



Southwest Power Pool
SPP REGIONAL ENTITY TRUSTEE MEETING

October 27, 2008

Marriott Southern Hills – Tulsa, OK

• M I N U T E S •

Agenda Item 1 – Administrative Items

Dave Christiano, serving as Chairman, called the meeting to order at 8:00 a.m. The following were also in attendance: Gerry Burrow, John Meyer (via telephone), Michael Desselle, Ron Ciesiel, Machel Smith, Fred Meyer (Empire Electric), Danny Parish (Green County Energy) and Alison Hayes, Secretary.

Minutes from July 21, 2008 were approved without objection. (Attachment 1)

The Regional Entity Self-Assessment Survey was discussed. An electronic copy will be forwarded to each Trustee for completion. Michael Desselle and Alison Hayes will forward SPP RE budget information to the Trustees for the surveys.

Agenda Item 2 – Review of Past Action Items

Alison Hayes reported on Past Action Items in accordance with the Status Report. Updates are as follows: Action Item #2 – MRO currently has no hearings scheduled. Alison Hayes reported that ReliabilityFirst does have two hearings scheduled and is in contact with their legal department following their process. Action Item #3 – A decision has been made to cease scheduling Engineers in Training in the Regional Entity. Confidentiality Agreement is no longer necessary. Action Item #6 – Michael Desselle reported that NERC has not posted a schedule of forthcoming fill-in-the-blank standards. (Attachment 2)

Agenda Item 3 – Quarterly Activity Report - Ron Ciesiel (Attachment 3)

- a. Post June 18th violation report: As of October 16, 2008, 60 violations are outstanding
- b. Top 5 SPP RE and NERC reported violations.
- c. Personnel report – New RE employee, Alan Wahlstrom, will join SPP RE within 45 days. One approved position is unfilled. Ron requested that the unfilled 2009 position be approved for hire at present. Gerry Burrow made a Motion to approve Ron Ciesiel employing the 2009 position in 2008, effective November 1, 2008. John Meyer seconded the Motion and it passed unanimously. Ron Ciesiel requested that a 2010 position be moved to the first quarter of 2009 for hire. John Meyer made a Motion to approve the position effective March 1, 2009. Dave Christiano seconded the motion and it passed unanimously.
- d. FERC ordered NERC to resume Readiness Evaluations.

Agenda Item 4 – SPP RE Online Survey Results

Ron Ciesiel reported that 74 responses, representing 57 companies, were received from the survey. The responses represent an approximate 50% return rate. He stated that the results were mostly favorable and that SPP RE is the first regional entity to distribute an assessment survey. (Attachment 4)

**SPP RE Trustee Minutes
October 27, 2008**

Agenda Item 5 – Training Report

Michael Desselle reported that 2000 man hours have been tracked to SPP RE during the third quarter. No CEH hours are available through SPP RE training opportunities at this time. (Attachment 5)

Agenda Item 6 – Budget Report

Michael Desselle reported on SPP RE third quarter budget variance. The SPP RE is currently running under budget approximately \$400,000. The Trustees requested clarification on the “projected overage to the 2008 RE Budget” of \$204,036. Michael will research and respond. The Trustees also questioned the 4000 hour variance in training program hours. Michael will research and respond. The Trustees questioned why the “Professional Services” variance and projection seem at odds. Michael will research and respond. (Attachment 6)

Agenda Item 7 – Standards Development Report

Michael reported on the status of the SPP RE Regional UFLS Standard in accordance with the attached report. He stated that the effort to develop the SPP RE is currently on hold due to NERC’s uncertain schedule for developing continent-wide standards. (Attachment 7)

Agenda Item 8 – Nebraska Update

Michael Desselle reported that three Nebraska entities have jointly filed to be members of SPP RTO. They have also expressed a desire to be under SPP RE. He stated that the footprint map in the SPP delegation agreement will be amended and filed with FERC. NERC has recently put additional requirements on the process.

Agenda Item 9 – Legal Report

Alison Hayes reported that she has spoken with Robert Wax, an Administrative Law Judge, about presiding over any future SPP RE hearings. She will submit his contract to Stacy Duckett for legal review. Alison will attempt to get 2-3 more ALJ’s on the SPP RE list for potential hearing assignments. (Attachment 8)

Agenda Item 10 – Future Meetings

The next scheduled SPP RE Trustee Meeting is January 28, 2009 in Dallas, TX. (Attachment 9)

Agenda Item 11 – Summary of New Action Items

- a. Forward self-assessment surveys to Trustees.
- b. Michael or Alison will get RE Budget information to Trustees for surveys.
- c. Ron and Alison will get personnel needs for 2010 and 2011 and report at January Trustee meeting.
- d. Michael and Charles will research \$204,000 forecast in budget, 4000 hour training variance, and why professional services variance and projection are at odds. They will make monthly updates to the Trustees.
- e. Alison will attempt to get 2 or more Administrative Law Judges on a list to preside over SPP RE hearings.
- f. Alison will send Robert Wax’s contract to Stacy Duckett for review.
- g. Research when Trustee terms officially begin and end and how Chairman position is determined.



With no further business, the meeting was adjourned at 11:15 to Executive Session where personnel issues, compliance issues and other items were discussed.

Respectfully Submitted,

Alison Hayes
Secretary



Southwest Power Pool Regional Entity

TRUSTEE MEETING

October 27, 2008

Marriott Southern Hills – Tulsa, OK

• A G E N D A •

8:00 a.m.

- 1. Administrative Items Mr. John Meyer
 - a. July Minutes Approval
 - b. Status Trustee Self-Assessment Survey
- 2. Review of Past Action Items Mrs. Alison Hayes
- 3. Quarterly Activity Report..... Mr. Ron Ciesiel
 - a. Post June 18th Violation report
 - b. Top 5 SPP RE and NERC Reported Violations
 - c. SPP RE Personnel Report
 - d. SPP RE 2009 Audit Schedule
 - e. Quarterly Newsletter
- 4. SPP RE Online Survey Results..... Mr. Ron Ciesiel
- 5. Training Report.....Mr. Michael Desselle
- 6. Budget Report.....Mr. Michael Desselle
- 7. Standards Development ReportMr. Michael Desselle
- 8. Nebraska Update.....Mr. Michael Desselle
- 9. Legal Report Mrs. Alison Hayes
 - a. Administrative Law Judge Update
- 10. Future Meetings..... Mrs. Alison Hayes
- 11. Summary of New Action Items Mrs. Alison Hayes
- 12. Executive Session Trustees

*Relationship-Based • Member-Driven • Independence Through Diversity
Evolutionary vs. Revolutionary • Reliability & Economics Inseparable*



Southwest Power Pool Regional Entity
SPP REGIONAL ENTITY TRUSTEE MEETING
July 21, 2008
Plaza West Building – Little Rock, AR

• MINUTES •

Agenda Item 1 – Administrative Items

Chairman John Meyer called the meeting order at 12:15 p.m. Others in attendance were Trustees Dave Christiano and Gerry Burrow; Michael Desselle; Charles Yeung; Kevin Sanson; Jim Williams; Shon Austin; Joe Gertsch; Fred Meyer, Empire District Electric; Leon Ganser, Xcel Energy and Alison Hayes, corporate secretary.

