basin Electric Power Cooperative as Host Transmission Owners**

On October 21, 2020, SPP submitted an executed NITSA between SPP as Transmission Provider, Montana-Dakota Utilities Co. as Network Customer, as well as a NOA with Western Area Power Administration and Basin Electric Power Cooperative as Host Transmission Owners. SPP Service Agreement No. Second Revised 3127.

An effective date of October 1, 2020 was requested.

**ER21-169**

**Request For Approval of Amendment No. 1 to the Partial Settlement Agreement Approved in Docket Nos. ER14-2850-006 and ER14-2851-006**

On October 21, 2020, SPP, on behalf of itself, Montana-Dakota Utilities Co., Western Area Power Administration, Basin Electric Power Cooperative, Heartland Consumer Power District and the Midcontinent Independent System Operator, Inc. (collectively, the "Settling Parties") submitted for approval Amendment No. 1 to the Partial Settlement to amend the Joint Offer of Partial Settlement approved by the Commission in Docket Nos. ER14-2850-006 and ER14-2851-006.

**ER21-225**

**Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Evergy Kansas Central, Inc. ("Evergy") as Meter Agent**

On October 28, 2020, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Evergy Kansas Central, Inc. as the Meter Agent. SPP Service Agreement No. Eighth Revised 2825.

An effective date of November 1, 2020 was requested.

**ER21-229**

**Revisions to Bylaws to Add Additional Seats to Human Resources Committee and Finance Committee**

On October 28, 2020, SPP submitted revisions to Sections 6.3 and 6.5 of the SPP Bylaws to add additional seats to the Human Resources Committee and Finance Committee.

An effective date of January 1, 2021 was requested.

**ER21-230**

**Revisions to Bylaws to Modify Duties of the Board of Directors**

On October 28, 2020, SPP submitted revisions to Section 4.1 of the SPP Bylaws to revise the list of duties of the SPP Board of Directors.

An effective date of January 1, 2021 was requested.

**ER21-241**

**Submission of Tariff Revisions to Update the list of Grandfathered Agreements in Attachment W (Revision Request 423)**

On October 29, 2020, SPP submitted revisions to Attachment W of the Tariff to update the list of Grandfathered Agreements.

An effective date of January 1, 2021 was requested.

## Regulatory Status Report

**Jurisdiction:** ER21-3  
**FERC**

### **Submission of Western Energy Imbalance Service ("WEIS") Tariff**

On October 1, 2020, SPP submitted its Tariff in order to implement the Western Energy Imbalance Service Market in the Western Interconnection. SPP also submitted Western Joint Dispatch Agreements executed by eight entities and the Western Markets Executive Committee Charter for Commission approval under the Western Energy Imbalance Service Rate Schedule Tariff.

An effective date of February 1, 2021 was requested. SPP requested that the Commission issue an order by December 1, 2020.

On October 1, 2020, the SPP Market Monitoring Unit filed a Motion to Intervene.

Several parties filed motions to intervene.

On October 22, 2020, Deseret Generation & Transmission Co-operative, Inc. filed Comments in Support of Acceptance and Limited Protest.

On October 22, 2020, Basin Electric Power Cooperative filed a Motion to Intervene and Comments in support.

On October 22, 2020, Municipal Energy Agency of Nebraksa filed a Motion to Intervene and Comments.

On October 22, 2020, Western Area Power Administration filed a Motion to Intervene and Comments in Support.

On October 22, 2020, Platte River Power Authority filed a Motion to Intervene, Protest and Request for Finding of Deficiency.

On October 22, 2020, the Public Interest Organizations filed Comments.

On October 22, 2020, Tri-State Generation and Transmission Association, Inc. filed a Motion to Intervene and Comments in support.

On October 22, 2020, Xcel Energy Services Inc. filed a Motion to Intervene, Comments, and Limited Protest.

On October 22, 2020, Colorado Springs Utilities filed a Motion to Intervene and Protest.

On October 22, 2020, Black Hills Service Company, LLC filed a Motion to Intervene and Protest.

**ER21-4**

### **Submission of Western Energy Imbalance Service ("WEIS") Rate Schedule Tariff**

On October 1, 2020, SPP submitted its Tariff in order to implement the Western Energy Imbalance Service Market in the Western Interconnection. SPP also submitted Western Joint Dispatch Agreements executed by eight entities and the Western Markets Executive Committee Charter for Commission approval under the Western Energy Imbalance Service Rate Schedule Tariff.

An effective date of February 1, 2021 was requested. SPP requested that the Commission issue an order by December 1, 2020.

On October 1, 2020, the SPP Market Monitoring Unit filed a Motion to Intervene.

Several parties filed motions to intervene.

On October 22, 2020, Black Hills Service Company, LLC filed a Motion to Intervene and Protest.

