

Regulatory Status Report

Jurisdiction:
FERC

- AD18-7** **Grid Resilience in Regional Transmission Organizations and Independent System Operators**
On February 18, 2021, FERC issued an Order Terminating Proceeding.
- AD20-6** **Energy Trading Institute's Petition for Rulemaking Regarding Credit Reforms in Organized Wholesale Electric Markets**
On February 10, 2021, FERC issued a Supplemental Notice of Technical Conference.
- AD21-10** **Modernizing Electricity Market Design**
On February 18, 2021, FERC issued a Notice of Technical Conference on Resource Adequacy in the Evolving Electricity Sector to be held on March 23-24, 2021.
- AD21-6** **RTO/ISO Credit Principles and Practices**
On February 10, 2021, FERC issued a Supplemental Notice of Technical Conference.
A technical conference was held on February 25-26, 2021.
- ER05-652** **Submission of Transmission Cost Allocation Tariff Revisions**
On February 18, 2021, SPP filed an informational report including (a) SPP's assessment of the average costs of all new network upgrades identified through SPP's regional transmission cost allocation plan and SPP's efforts to work with its stakeholders and the Regional State Committee to evaluate the effectiveness and accuracy of the \$180,000/MW Safe Harbor threshold for Base Plan Upgrades; (b) information concerning SPP's regional cost allocation review and (c) information concerning SPP's disposition of all requests for waiver of the Base Plan criteria.
- ER15-2028** **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Corn Belt Power Cooperative ("Corn Belt")**
On February 1, 2021, Judge Dring issued a Certification of Uncontested Settlement.
On February 18, 2021, FERC issued an order accepting SPP's compliance filing submitted on November 23, 2020 to update the formula rate template and protocols for certain of the North Iowa Municipal Electric Cooperative Association member cities in compliance with the order approving the settlement.
On February 25, 2021, FERC issued an order approving the Joint Offer of Settlement and Settlement Agreement filed on January 8, 2021.
- ER15-2115** **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Northwest Iowa Power Cooperative ("NIPCO")**
On February 18, 2021, FERC issued an order approving the Joint Offer of Settlement and Settlement Agreement filed on December 17, 2020.

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Jurisdiction: FERC	ER18-99	Submission of Tariff Revisions to Incorporate South Central MCN LLC's ("South Central") Formula Rate <p>On February 18, 2021, FERC issued an order rejecting the Offer of Settlement and Settlement Agreement filed on August 30, 2019, and remanding the proceeding back to the Chief Administrative Law Judge to resume hearing procedures.</p> <p>On February 22, 2021, Judge Cintron issued an Order of Chief Judge Designating Presiding Administrative Law Judge and Establishing Track I Procedural Time Standards.</p> <p>On February 23, 2021, Judge Long issued an Order Scheduling Prehearing Conference to be held on March 5, 2021.</p>
	ER19-2273	Submission of Revisions to Formula Rate Template and Formula Rate Protocols for Sunflower Electric Power Corporation ("Sunflower") and to Reflect the Merger of Mid-Kansas Electric Company, Inc. into Sunflower <p>On February 24, 2021, SPP submitted its compliance filing in response to the order issued on January 25, 2021.</p>
	ER20-1750	Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Roughrider Electric Cooperative, Inc. ("Roughrider") <p>On February 25, 2021, FERC issued an order approving the Joint Offer of Settlement and Settlement Agreement.</p>
	ER20-1961	Compliance Filing to Incorporate Revisions to NorthWestern Corporation's ("NorthWestern") Formula Rate Filed by NorthWestern in Docket No. ER20-1090 in Response to Order No. 864 <p>On February 12, 2021, FERC issued a letter stating that the compliance filing is deficient and additional information is required to process it.</p> <p>SPP's response is due on March 15, 2021.</p>
	ER20-2040	Submission of Order No. 864 Compliance Filing Revising Transmission Formula Rate of Prairie Wind Transmission, LLC ("Prairie Wind") <p>On February 12, 2021, FERC issued a letter stating the compliance filings in Docket Nos. ER20-2040, ER20-2041, ER20-2042 and ER20-2044 are deficient and additional information is required to process the filings.</p> <p>SPP's response is due on March 15, 2021.</p>
	ER20-2041	Submission of Order No. 864 Compliance Filing Revising Transmission Formula Rate of Kansas City Power & Light Company ("KCPL") <p>On February 12, 2021, FERC issued a letter stating the compliance filings in Docket Nos. ER20-2040, ER20-2041, ER20-2042 and ER20-2044 are deficient and additional information is required to process the filings.</p> <p>SPP's response is due on March 15, 2021.</p>
	ER20-2042	Submission of Order No. 864 Compliance Filing Revising Transmission Formula Rate of KCP&L Greater Missouri Operations Company ("KCPL-GMO") <p>On February 12, 2021, FERC issued a letter stating the compliance filings in Docket Nos. ER20-2040, ER20-2041, ER20-2042 and ER20-2044 are deficient and additional information is required to process the filings.</p> <p>SPP's response is due on March 15, 2021.</p>