Minutes from April 23 and June 24, 2008 Trustee meetings were presented for approval. The following change will be made on the April 23, 2008 minutes: RFC will be changed to RSC. Gerry Burrows made a motion to accept both sets of minutes with the amendment reflected in these minutes. Dave Christiano seconded the motion, which passed unanimously. (Attachment 1)

The Regional Entity Trustees Self-Assessment Survey was discussed. John Meyer has written a preliminary draft and will forward to the other Trustees for suggestions and approval.

The Registered Entity Survey to assess SPP RE was discussed. Ron Ciesiel explained updates that have been made. The RE staff was directed to send the survey out by August 1, 2008. The target distribution list will be SPP Registered Entities and the Market Operations and Policy Committee (MOPC) email exploder. The survey will be sent using either Corporate Communication's email address or a "dummy" address, to be designed. (Attachment 2)

The 2008 SPP RE organizational chart was distributed and changes reflecting the addition of new employee positions and staff names were reviewed. (Attachment 3)

Agenda Item 2 – Past Action Items

Charles Yeung reported on Action Items in accordance with the Status Report (Attachment 4).

Agenda Item 3 – Quarterly Activity Report – Ron Ciesiel

- a. Post June 18, 2007 through July 17, 2008 – 54 violations. (Attachment 5)
- b. June 17, 2008 Event Update – Event Analysis team was discussed. SPP RE needs a NERC representative for the analysis team. First report anticipated by mid August, 2008. (Attachment 6)
- c. Criteria 11 – The MOPC approved SPP Criteria 11 was discussed. The Trustees issued the following resolution accepting Criteria 11 as drafted, with two minor changes pursuant to paragraph 11.0, System Disturbance Reporting. (see resolution below). The last sentence was changed from "all applicable entities" to "all applicable registered entities" and "within the Regional Entity" was changed to "within the SPP Regional Entity footprint." Dave Christiano made a motion to adopt the resolution as amended by the Trustees and Gerry Burrows seconded the motion which passed unanimously.

Resolution:

The RE Trustees approve the modified criteria 11 as approved by the MOPC on July 15, 2008 and resolve that Criteria 11 shall serve as a reporting

procedure for all applicable registered entities within the SPP Regional Entity (RE) footprint in order to meet the requirements of NERC Standard EOP-004. The SPP Regional Entities Trustees respectfully encourage the SPP Board of Directors to adopt Criteria 11 as stated.

SPP RE will distribute Criteria 11 to SPP RE Registered Entities to inform them of the new criteria requirement. (Attachment 7)

- d. CIP Standards – Shon Austin has been assigned to act as the CIP standards SPP RE internal representative. The July 2008 Semi-Annual CIP Survey/Self-Certification current statistics were discussed in accordance with the report. (Attachment 8)
- e. SPP RE Compliance Personnel Report – RE time expenditures were discussed pursuant to the attached report. Mr. Ciesiel requested that a 2009 personnel position be approved for hire in 2008. Gerry Burrows made a motion to approve the unbudgeted expense and John Meyer seconded which passed unanimously. (Attachment 9)

Ron announced the addition of Joe Gertsch to the RE staff.

- f. Other – Ron Ciesiel distributed a non-confidential version of the recent semi-monthly Trustees report from the RE. (Attachment 10)

Agenda Item 4 – SPP RE Standards Development Report

Charles Yeung reported that the SPCWG met two times since the April Trustees meeting to work on the UFLS Regional Standard. The Region cannot approve the standard until NERC approves a national version. Alison Hayes is meeting with an MRO representative on Tuesday to discuss implementation of the voting tool for the standard. (Attachment 11)

Agenda Item 5 – RE Training Report

Charles Yeung made a report in accordance with the attachment. (Attachment 12)

Agenda Item 6 – RE Budget Report

Charles Yeung reported on the monthly RE tracking budget report and the final RE budget report sent to NERC for approval on July 10, 2008. The disparity in adder between \$110 per work hour and \$101 was discussed and explained by Scot Smith. (Attachment 13)

The final SPP RE budget draft has been submitted to NERC per the Trustees approval.

Agenda Item 7 – Nebraska Update

Michael Desselle reported that SPP and three Nebraska Companies are currently in discussions regarding their transfer from MRO to SPP.

Agenda Item 8 – FERC Audit

John Meyer reported that an exit interview was conducted by FERC with SPP RE regarding their initial findings and recommendations. A final report will be forthcoming. The details of the findings were discussed in Executive Session.

Agenda Item 9 – RE Administrative Assistant Position

Alison Hayes reported that an offer has been extended and accepted by an applicant for the Administrative Assistant position. Barring problems with pre-employment screening, she is scheduled to start August 1, 2008.

Agenda Item 10 – Summary of New Action Items

Alison Hayes reviewed new action items:

- a. Michael and Alison will work with Communications to get the SPP RE assessment survey distributed by August 1, 2008.
- b. Michael will check with MRO about Administrative Hearings they have posted.
- c. Alison will research and begin drafting a confidentiality agreement for Engineers-in –Training and possibly all SPP RE employees.
- d. Michael will get with Jim Gunnell about providing CEH hours for CIP training and other programs.
- e. Michael will get with Jim Gunnell about relaying training opportunities to parties outside of the SPP purview.
- f. Charles will obtain NERC's schedule for forthcoming fill-in-the-blank standards.

Agenda Item 11 – Future Meeting Dates and Places

Alison Hayes reported that the next scheduled Trustee meeting is January 30, 2009 in Austin, TX. (Attachment 14)

With no further business, the meeting was adjourned to Executive Session where the FERC audit and personnel issues were discussed.

Respectfully submitted,

Alison Hayes
Corporate Secretary



Southwest Power Pool Regional Entity

TRUSTEE MEETING

Pending Action Items Status Report

October 27, 2008 - 8:00 a.m.