## Regulatory Status Report

**Jurisdiction:** ER21-4  
**FERC**

On October 22, 2020, Deseret Generation & Transmission Co-operative, Inc. filed Comments in Support of Acceptance and Limited Protest.

On October 22, 2020, Basin Electric Power Cooperative filed a Motion to Intervene and Comments in support.

On October 22, 2020, Colorado Springs Utilities filed a Motion to Intervene and Protest.

On October 22, 2020, Xcel Energy Services Inc. filed a Motion to Intervene, Comments, and Limited Protest.

On October 22, 2020, Tri-State Generation and Transmission Association, Inc. filed a Motion to Intervene and Comments in support.

On October 22, 2020, Platte River Power Authority filed a Motion to Intervene, Protest and Request for Finding of Deficiency.

On October 22, 2020, Western Area Power Administration filed a Motion to Intervene and Comments in Support.

On October 22, 2020, the Public Interest Organizations filed Comments.

On October 22, 2020, Municipal Energy Agency of Nebraska filed a Motion to Intervene and Comments.

**ER21-69**

**Submission of Revisions to Addendum 4 to Attachment H of the Tariff to Update the Depreciation Rates of American Electric Power Service Corporation on Behalf of its Affiliates Public Service Company of Oklahoma and Southwestern Electric Power Company**

On October 8, 2020, SPP, on behalf of American Electric Power Service Corporation, submitted revisions to Addendum 4 to Attachment H of the Tariff to update the depreciation rates for Public Service Company of Oklahoma and Southwestern Electric Power Company.

An effective date of January 1, 2020 was requested.

On October 21, 2020, East Texas Electric Cooperative, Inc. filed a Motion to Intervene.

**ER21-79**

**Submission of Tariff Revisions to Establish Minimum Transmission Congestion Rights ("TCR") Collateral Requirements (Revision Request 410)**

On October 9, 2020, SPP submitted revisions to Attachment X of the Tariff to establish minimum Transmission Congestion Rights collateral requirements.

An effective date of May 1, 2021 was requested.

Several parties filed motions to intervene.

**RM18-9**

**Participation of Distributed Energy Resource ("DER") Aggregations in Markets Operated by Regional Transmission Organizations ("RTO") and Independent System Operators ("ISO")**

On October 29, 2020, FERC issued a notice of correction of the compliance deadline published in the Federal Register. The deadline to submit filings to comply with Order No. 2222 was corrected to July 19, 2021.

## Regulatory Status Report

***Jurisdiction:***

**State of  
Arkansas**

**09-090-U**

**In the Matter of the Impact of Federal Energy Regulatory Commission ("FERC") Orders 719 and 719-A in FERC Docket No. RM07-19-001 on the Regulatory Authority of the Arkansas Public Service Commission**

On October 2, 2020, several parties filed Sur-Reply Comments.

On October 13, 2020, the APSC issued Order No. 13 canceling the hearing to be held on October 22-23, 2020. The Commission will set a procedural schedule for additional comments in a subsequent order.

## Regulatory Status Report

**Jurisdiction:**  
State of New  
Mexico

13-00031-UT

**In the Matter of Southwestern Public Service Company's ("SPS") Request for Permanent Approval to Participate in the Southwest Power Pool Regional Transmission Organization ("RTO")**

On October 23, 2020, SPP filed a final draft of the 2020 Integrated Transmission Planning Assessment Report in accordance with Section 12 of the Unopposed Stipulation.

## Regulatory Status Report

**Jurisdiction:**  
**United States**  
**Court of**  
**Appeals**

**20-1062**

**Oklahoma Gas and Electric Company's Petition for Review of the Order on Remand and Order on Rehearing and Clarification issued in Docket No ER16-1341 Regarding Attachment Z2**

On October 9, 2020, Golden Sperad Electric Cooperative, Inc., et al. filed the Joint Brief of Intervenors in Support of Respondent.

On October 26, 2020, Oklahoma Gas and Electric Company and SPP filed the Joint Reply Brief of Petitioners.

**20-1101**

**Southwest Power Pool, Inc.'s Petition for Review of the Order on Remand and Order on Rehearing and Clarification issued in Docket No ER16-1341 Regarding Attachment Z2**

On October 9, 2020, Golden Sperad Electric Cooperative, Inc., et al. filed the Joint Brief of Intervenors in Support of Respondent.

On October 26, 2020, Oklahoma Gas and Electric Company and SPP filed the Joint Reply Brief of Petitioners.

**20-1323**

**Oklahoma Gas and Electric Company Petition for Review Regarding Orders Issued in Docket Nos. ER19-2747, et al.**

On October 28, 2020, the court issued an order holding this case in abeyance pending further order of the court. FERC was directed to file status reports at 90-day intervals beginning January 26, 2021.