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Jurisdiction: FERC	ER20-2044	Submission of Order No. 864 Compliance Filing Revising Transmission Formula Rate Template of Westar Energy, Inc. ("Westar") On February 12, 2021, FERC issued a letter stating the compliance filings in Docket Nos. ER20-2040, ER20-2041, ER20-2042 and ER20-2044 are deficient and additional information is required to process the filings. SPP's response is due on March 15, 2021.
	ER20-2239	Submission of Tariff Revisions to Implement a Projected Formula Rate for Kansas Power Pool ("KPP") On February 1, 2021, Judge Sterner issued a Status Report, recommending that settlement procedures continue.
	ER20-2761	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Western Farmers Electric Cooperative ("WFEC") as Network Customer and Host Transmission Owner, and American Electric Power Service Corporation ("AEP") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners On February 11, 2021, SPP submitted an amended filing.
	ER20-945	Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26-000 (Part 2 of 2) On February 18, 2021, FERC issued an order accepting SPP's compliance filing filed on August 31, 2020 to be effective April 4, 2020, subject to SPP submitting a further compliance filing to include the revisions proposed in its response to the request for additional information filed on November 20, 2020. SPP's compliance filing is due on March 22, 2021. On February 23, 2021, SPP submitted its compliance filing in response to the order issued on February 18, 2021. An effective date of April 4, 2020 was requested.
	ER21-1023	Request of Thunderhead Wind Energy LLC for Prospective Tariff Waiver of Attachment V Section 4.4.2 of the SPP Tariff On February 2, 2021, Thunderhead Wind Energy LLC filed a request for a prospective waiver of Section 4.4.2 of Attachment V of the SPP Tariff that would permit SPP to evaluate an alternate point of interconnection different than the one in Thunderhead's generator interconnection agreement but electrically equivalent. On February 22, 2021, SPP filed a Motion to Intervene and Comments. On February 23, 2021, Nebraska Public Power District filed a Motion to Intervene and Comments. On February 23, 2021, Western Area Power Administration filed a Motion to Intervene and Comments. On February 23, 2021, Basin Electric Power Cooperative filed a Motion to Intervene.

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Jurisdiction: ER21-1055
FERC

Meter Agent Services Agreement between The Energy Authority, Inc. ("TEA") as Market Participant and Municipal Energy Agency of Nebraska ("MEAN") as Meter Agent in the Western Energy Imbalance Service Market

On February 5, 2021, SPP submitted an executed Meter Agent Services Agreement between The Energy Authority, Inc. as the Market Participant and Municipal Energy Agency of Nebraska as the Meter Agent in the Western Energy Imbalance Service market. SPP Service Agreement No. 3774.

An effective date of February 1, 2021 was requested.

ER21-1076

Notice of Cancellation of the Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Western Area Power Administration-Upper Great Plains Region ("WAPA-UGP") as the Market Participant, and Northern States Power Company ("NSP") as External Local Balancing Authority

On February 10, 2021, SPP submitted a Notice of Cancellation of the Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Western Area Power Administration-Upper Great Plains Region as the Market Participant, and Northern States Power Company as the External Local Balancing Authority. SPP Service Agreement No. 3206.

An effective date of December 31, 2020 was requested.

ER21-1077

Notice of Cancellation of the Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Western Area Power Administration-Upper Great Plains Region ("WAPA-UGP") as the Market Participant, and Montana-Dakota Utilities Co. ("MDU") as External Local Balancing Authority

On February 10, 2021, SPP submitted a Notice of Cancellation of the Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Western Area Power Administration-Upper Great Plains Region as the Market Participant, and Montana-Dakota Utilities Co. as the External Local Balancing Authority. SPP Service Agreement No. 3207.