	Action Item	Date Originated	Status	Comments
1.	Alison and Michael work with Communications to get RE survey distributed by 8/1/08.	7/21/08	Completed	Results in meeting materials
2.	Michael check with MRO about scheduled Administrative Hearings	7/21/08	Pending	
3.	Alison draft confidentiality agreement for Engineers-in-Training	7/21/08	Pending	Decision not to engage EIT under review.
4.	MD to get with Jim Gunnell re: providing CEH hours for CIP training	7/21/08	Completed	Per JG, not possible at this time.
5.	MD get with JG re: promoting training opp.s outside SPP purview	7/21/08	Completed	Already in process
6.	Charles get NERC schedule of forthcoming fill-in-the-blank standards	7/21/08	Completed	In meeting materials
7.				
8.				
9.				
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				

Post-June 18th 2007 Violation Status

Total Enforceable Violations Reported as of October 16, 2008: 60 Violations

<u>Status</u>	<u>10-16-08</u>	<u>Change from July 2008</u>
Initial Review Status	11 Violations	+6
Alleged Violation and Penalty Notice Issued	0 Violations	-36
Violation and Penalty Accepted [under RE review]	43 Violations	+36
Violation and Penalty in Settlement	1 Violation	0
Confirmed Violation/Posted by NERC	<u>5 Violations</u>	<u>0</u>
Total	60 Violations	+6

Pre-June 18th 2007 Violation Status

<u>Status</u>		
Open Violation under approved mitigation plan	1 Violation	0



SPP-RE Documented Violations

	<u>Discovery Method</u>
Self Certification	42
Self Report	15
Compliance Audit	2
Periodic Data Submittal	<u>1</u>
Total	60

Through October 16, 2008



SPP-RE Top Registered Entity Violations

Standard	Description	# / %
PRC-005*	Protection System Maintenance & Testing	11 / 18.3%
FAC-008*	Facility Rating Methodology	8 / 13.3%
PRC-004*	Protection System Misoperations Analysis	7 / 11.7%
FAC-009*	Establish & Communicate Facility Ratings	6 / 10.0%
CIP-001*	Sabotage Reporting	5 / 8.3%
VAR-002	Generator Operations / System Voltage Support	4 / 6.7%

Through October 16, 2008

* Also in NERC Top 7 Violations



NERC Top Violated Standards

Standard	Description	# / %
CIP-001	Sabotage Reporting	242 / 15.0%
PRC-005	Protection System Maintenance and Testing	176 / 10.9%
FAC-008	Facility Ratings Methodology	92 / 5.7%
FAC-009	Establish & Communicate Facility Ratings	78 / 4.8%
TOP-002	Normal Conditions Planning	68 / 4.2%
PRC-008	UFLS Equipment Maintenance	53 / 3.3%
PRC-004	Protection System Misoperations Analysis	46 / 2.8%

Through September 25, 2008

RE Compliance Group Personnel Report

Personnel Update

Alan Wahlstrom, Lead Compliance Engineer, will join the RE staff in the next 45 days bringing the staff to 6 FTE. Alan has one more major assignment to perform in the SPP Training Department before his transfer can become effective.

One approved 2009 position is currently unfilled.

Staffing Analysis

Based on the first 16 months of the enforceable program, an analysis of manpower requirements for the RE compliance group reveals the following staffing requirements based on a rolling 12 month calendar:

Compliance monitoring	4.15 FTE
Registration	.50 FTE
Mitigation/Enforcement	1.25 FTE
Liaison activities [NERC/SPP]	.75 FTE
Reliability Assessments	.50 FTE
Event Analysis	<u>.50 FTE</u>
Total	7.65 FTE

For the 16 months of the enforceable program, the SPP RE staff has limited experience and exposure in regional hearings.

The present RE compliance staff consists of 6 FTE, including the Executive Director. The current level of activities shows the SPP RE Compliance staff is approximately two FTE short of the minimum required staff. At present, there is little time available for event analysis, regional hearings and management activities.

The original 2009 manpower request was for 7 FTE staff members including the Executive Director. **Based on the current analysis, the SPP RE is one FTE below its required staffing for the 2009 time period.**

Recent activities that will add to the manpower requirements for the remainder of 2008 and into 2009 is the increasing activities surrounding

event analyses, the emphasis on Reliability Assessments from the FERC audit, the proposed certification of SPP as a balancing authority [start November 2008], and the proposed addition of the Nebraska utilities to the SPP RE [start November 2008].

The Executive Director requests that the RE Trustees approve an out of budget manpower request and release the approved 2009 position for immediate employment and in addition, approve an additional FTE for employment starting in the 1st quarter of 2009.

Respectfully submitted by:
Ronald W. Ciesiel
Executive Director Compliance
Southwest Power Pool Regional Entity

October 16, 2008

**Southwest Power Pool Regional Entity
Compliance Organization
Proposed 2009 Staffing**

**Executive Director of
Compliance and
Enforcement**

Compliance Monitoring
(Audits, Self-Certification, Spot Checks, Surveys)

Enforcement
(Enforcement, Investigations, Penalty Tool)

Event Analysis
(Reliability Assessments)

Registration/Reporting
(CDMS,CPS,DCS)

**Manager *
or
Lead Engineer**

**Lead Engineer/
Specialist**

**Compliance
Specialist**
CIP Issues

**Engineer/
Specialist**
(OPEN -2009)

Consultants

**Lead Engineer/
Specialist**

**Lead Engineer/
Specialist**

Consultants

**Engineer/
Specialist**
(OPEN -2009)

* - Position to be discussed & voted on at October 2008 RE Trustees Meeting

SPP RE 2009 Audit Schedule

On Site Audit Schedule

Entity	Start Date	End Date	Location
Lafayette Utilities System	1/19/2009	1/21/2009	Lafayette, LA
Louisiana Energy & Power Authority	1/22/2009	1/23/2009	Lafayette, LA
FPL Energy, LLC	3/3/2009	3/6/2009	Juno, FL
Sunflower Electric Power Cooperative - Mid-Kansas Electric Co.	3/30/2009	4/3/2009	Garden City, KS
Midwest Energy, Inc.	4/20/2009	4/24/2009	Hays, KS
East Texas Electric Coop with SERC	4/27/2009	5/1/2009	Nacogdoches, TX
Northeast Texas Electric Cooperative with SERC	4/27/2009	5/1/2009	Nacogdoches, TX
Tex-La Electric Coop with SERC	4/27/2009	5/1/2009	Nacogdoches, TX
Western Farmers Electric Cooperative	9/28/2009	10/2/2009	Anadarko, OK
American Electric Power Service Corporation with RFC	10/26/2009	10/30/2009	Columbus, OH
Kansas City Power & Light - Aquila MPS	11/9/2009	11/13/2009	Kansas City, MO
SPP RTO, NERC Audit	TBA		Little Rock, AR




