Post-June 18th 2007 Violation Status

Total Enforceable Violations Reported as of June 18, 2009: 84 Violations

Status	June 18, 2009	Change from May 19 2009
Preliminary Review Status	0 Violations	+0
Initial Notification Status	21 Violations	+5
Dismissed Violation	1 Violation	+0
Alleged Violation and Penalty Notice Issued	0 Violations	+0
Violation or Penalty Contested	0 Violations	+0
Violation and Penalty in Settlement	13 Violations	+1
Violation and Penalty Accepted [under RE review]	3 Violations	+0
Confirmed Violation	41Violations	+0
Posted by NERC	0 Violations	+0
Approved by FERC	5 Violations	+0
Total to-date	84 Violations	+6

Respectfully submitted by:

Ronald W. Ciesiel
Executive Director of Compliance
Southwest Power Pool Regional Entity
June 18, 2009

POST-JUNE 18th VIOLATIONS

Discovery Method

	June 18, 2009	Change from May 19, 2009
Self Certification	42	+0
Self Report	28	+2
Compliance Audit	9	+0
Investigation	4	+4
Periodic Data Submittal	1	+0
Total	84	+6

As of June 18, 2009

Respectfully submitted:

Ronald W. Ciesiel
Executive Director of Compliance
Southwest Power Pool Regional Entity
June 18, 2009

MOST VIOLATED STANDARDS TO-DATE IN SPP RE [UNIVERSE OF 84 VIOLATIONS]

Standard	Description	# / %	Ranking in NERC Top 10	Violation Risk Factor
PRC-005 *	Protection System Maintenance & Testing	20 / 24%	1	High
FAC-008 *	Facility Rating Methodology	9 / 11%	5	Medium
PRC-004	Protection System Misoperations Analysis	8 / 10%	NR	High/Lower
CIP-001 *	Sabotage Reporting	7 / 8%	2	Medium
FAC-009 *	Establish & Communicate Facility Ratings	6 / 7%	7	Medium
VAR-002 *	Generator Operations / System Voltage Support	4 / 5%	4	Medium/Lower
EOP-001	Emergency Operations Planning	4 / 5%	NR	High/Medium
PRC-001	System Protection Coordination	4 / 5%	NR	High
CIP-004 *	Personnel & Training	3 / 4%	3	Lower
PRC-008	UFLS Relay Maintenance	3 / 4%	NR	Medium

* In NERC Top 10 Violations for 12 months ending May 31, 2009
As of June 18, 2009