An effective date of December 31, 2020 was requested.

ER21-1078

Notice of Cancellation of the Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Western Area Power Administration-Upper Great Plains Region ("WAPA-UGP") as the Market Participant, and Otter Tail Power Company ("Otter Tail") as External Local Balancing Authority

On February 10, 2021, SPP submitted a Notice of Cancellation of the Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Western Area Power Administration-Upper Great Plains Region as the Market Participant, and Otter Tail Power Company as the External Local Balancing Authority. SPP Service Agreement No. 3208.

An effective date of December 31, 2020 was requested.

Regulatory Status Report

Jurisdiction: ER21-1079
FERC

Notice of Cancellation of the Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Western Area Power Administration-Upper Great Plains Region ("WAPA-UGP") as the Market Participant, and Montana-Dakota Utilities Co. ("MDU") as External Local Balancing Authority

On February 10, 2021, SPP submitted a Notice of Cancellation of the Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Western Area Power Administration-Upper Great Plains Region as the Market Participant, and Montana-Dakota Utilities Co. as the External Local Balancing Authority. SPP Service Agreement No. 3239.

An effective date of December 31, 2020 was requested.

ER21-1080 **Submission of Tariff Revisions for Schedule 2 of Attachment J to Remove Facilities Associated with the Lower Brule - Witten Project (Revision Request 436)**

On February 10, 2021, SPP submitted revisions to the Tariff to remove facilities from Schedule 2 to Attachment J associated with the Lower Brule - Witten 230 kV project.

An effective date of April 12, 2021 was requested.

On February 10, 2021, American Electric Power Service Corporation filed a Motion to Intervene.

On February 26, 2021, Basin Electric Power Cooperative filed a Motion to Intervene.

ER21-1083 **Submission of Tariff Revisions to Clarify that No Project May Be Authorized for Construction as the Result of a 20-Year Assessment (Revision Request 417)**

On February 10, 2021, SPP submitted revisions to the Tariff to clarify that SPP shall not issue Notifications to Construct for any projects identified in the 20-Year Assessment.

An effective date of April 12, 2021 was requested.

On February 10, 2021, American Electric Power Service Corporation filed a Motion to Intervene.

On February 22, 2021, Sunflower Electric Power Corporation filed a Motion to Intervene.

ER21-1093 **Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Evergy Kansas Central, Inc. ("Evergy") as Meter Agent**

On February 10, 2021, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Evergy Kansas Central, Inc. as the Meter Agent. SPP Service Agreement No. Sixth Revised 2827.

An effective date of February 1, 2021 was requested.

Regulatory Status Report

Jurisdiction: ER21-1155
FERC

Submission of Tariff Revisions to Modify Section 2.11.1 of Attachment AE (Revision Request 433)

On February 16, 2021, SPP submitted revisions to Section 2.11.1 of Attachment AE of the Tariff to remove an obsolete reference to the Jointly Owned Unit combined Resource option and to add language regarding the Combined Interest Resource.

An effective date of April 18, 2021 was requested.

On February 24, 2021, the SPP Market Monitoring Unit filed a Motion to Intervene and Comments in support of SPP's filing.

ER21-1167

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Nemaha-Marshall Electric Cooperative ("Nemaha-Marshall") as Network Customer, and Evergy Kansas Central, Inc. ("Evergy") as Host Transmission Owner

On February 18, 2021, SPP submitted an executed NITSA between SPP as Transmission Provider, Nemaha-Marshall Electric Cooperative as Network Customer, as well as a NOA with Evergy Kansas Central, Inc as Host Transmission Owner. SPP Service Agreement No. Fifteenth Revised 1977.

An effective date of February 1, 2021 was requested.

ER21-1181

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Montana-Dakota Utilities Co. ("MDU") as Network Customer, and Western Area Power Administration and Basin Electric Power Cooperative as Host Transmission Owners

On February 19, 2021, SPP submitted an executed NITSA between SPP as Transmission Provider, Montana-Dakota Utilities Co. as Network Customer, as well as a NOA with Western Area Power Administration and Basin Electric Power Cooperative as Host Transmission Owners. SPP Service Agreement No. Third Revised 3127.

An effective date of February 1, 2021 was requested.