Off Site Audit Schedule






Entity	Start Date	End Date	Location
Kansas Electric Power Coop., Inc	6/22/2009	6/23/2009	SPP RE Office
Calpine Energy Services/ Calpine Corporation	6/24/2009	6/25/2009	SPP RE Office
Lea County Electric Cooperative, Inc.	7/6/2009	7/7/2009	SPP RE Office
SUEZ Energy Marketing NA, Inc.	7/8/2009	7/9/2009	SPP RE Office
North American Energy Services/Borger Energy (Blackhawk)	7/20/2009	7/21/2009	SPP RE Office
North American Energy Services/Dogwood Energy (Dogwood)	7/22/2009	7/23/2009	SPP RE Office
Lubbock Power and Light	8/10/2009	8/11/2009	SPP RE Office
Terrebonne Parish Consolidated Government	8/12/2009	8/13/2009	SPP RE Office
North American Energy Services/Denver City Energy (Mustang Station)	8/24/2009	8/25/2009	SPP RE Office

updated 10/31/2008

2008 SPP REGIONAL ENTITY SURVEY







Report Index	View By Respondent
What type of relationship does your organization have with the SPP Regional Entity (RE)?	Go
My organization is:	Go
What is your role within your organization?	Go
How often do you interact with the SPP RE?	Go
Overall, how important are the following SPP RE services to you? Please rate from 1 (not important) to 5 (important).	Go
Overall, how satisfied are you with the SPP RE's provision of the following services? Please rate from 1 (needs improvement) to 5 (needs no improvement).	Go
Comments:	Go
With which SPP RE services do you have the most interaction? Please rank from 1 (least interaction) to 5 (most interaction).	Go
Do you interact with other Regional Entities?	Go
Overall, how does the SPP RE compare with the Regional Entities with which you interact most often?	Go
Comments:	Go
Overall, how do you rate the quality of the SPP RE's regional processes, such as compliance monitoring and standards development? Please rate from 1 (needs improvement) to 5 (needs no improvement).	Go
Based on your experience, how is the SPP RE staff's performance in the following areas? Please rate from 1 (needs improvement) to 5 (needs no improvement).	Go
Do you participate in the following SPP RE programs?	Go
Comments:	Go
Overall, how is the SPP RE's service and support of training classes and compliance workshops? Please rate from 1 (needs improvement) to 5 (needs no improvement).	Go
Comments:	Go
Please list any characteristics of the SPP RE with which you are SATISFIED.	Go
Please list any characteristics of the SPP RE with which you are DISSATISFIED.	Go
Please share any remaining thoughts about the SPP RE.	Go

What type of relationship does your organization have with the SPP Regional Entity (RE)?	Response percent	Response total
Registered Entity 	93.2%	69
Regulatory 	4.1%	3
Other 	2.7%	2
View If other, please specify:		2
Total # of respondents 74 . Statistics based on 74 respondents. 0 filtered; 0 skipped.		

My organization is:	Response percent	Response total
Investor-owned 	42%	29
Municipal 	27.5%	19
Cooperative 	13%	9
Governmental agency 	5.8%	4
Other 	11.6%	8

Total # of respondents **74**. Statistics based on **69** respondents 0 filtered; 5 skipped.





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What is your role within your organization?	Response percent	Response total
Operations 	27.8%	20
Engineering 	15.3%	11
Technical/IT 	1.4%	1
Policy/Regulatory/Legal 	33.3%	24
Executive (Director or Officer) 	12.5%	9
Other 	9.7%	7

[View](#) If other, please specify: [8](#)




Total # of respondents **74**. Statistics based on **72** respondents 0 filtered; 2 skipped.

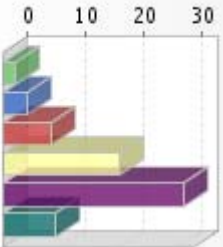
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How often do you interact with the SPP RE?	Response percent	Response total
Weekly 	13.5%	10
Monthly 	40.5%	30
A few times per year 	33.8%	25
Rarely 	12.2%	9

Total # of respondents **74**. Statistics based on **74** respondents 0 filtered; 0 skipped.


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Overall, how important are the following SPP RE services to you?							
Please rate from 1 (not important) to 5 (important).							
View	1	2	3	4	5	N/A	Response total
							1 
							2 
							3 

									
Standards Development	4.1% (3)	8.1% (6)	8.1% (6)	28.4% (21)	44.6% (33)	6.8% (5)			74
Readiness Evaluation Program	9.5% (7)	8.1% (6)	17.6% (13)	28.4% (21)	23% (17)	13.5% (10)			74
Training	2.7% (2)	5.4% (4)	10.8% (8)	27% (20)	41.9% (31)	12.2% (9)			74
Compliance Monitoring and Enforcement	2.7% (2)	1.4% (1)	9.5% (7)	28.4% (21)	55.4% (41)	2.7% (2)			74

Total # of respondents 74. Statistics based on 74 respondents 0 filtered; 0 skipped.

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Overall, how satisfied are you with the SPP RE's provision of the following services?							
Please rate from 1 (needs improvement) to 5 (needs no improvement).							
View	1	2	3	4	5	N/A	Response total
							

									
Standards Development	5.4% (4)	9.5% (7)	13.5% (10)	25.7% (19)	25.7% (19)	20.3% (15)		74	
Readiness Evaluation Program	2.7% (2)	9.6% (7)	17.8% (13)	30.1% (22)	16.4% (12)	23.3% (17)		73	
Training	1.4% (1)	6.8% (5)	19.2% (14)	28.8% (21)	27.4% (20)	16.4% (12)		73	
Compliance Monitoring and Enforcement	4.1% (3)	8.2% (6)	9.6% (7)	43.8% (32)	28.8% (21)	5.5% (4)		73	

Total # of respondents **74**. Statistics based on **74** respondents 0 filtered; 0 skipped.

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Comments:

Response total

View

[18](#)

Total # of respondents **74**. Statistics based on **18** respondents 0 filtered; 56 skipped.

With which SPP RE services do you have the most interaction?

Please rank from 1 (least interaction) to 5 (most interaction).

View	1	2	3	4	5	N/A			Response total
Standards Development	20.8% (15)	22.2% (16)	22.2% (16)	11.1% (8)	9.7% (7)	13.9% (10)			72
Readiness Evaluation Program	14.1% (10)	22.5% (16)	21.1% (15)	14.1% (10)	11.3% (8)	16.9% (12)			71
Training	11.1% (8)	8.3% (6)	23.6% (17)	23.6% (17)	15.3% (11)	18.1% (13)			72
Compliance Monitoring and Enforcement	2.7% (2)	1.4% (1)	6.8% (5)	28.4% (21)	56.8% (42)	4.1% (3)			74

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Do you interact with other Regional Entities?		Response percent	Response total
Yes		43.2%	32
No		56.8%	42

Total # of respondents **74**. Statistics based on **74** respondents. 0 filtered; 0 skipped.

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Overall, how does the SPP RE compare with the Regional Entities with which you interact most often?		Response percent	Response total
Much worse		0%	0
Somewhat worse		11.4%	5
About the same		43.2%	19
Somewhat better		22.7%	10
Much better		22.7%	10

Total # of respondents **74**. Statistics based on **44** respondents. 0 filtered; 30 skipped.

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Comments:	Response total
View	15

Total # of respondents **74**. Statistics based on **15** respondents. 0 filtered; 59 skipped.

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Overall, how do you rate the quality of the SPP RE's regional processes, such as compliance monitoring and standards development?							
Please rate from 1 (needs improvement) to 5 (needs no improvement).							
View	1	2	3	4	5	N/A	Response total

1

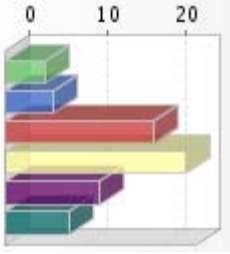
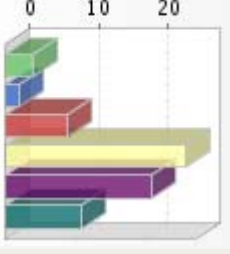
2

3

4

5

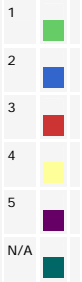
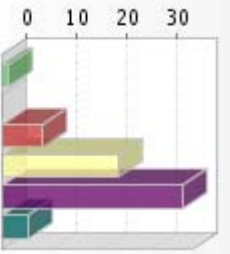
N/A

Clarity of Process	6.8% (5)	8.2% (6)	26% (19)	31.5% (23)	16.4% (12)	11% (8)		73
Accuracy of Reporting	5.5% (4)	2.7% (2)	12.3% (9)	35.6% (26)	28.8% (21)	15.1% (11)		73

Total # of respondents **74**. Statistics based on **73** respondents. **0** filtered; **1** skipped.

[Top](#)

Based on your experience, how is the SPP RE staff's performance in the following areas?
Please rate from 1 (needs improvement) to 5 (needs no improvement).

View	1	2	3	4	5	N/A		Response total
SPP RE staff members are responsive to my needs.	1.4% (1)	0% (0)	11% (8)	31.5% (23)	49.3% (36)	6.8% (5)		73
								

SPP RE staff members provide accurate information upon request.	1.4% (1)	0% (0)	8.2% (6)	41.1% (30)	42.5% (31)	6.8% (5)		73
SPP RE staff members resolve problems in a timely manner.	1.4% (1)	2.7% (2)	16.4% (12)	34.2% (25)	34.2% (25)	11% (8)		73
SPP RE staff members' professionalism and communication skills.	2.7% (2)	0% (0)	2.7% (2)	32.9% (24)	53.4% (39)	8.2% (6)		73
SPP RE's overall service.	2.7% (2)	0% (0)	8.2% (6)	43.8% (32)	38.4% (28)	6.8% (5)		73

Total # of respondents 74. Statistics based on 73 respondents 0 filtered; 1 skipped.

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Do you participate in the following SPP RE programs?					
View	Yes	No		Yes <input type="checkbox"/> No <input type="checkbox"/>	Response total

Standards Development	31.1% (23)	68.9% (51)		74
Compliance Workshops	70.3% (52)	29.7% (22)		74
General Training	52.7% (39)	47.3% (35)		74
Readiness Evaluation Program	41.9% (31)	58.1% (43)		74
Restoration Drills	25.7% (19)	74.3% (55)		74

Total # of respondents 74. Statistics based on 74 respondents 0 filtered; 0 skipped.

[Top](#)

Comments:

Response total

Total # of respondents 74. Statistics based on 10 respondents 0 filtered; 64 skipped.

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Overall, how is the SPP RE's service and support of training classes and compliance workshops?

Please rate from 1 (needs improvement) to 5 (needs no improvement).

View	1	2	3	4	5	N/A		Response total
Schedules and logistics are communicated in a timely and clear manner.	2.7% (2)	4.1% (3)	2.7% (2)	28.8% (21)	42.5% (31)	19.2% (14)		73
Facilities are planned appropriately and meet the needs of the group.	1.4% (1)	2.7% (2)	2.7% (2)	30.1% (22)	41.1% (30)	21.9% (16)		73
Materials are well-prepared.	1.4% (1)	1.4% (1)	6.8% (5)	24.7% (18)	41.1% (30)	24.7% (18)		73

Total # of respondents 74. Statistics based on 74 respondents 0 filtered; 0 skipped.

Top

Comments:

Response total

View

1

Total # of respondents 74. Statistics based on 7 respondents 0 filtered; 67 skipped.

Top

Please list any characteristics of the SPP RE with which you are SATISFIED.	Response total
View	17
Total # of respondents 74 . Statistics based on 17 respondents. 0 filtered; 57 skipped.	

Top

Please list any characteristics of the SPP RE with which you are DISSATISFIED.	Response total
View	17
Total # of respondents 74 . Statistics based on 17 respondents. 0 filtered; 57 skipped.	

Top

Please share any remaining thoughts about the SPP RE.	Response total
View	12
Total # of respondents 74 . Statistics based on 12 respondents. 0 filtered; 62 skipped.	

Top



**RE Third Quarter
Training Report
October 21, 2008**

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Third Quarter 2008 Highlights

- Through the regional training events offered YTD in 2008, SPP has awarded an estimated 7,840 continuing education hours (CEH). Approximately 6,222¹ hours of that total have been awarded to SPP registered entities, an additional 1,618 awarded to SPP operations personnel (for SPP's compliance with requirements as a registered entity BA, TOP, RC).
- We are on pace to award approximately 45% more CEH in 2008 than in 2007.
- 100% of those SPP registered entities required to have training have participated in one or more regional training events YTD.
- Average net conference enrollment is 24; up 140% from 2007.
- Average regional emergency operations classroom enrollment is 13, doubling the enrollment from 2007.
- Restoration Drill enrollment for 2008 is up approximately 18% from 2007.
- 52 operations personnel participated in the SPP System Operations Conference on October 6-9, 2008. More than 1,200 CE hours were awarded during the conference.
- Due to the level of participation of registered entities in the SPP System Operations Conferences, SPP will host three Systems Operations Conferences in 2009.
- SPP conducted the North Sub-regional Restoration Drill on September 16-17, 2008 with 9 entities using the dispatch training simulator (DTS). Nearly 800 CEH were awarded to 50 participants.
- There will be 98 additional CEH offered for the remainder of 2008.

The four tables below provide information by course, year, and entity.

**Table I
2008 Breakdown by Course YTD**

Year	Training Event	Average Individual Participation YTD	CEH Offered per Event	Event Occurrence per Year	Total CEH Available	Est. CEH Awarded YTD
2008	Subregional Restoration Drills	34	16	5	112	2,688
2008	Regional Restoration Drill	87	24	1	48	2,088
2008	Regional Emergency Operations Classes	13	20	4	120	1,040
2008	Emergency Operations Net Conferences	24	2	19	42	704
2008	System Operations Conference	52	24	1	48	1,320
						7,840

¹ 5,700 hours for SPP RTO members, 522 hours for non-members registered in the SPP RE compliance registry.