On February 26, 2021, Basin Electric Power Cooperative filed a Motion to Intervene.

ER21-1185

Request for Limited Waiver of the Requirement Set Forth in Section 10.1 of Attachment AE of the Tariff that Requires SPP to Issue Preliminary Schedule Settlement Statements within Seven Calendar Days Following the Applicable Operating Day

On February 19, 2021, SPP filed a request that the Commission grant a limited waiver of the requirement set forth in Section 10.1 of Attachment AE of the Tariff that requires SPP to issue preliminary Scheduled Settlement Statements ("S7 Settlement Statement") within seven calendar days following the applicable Operating Day. SPP seeks a limited waiver of the S7 Settlement Statement posting requirements for Operating Days February 13-16, 2021, to enable SPP to issue S7 Settlement Statements for those Operating Days on February 24, 2021, rather than on the days that current Tariff language would require them to be issued.

SPP requested that the Commission issue an order by February 22, 2021.

On February 22, 2021, American Electric Power Service Corporation filed comments in support.

Regulatory Status Report

Jurisdiction: ER21-1185
FERC

On February 22, 2021, Xcel Energy Services Inc. filed a Motion to Intervene and Limited Comments.

Several parties filed motions to intervene.

On February 22, 2021, FERC issued an order granting SPP's request for waiver of the requirement that SPP issue preliminary settlement statements within seven calendar days following the applicable Operating Day.

ER21-1193

Request for Limited Waiver of the Requirement Set Forth in Section 5.3.2 of Attachment X of the Tariff that would Obligate Load Serving Entities ("LSE") to Provide SPP with Significant Additional Collateral Related to Calculation of the LSE's Estimated Market Exposure

On February 22, 2021, SPP filed a request asking that the Commission grant a limited, two-week waiver for Load Serving Entities of the requirement set forth in Section 5.3.2 of Attachment X of the Tariff that would obligate these entities to provide SPP with significant additional collateral within two days of receipt of notice from SPP, and only with respect to any collateral requirements that are related to SPP's calculation of the Load Serving Entity's estimated Market Exposure.

SPP requested that the Commission issue an order by February 24, 2021.

On February 23, 2021, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene and Comments in Support.

On February 23, 2021, Arkansas Electric Cooperative Corporation filed a Motion to Intervene and Comments.

On February 23, 2021, Omaha Public Power District filed a Motion to Intervene and Comments in Support.

On February 23, 2021, American Electric Power Service Corporation filed Comments in support.

On February 23, 2021, the Evergy Companies filed Comments in Support.

Several parties filed motions to intervene.

On February 24, 2021, the National Rural Electric Cooperative Association filed Comments in Support.

On February 24, 2021, the Kansas Corporation Commission filed a Motion to Intervene Out-of-Time and Comments in Support.

On February 24, 2021, FERC issued an order granting SPP's request for waiver of the requirement set forth in Section 5.3.2 of Attachment X of the Tariff that would obligate Load Serving Entities to provide SPP with additional collateral related to SPP's calculation of the Load Serving Entities' estimated Market Exposure within two business days of receipt of notice from SPP.

ER21-1202

Submission of Revisions to Section 2.5 of Attachment A of the Western Energy Imbalance Service Tariff (WEIS Revision Request 11)

On February 25, 2021, SPP submitted revisions to Section 2.5 of Attachment A of the Western Energy Imbalance Service Tariff to remove unnecessary and potentially confusing language.

An effective date of April 27, 2021 was requested.

Regulatory Status Report

Jurisdiction: ER21-522
FERC

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Evergy Kansas Central, Inc. ("Evergy") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners

On February 16, 2021, FERC issued an order accepting the agreement, effective February 1, 2021 as requested.

This order constitutes final agency action.

ER21-608

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Southwestern Public Service Company ("SPS") as both Network Customer and Host Transmission Owner

On February 1, 2021, FERC issued an order accepting the agreement, effective October 1, 2019 as requested.

ER21-686

Submission of Stated Rate Changes for East Texas Electric Cooperative, Inc. and Deep East Texas Electric Cooperative, Inc.

On February 9, 2021, FERC issued an order accepting SPP's revisions to Attachment H and T of the Tariff to implement changes to the stated Annual Transmission Revenue Requirements of East Texas Electric Cooperative, Inc. and Deep East Texas Electric Cooperative, Inc.