**Table II
Number of Registered Entities Participating YTD**

Year	Training Event	Total Registered Entities Participating YTD
2008	Subregional Restoration Drills	12
2008	Regional Restoration Drill	18
2008	Regional Emergency Operations Classes	13
2008	Emergency Operations Net Conferences	13
2008	System Operations Conference	16
2008	Train the Trainer	13

**Table III
List of Registered Entities Participating YTD**

Entity Name	Subreg. Restoration Drill	Regional Restoration Drill	Net Conference	REOPS Classroom	SOC	Train the Trainer
AECC						
AEP						
Aquila						
BPU						
CLECO						
EDE						
GRDA						
INDN						
KAMO						
KCPL						
KMEA						
LAFA						
LEPA						
MWE						
OGE						
SECI						
CUS						
SPS						
SPA						
Tenaska						
WESTAR						
WFEC						

**Table IV
Upcoming Events for 2008**

Date	Event	CEH Available
10/21-22	East and South Subregional Restoration Drill	16
10/28	EOPS Net Conference	2
10/29-30	Train-the-Trainer Professional Development	0
11/4-5	West Subregional Restoration Drill	16
11/11-13	Regional EOPS Classroom	20
11/18-20	Regional Restoration Drill	24
12/2-4	Regional EOPS Classroom	20
TOTAL CEH AVAILABLE		98

ADDENDUM TO REGIONAL ENTITY TRAINING REPORT
OCTOBER 2008

COMPLIANCE and ENFORCEMENT GROUP
(provided by SPP RE Compliance Staff)

2007-2008 NON-CEH WORKSHOPS

FEBRUARY 2007	COMPLIANCE WORKSHOP	ATTENDANCE ~ 115
NOVEMBER 2007	CIP BASICS WORKSHOP	ATTENDANCE ~ 65
JANUARY 2008	COMPLIANCE WORKSHOP	ATTENDANCE ~ 120
AUGUST 2008	CIP 'HOW TO' WORKSHOP	ATTENDANCE ~ 70
SEPTEMBER 2008	FALL COMPLIANCE WORKSHOP	ATTENDANCE ~ 140

FUTURE WORKSHOPS

FEBRUARY 24-26, 2009	COMPLIANCE WORKSHOP	LITTLE ROCK, AR.
OCTOBER 2009	COMPLIANCE WORKSHOP	KANSAS CITY, MO.

SPP 3rd Quarter Regional Entity Budget Variance Update

October 15, 2008

The SPP RE has tracked 15,030 total man-hours, equivalent to 7.23 FTEs from January through the end of September 2008. The 2008 budget provides for 12.4 annual FTEs or an equivalent 9.3 FTEs through the end of the 3rd quarter. The budget variance year-to-date through the end of September is -\$472,258. This is approximately -13.6% under the 2008 budget.

All program areas are tracking man-hours near the budgeted FTEs. The Compliance Enforcement program is above the 3rd quarter amount primarily due to hours logged by one additional staff that was not included in the 2008 Budget. The Training program is the largest variance with only about 2000 man-hours tracked to the RE. To be on target for the 4 FTEs budgeted for 2008, there needs to be an additional 6000 man-hours for the remaining quarter. Not all man-hours may have been recorded into the JourneyX tracking system. Further inquiries are being made.

The SPP RE Trustees approved two out-of-budget staff additions for the SPP RE under the Compliance and Enforcement Program and General and Administrative program areas. These staff additions are reflected in the 2008 full year projections which result in an expected unfavorable variance as compared to the 2008 full year budget. The projected overage to the 2008 RE Budget is \$204,036. SPP RE does not plan to request additional funding for these two positions and will true up the overage in the 2009 Budget year.



SOUTHWEST POWER POOL
 STATEMENT OF ACTIVITIES
 2008 SEPTEMBER MTD DRAFT (UNAUDITED)

<i>(In Whole Dollars)</i>	2008 SEPTEMBER YTD ACTUAL	2008 SEPTEMBER YTD BUDGET	VARIANCE	2008 FULL YEAR PROJECTION	2008 FULL YEAR BUDGET	VARIANCE
Funding						
ERO Funding	2,984,555	3,456,813	(472,258)	4,609,084	4,609,084	-
Membership Dues						
Testing Fees						
Services & Software						
Workshops						
Interest			-			-
Miscellaneous						-
Total Funding	<u>2,984,555</u>	<u>3,456,813</u>	<u>(472,258)</u>	<u>4,609,084</u>	<u>4,609,084</u>	<u>-</u>
Expenses						
Personnel Expenses						
Salaries	860,365	862,148	(1,783)	1,223,811	1,149,531	74,280
Payroll Taxes	65,818	72,346	(6,528)	102,694	96,461	6,233
Benefits	109,069	129,722	(20,654)	184,139	172,963	11,176
Continuing Education	1,779	11,250	(9,471)	15,000	15,000	-
Retirement Costs	-	-	-	-	-	-
Total Personnel Expenses	<u>1,037,031</u>	<u>1,075,466</u>	<u>(38,435)</u>	<u>1,525,644</u>	<u>1,433,955</u>	<u>91,689</u>
Meeting Expenses						
Meetings	70,716	48,503	22,213	64,670	64,670	-
Travel	107,149	114,000	(6,851)	148,000	152,000	(4,000)
Conference Calls	-	-	-	-	-	-
Total Meeting Expenses	<u>177,865</u>	<u>162,503</u>	<u>15,362</u>	<u>212,670</u>	<u>216,670</u>	<u>(4,000)</u>
Operating Expenses						
Contracts & Consultants	-	-	-	-	-	-
Office Rent	-	-	-	-	-	-
Office Costs	10,008	4,575	5,433	6,100	6,100	-
Administrative Costs	-	-	-	-	-	-
Professional Services	163,010	344,550	(181,540)	459,400	459,400	-
Regional Entity Trustee Fees	75,500	101,250	(25,750)	135,000	135,000	-
Computer Purchase & Maint.	-	-	-	-	-	-
Depreciation	-	-	-	-	-	-
Miscellaneous/ Cotigency	-	-	-	-	-	-
Total Operating Expenses	<u>248,518</u>	<u>450,375</u>	<u>(201,857)</u>	<u>600,500</u>	<u>600,500</u>	<u>-</u>
Total Direct Costs	<u>1,463,414</u>	<u>1,688,344</u>	<u>(224,930)</u>	<u>2,338,814</u>	<u>2,251,125</u>	<u>87,689</u>
Total Indirect Costs	1,521,141	1,768,469	(247,329)	2,474,306	2,357,959	116,347
Total Costs	<u>2,984,555</u>	<u>3,456,813</u>	<u>(472,258)</u>	<u>4,813,120</u>	<u>4,609,084</u>	<u>204,036</u>

SEPTEMBER REGIONAL ENTITY REVENUE	
0100-45310-4000-4572-00	(391,284)
0000-25200-2530-0000-00	391,284

**SPP Regional Entity Standard Update
Underfrequency Load Shedding (UFLS)
For the RE Trustees Meeting October 27, 2008**

SPP's Standard Drafting Team (SDT) for UFLS standard had two meetings (August 5 via WebEx and September 22-23 face to face at Tulsa, OK).

On August 5, 2008 SPP SDT discussed the performance characteristics posted for stakeholder comments as a part of NERC's UFLS Continent Wide standard. In general, the SDT agreed with the NERC SDT to not develop a Continent-Wide standard and let each region develop a Regional Standard based on regional performance characteristics. However the SPP SDT had specific comments on some technical requirements and submitted their response to NERC on August 14, 2008

On September 23, SPP SDT met and discussed the SPP UFLS regional standard draft and made modifications so that it is consistent with other Regional Standards in the Eastern Interconnection. SPP SDT will take the necessary actions based on the direction received by NERC SDT any Continent Wide Standard and post a first draft for comments in the First quarter of 2009.

The next SPP SDT is scheduled on November 13, 2008 via WebEx.

Further efforts to develop SPP regional standards are not definitive at this time as NERC's schedule for developing additional Continent-Wide standards is uncertain. NERC currently has a proposal to replace the continent-wide standard for UFLS with a set of criteria or principles for regions to follow in developing their regional UFLS standards. The outcome of this proposal may impact the schedule and approach to future "fill-in-the-blank" regional standards.

ROBERT P. WAX
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EXPERTISE AND EXPERIENCE

Commercial and Energy Arbitrator and Mediator

Mr. Wax is an experienced arbitrator and mediator of commercial, energy, and corporate matters with Charter Resolution LLC, his independent alternative dispute resolution (ADR) firm.

Before he was a full-time neutral, Mr. Wax spent nearly 30 years as a corporate, commercial, regulatory and litigation/appellate attorney with a Fortune 500 corporation as General Counsel and then with a major New York based international law firm.

Over the last five years, he has arbitrated or mediated more than 30 major multi-industry disputes (ranging from \$6 million to \$900 million) in 14 different states and has been selected by his peers for inclusion in *Best Lawyers in America* (ADR category) since 2005. He also has been listed as one of the “New York Area’s Best Lawyers” in the ADR field in *New York* magazine.

In ADR matters, he has served in administered as well as self-administered cases, both as a sole arbitrator or mediator and on tri-partite panels (including as chair), in the electric, defense, waste, telecom, cogeneration, gas, nuclear, coal, legal, restaurant and service industries.

As a domestic United States and international ADR provider, he is:

- A member of the Large Complex Case Panel, as well as the National Energy Panel, of the American Arbitration Association (AAA), and an approved commercial mediator for AAA
- A member of the General Counsel and the Energy, Oil & Gas Panels , as well as the Panel of Distinguished Neutrals for Connecticut, for the CPR International Institute for Conflict Prevention & Resolution

- A member of the Energy Arbitrators' List, administered by the International Centre for Dispute Resolution (Dublin, Ireland)
- An Independent Hearing Officer for the SERC Electric Reliability Corporation; the Midwest Reliability Organization; the Florida Reliability Coordinating Council, Inc.; ReliabilityFirst Corporation and the Northeast Power Coordinating Council, Inc. (as a quasi-judicial official electric reliability standards hearings)
- On the approved list of mediators and arbitrators for the Midwest Independent System Operator) and the PJM Interconnection LLC, as well as the Western Systems Power Pool, and has arbitrated and mediated disputes involving the California Independent System Operator
- A registered mediator/arbitrator with the United States Council of International Business (for the International Court of Arbitration)

Prior to 1998, he was Senior Vice President, Secretary and General Counsel of Northeast Utilities (NU), New England's largest combination electric/gas utility system and one of the nation's largest operators of nuclear generating facilities. There, he directed the legal, corporate governance, compliance, and insurance functions, managing 25 lawyers in three states.

From 1998 to 2003, he headed the energy/utilities practice in the Connecticut office of LeBoeuf, Lamb, Greene & MacRae, L.L.P (now Dewey & LeBoeuf LLP). As part of LeBoeuf's worldwide law practice, he advised energy companies (including utilities, IPPs and cogenerators), as well as companies in other industries on corporate, regulatory, transactional, nuclear, energy trading, renewable energy, environmental, transmission, and alternative dispute matters.

Active in professional and civic activities, he is a frequent speaker and moderator, as well as a participant, at industry, bar association and ADR programs (e.g. Energy Bar Association; AAA, American Bar Association ADR Committee; Edison Electric Institute; American Gas Association;; ICC Court of Arbitration and CPR). He is the 2008-2009 Vice Chair of the ADR Section of the Energy Bar Association and has been Adjunct Faculty at University of Connecticut School of Law.

EDUCATION

- | | |
|------|--|
| B.A. | Tufts University, 1971, Phi Beta Kappa |
| J.D. | University of Virginia School of Law, 1974 |

FORMAL ARBITRATION/MEDIATION TRAINING

- Florida Supreme Court Approved Training for Certification as Circuit Civil Mediator, Mediation Training Group Inc, 2008
- CPR European Congress 2007
- ICC International Dispute Resolution Programs, 2004 and 2005
- Center for Dispute Settlement, Mediation Course, 2002
- AAA Neutrals Conferences, 2003, 2005, and 2006
- AAA Arbitrator I, II Training and Annual Updates, 2000-2008

ADMITTED TO PRACTICE AND COURT APPEARANCES

- Connecticut, 1974; United States District Court, Connecticut, 1975
- United States Courts of Appeals, DC Cir. 1978 and 1st Cir. 1983

**REPRESENTATIVE MAJOR ARBITRATION AND MEDIATION MATTERS
AND
RECENT PRESENTATIONS AND ACTIVITIES ON ADR TOPICS OF R. P. WAX
(AS OF OCTOBER 2008)**

PRESENTATIONS AND ACTIVITIES

- *Speaker*, Energy Bar Association: “NERC and Regional Entity Hearing and Appeal Processes”, Washington, DC, October 2008
- *Speaker*, Energy Bar Association Mid-Year Meeting: “ADR Ethics”, Washington, DC, November 2008
- *Presenter/Speaker*, ReliabilityFirst Corporation, Compliance Committee/Hearing Body Training Session, Washington, DC, August 2008
- *Panelist*, Energy Bar Association: “Alternate Dispute Resolution in the Nuclear Industry and at the NRC”, Washington, DC, April 2008
- *Panelist*, Edison Electric Institute Legal Committee, “Electric Reliability Compliance and Enforcement-Now is the Time”, Santa Barbara, CA, March 2008
- *Panelist*, CPR International Institute Distinguished Neutrals Series, San Francisco, 2007
- *Hearing Officer/Judge*, Mock Hearing at Workshop: Implementation of 2008 Northeast Power Coordinating Council, Inc. Compliance Program, Washington, DC, 2007
- *Program Chair and Moderator*, Energy Bar Association: “ADR at RTOs, ISOs and Power Pools”, Washington, DC, May 2007 (Program transcript published in Energy Law Journal, December 2007)
- *Panelist*, American Bar Association Section of Dispute Resolution Conference: “ADR on my Mind in Georgia”, Atlanta, 2006
- *Participant*, Center for Advancement of Energy Markets ADR Project (2004-2006)

- *Member*, CPR International Institute Ad Hoc Mediation Ethics Committee (2005) and Energy Committee (2005-present)
- *Co-Author*, “Staying Out of Court; Alternative Dispute Resolution Can Save Your Company Money and Time”, Electric Perspectives, May/June 2006