An effective date of March 1, 2021 was granted.

ER21-752

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, East Texas Electric Cooperative, Inc. ("ETEC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner

On February 26, 2021, FERC issued an order accepting the agreement, effective December 1, 2020 as requested.

This order constitutes final agency action.

ER21-755

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative ("KEPCO") as Network Customer, and Evergy Metro, Inc. ("Evergy Metro") and The Empire District Electric Company ("Empire") as Host Transmission Owners

On February 25, 2021, SPP submitted an amended filing.

ER21-758

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Evergy Kansas Central, Inc. ("Evergy") as Network Customer and Host Transmission Owner

On February 26, 2021, FERC issued an order accepting the agreement, effective December 1, 2020 as requested.

This order constitutes final agency action.

Regulatory Status Report

Jurisdiction: ER21-764
FERC

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Evergy Kansas Central, Inc. ("Evergy") as Network Customer, and Evergy, Oklahoma Gas and Electric Company ("OG&E") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners

On February 26, 2021, FERC issued an order accepting the agreement, effective December 1, 2020 as requested.

This order constitutes final agency action.

ER21-765

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Gas and Electric Company ("OG&E") as Network Customer, and OG&E, American Electric Power Service Corporation ("AEP"), Grand River Dam Authority ("GRDA") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On February 22, 2021, FERC issued an order accepting the agreement, effective December 1, 2020 as requested.

This order constitutes final agency action.

ER21-783

Network Integration Transmission Service Agreement ("NITSA"), Network Operating Agreement ("NOA") between SPP, City of Nixa, Missouri ("Nixa") as Network Customer, and Southwestern Power Administration ("SPA") as Host Transmission Owner, and Network Operating Agreement between SPP, City of Nixa as Network Customer, and GridLiance High Plains LLC as Host Transmission Owner

On February 26, 2021, SPP submitted an amended filing.

ER21-785

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, NorthWestern Energy ("NorthWestern") as Network Customer, and NorthWestern and Western Area Power Administration ("WAPA") as Host Transmission Owners

On February 25, 2021, FERC issued an order accepting the agreement, effective December 1, 2020 as requested.

This order constitutes final agency action.

ER21-962

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner

On February 8, 2021, Sunflower Electric Power Corporation filed a Motion to Intervene.

On February 12, 2021, Kansas Electric Power Cooperative, Inc. filed a Motion to Intervene.

ER21-990

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner

On February 8, 2021, Sunflower Electric Power Corporation filed a Motion to Intervene.

Regulatory Status Report

Jurisdiction:	ER21-990	On February 12, 2021, Kansas Electric Power Cooperative, Inc. filed a Motion to Intervene.
FERC	ER21-991	Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner On February 8, 2021, Sunflower Electric Power Corporation filed a Motion to Intervene. On February 12, 2021, Kansas Electric Power Cooperative, Inc. filed a Motion to Intervene.
	RM18-9	Participation of Distributed Energy Resource ("DER") Aggregations in Markets Operated by Regional Transmission Organizations ("RTO") and Independent System Operators ("ISO") On February 18, 2021, the Midcontinent Independent System Operator, Inc. filed a Motion for Extension of Time to submit its compliance filing in response to Order No. 2222. On February 18, 2021, SPP filed a Motion for Extension of Time to submit its compliance filing in response to Order No. 2222. On February 26, 2021, PJM Interconnection, L.L.C. filed a Motion for Extension of Time to submit its compliance filing in response to Order No. 2222.

Regulatory Status Report

Jurisdiction:

**State of
Arkansas**

08-136-U

In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On February 5, 2021, SPP filed the Fall Quarter (September-November 2020) State of the Market Report pursuant to Order Nos. 2 and 25.

Regulatory Status Report

Jurisdiction:

United States

Court of

Appeals

20-1062

Oklahoma Gas and Electric Company's Petition for Review of the Order on Remand and Order on Rehearing and Clarification issued in Docket No ER16-1341 Regarding Attachment Z2

On February 26, 2021, the court issued an order scheduling an oral argument to be held on April 14, 2021.

20-1101

Southwest Power Pool, Inc.'s Petition for Review of the Order on Remand and Order on Rehearing and Clarification issued in Docket No ER16-1341 Regarding Attachment Z2

On February 26, 2021, the court issued an order scheduling an oral argument to be held on April 14, 2021.