REPRESENTATIVE MAJOR PROCEEDINGS

- *Mediator*, Vermont Transmission Line Loss Mediation (Private Case, Burlington, Vermont)-Dispute involving the billing of transmission line load losses and related issues among seven parties (sole mediator)
- *Arbitrator*, Indiantown Cogeneration LP v. Century Coal Company (AAA Case, Charlotte, NC)-dispute involving coal supply to cogeneration plant (member of arbitration panel)
- *Arbitrator*, Dow Chemical Company v. Midland Cogeneration Venture (Private Case, Michigan)-dispute over steam sales contract from merchant electric generating plant (member of arbitration panel)
- *Arbitrator*, Colonial Coal Company v. CAM Mining LLC (AAA Case, Lexington, KY)-dispute involving royalties for coal arising from asset purchase agreement (chair of tri-partite panel)
- *Arbitrator*, Hunter Defense Industries, Inc. v. Lou Zack (CPR International Institute Case, New York)-dispute arising out of acquisition of defense industry supplier (sole arbitrator)
- *Mediator*, Dominion Energy Kewaunee and Wisconsin Public Service/Wisconsin Power & Light, (Private Case, Chicago, Illinois)-dispute involving treatment of spent nuclear fuel cost allocation in nuclear plant sale vis-à-vis Department of Energy litigation (sole mediator)
- *Arbitrator*, Metal Management, Inc. v. Schiavone (AAA Proceeding, New Haven, Connecticut)- dispute over post corporate acquisition environmental issues in the waste management industry (chair of tri-partite arbitration panel)

- *Arbitrator, Midland Cogeneration Venture v. Dow Corning (Private Case, Michigan)-dispute over steam sales contract from merchant electric generating plant (member of arbitration panel, case settled immediately after appointment)*
- *Arbitrator, Solutia Inc. v. Decatur Energy Center (CPR International Institute Case, Houston, Texas)- dispute over valuation of cogeneration energy contracts rejected in Chapter 11 reorganization (member of arbitration panel)*
- *Arbitrator, NorthWestern Corp. and SGE (New York) Associates (AAA Proceeding, Montana)-dispute involving valuation and appraisal of a purchase option for a lease interest in a coal electric generating plant (sole arbitrator/appraiser-appointed by AAA under contract, matter terminated by party action immediately after commencement)*
- *Mediator, TellImagine Inc. v. Sprint Corp. (CPR International Institute Case, Tampa, FL and Kansas City, MO)- mediation ordered in AAA arbitration case concerning an operating agreement in the telecommunications industry (sole mediator)*
- *Arbitrator, Confidential AAA Case, (Hartford, CT)-dispute involving audit/accounting issue between restaurant franchisor and franchisees (sole arbitrator)*
- *Arbitrator, AMP-Ohio v. The Dayton Power & Light Company (Private Case, Ohio/Washington, DC)-dispute concerning electric transmission rates and RTO/ISO rules (member of arbitration panel)*
- *Arbitrator, Nevada Power Company v. Reliant Energy (AAA Proceeding, Las Vegas, NV)- dispute concerning gas marketing during California energy crisis of 2001 (member of arbitration panel)*
- *Arbitrator, Constellation Energy Commodities Group v. Exelon Generation Company (AAA Proceeding, Chicago, IL)-dispute concerning coal services and supply contract for two Midwest U. S. electric generating stations (member of arbitration panel)*

- *Mediator, TransCanada Energy Ltd. v. Niagara Mohawk Power Company* (CPR International Institute Case, New York, NY)-dispute in Federal Court in Northern District of New York between Canadian supplier and utility concerning billing under gas supply contract (sole mediator)
- *Arbitrator, Pacific Gas & Electric et al. v. California ISO and Southern California Edison* (AAA Proceeding, San Francisco, CA)-multiple party dispute between investor owned and municipal/cooperative utilities and ISO on billing for electric transmission transactions (sole arbitrator)
- *Mediator, Confidential AAA Case* (Hartford, CT)-dispute between restaurant industry franchisor and franchisee (sole mediator)
- *Arbitrator, American Transmission Company LLC et al. v. Midwest ISO et al.* (Midwest ISO Case, Chicago, IL)- multiple party dispute on electric transmission revenue billing (sole arbitrator)
- *Mediator, Confidential International Private Case* (Washington, DC)- dispute between Canadian electric power trader and Midwest transmission owner (sole mediator)
- *Mediator, Sacramento Municipal Utility District and California ISO* (Private Case, Sacramento, CA)-contract formation and billing dispute between municipal utility and ISO arising from California energy crisis (sole mediator)
- *Arbitrator, FirstEnergy (Ohio) v. NRG Energy (Minnesota)* (Private Case, inside NRG Energy Chapter 11 Reorganization, Cleveland, OH)- failed multi-billion dollar asset sale transaction (member of arbitration panel)
- *Arbitrator, Town of Belleair, Florida v. Progress Energy Florida* (Court ordered arbitration, Tampa, FL)- statutory valuation of municipal electric system for potential buyout of investor owned distribution and related transmission and generation assets (member of arbitration panel)
- *Arbitrator, Confidential AAA Case* (Hartford, CT)- billing dispute between a Midwest energy company and a regional ISO (member of arbitration panel)

- *Arbitrator, Madison Gas and Electric v. Wisconsin Power and Light (Private Case, Madison, WI)- multiple party contract dispute over joint ownership agreement for electric generating plant (member of arbitration panel)*



Southwest Power Pool
Regional State Committee, Board of Directors/Members Committee &
Regional Entity Trustees
Future Meeting Dates & Locations

2008

RSC/BOD/RET	January 28-30	Austin
RSC/BOD/RET	April 21-23	Oklahoma City
*BOD	June 9-10	Little Rock
RSC/BOD/RET	July 28-30	Kansas City
RSC/BOD/RET (Annual Meeting of Members)	October 27-29	Tulsa
**BOD	December 9	Dallas

2009

RSC/BOD/RET	January 26-28	Las Colinas
RSC/BOD/RET	April 27-29	Oklahoma City
*BOD	June 8-9	Little Rock
RSC/BOD/RET	July 27-29	Kansas City
RSC/BOD/RET (Annual Meeting of Members)	October 26-28	Tulsa
**BOD	December 8	Dallas

The RSC/BOD meetings are Mon/Tues with the RSC held on Monday afternoon and the BOD/Members Committee meeting on Tuesday.

* The June BOD meetings are for educational purposes. There will be no RSC/RET meetings in conjunction with these meetings.

** The December BOD meetings are intended to be one day in and out meetings for administrative purposes. There will be no RSC/RET meetings in conjunction with these meetings.



**Southwest Power Pool
Regional Entity
Compliance Workshops
Future Meeting Dates & Locations**

2009

Spring Workshop	Feb 24-26	Little Rock
Fall Workshop	November 17-19	Kansas City